

# A Satellite-Based Indicator for Diagnosing Particulate Nitrate Sensitivity to Precursor Emissions: Application to East Asia, Europe, and North America

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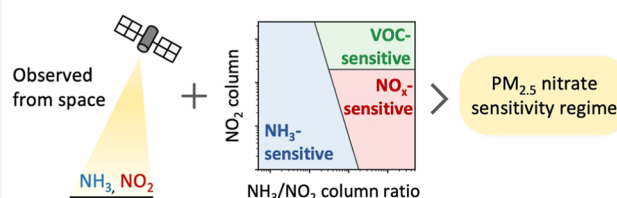
**ABSTRACT:** Particulate nitrate is a major component of fine particulate matter (PM<sub>2.5</sub>) and a key target for improving air quality. Its formation is varyingly sensitive to emissions of nitrogen oxides (NO<sub>x</sub> ≡ NO + NO<sub>2</sub>), ammonia (NH<sub>3</sub>), and volatile organic compounds (VOCs). Diagnosing the dominant sensitivity is critical for effective pollution control. Here, we show that satellite observations of the NO<sub>2</sub> column and the NH<sub>3</sub>/NO<sub>2</sub> column ratio can effectively diagnose the dominant sensitivity regimes in polluted regions of East Asia, Europe, and North America, in different seasons, though with reduced performance in the summer. We demarcate the different sensitivity regimes using the GEOS-Chem chemical transport model and apply the method to satellite observations from the OMI (NO<sub>2</sub>) and IASI (NH<sub>3</sub>) in 2017. We find that the dominant sensitivity regimes vary across regions and remain largely consistent across seasons. Sensitivity to NH<sub>3</sub> emissions dominates in the northern North China Plain (NCP), the Yangtze River Delta, South Korea, most of Europe, Los Angeles, and the eastern United States. Sensitivity to NO<sub>x</sub> emissions dominates in central China, the Po Valley in Italy, the central United States, and the Central Valley in California. Sensitivity to VOCs emissions dominates only in the southern NCP in the winter. These results agree well with those of previous local studies. Our satellite-based indicator provides a simple tool for air quality managers to choose emission control strategies for decreasing PM<sub>2.5</sub> nitrate pollution.

**KEYWORDS:** PM<sub>2.5</sub> nitrate pollution, satellite indicator, sensitivity regime, air quality management, nitrogen oxides, ammonia, volatile organic compounds

Which precursor to control?



A satellite-based indicator



## 1. INTRODUCTION

Particulate nitrate is a major component of fine particulate matter (PM<sub>2.5</sub>) and often drives PM<sub>2.5</sub> pollution events in the urbanized world.<sup>1–5</sup> It harms human health,<sup>6</sup> and its deposition can adversely impact the ecosystem, causing soil acidification,<sup>7</sup> eutrophication,<sup>8</sup> and biodiversity loss.<sup>9</sup> With sulfate and organic fractions of PM<sub>2.5</sub> decreasing in response to emission controls,<sup>10,11</sup> nitrate has emerged as a key target for further improving air quality.<sup>12</sup> In the eastern United States, wintertime nitrate concentrations exhibited no significant decrease between 2007 and 2015 despite a 36% reduction in NO<sub>x</sub> emissions.<sup>13</sup> In eastern China, nitrate levels have remained unresponsive to NO<sub>x</sub> emission controls over the past decade.<sup>14–16</sup> New emission control strategies may be needed to manage particulate nitrate to meet the PM<sub>2.5</sub> air quality targets.

Particulate nitrate is produced by the oxidation of nitrogen oxide radicals (NO<sub>x</sub> ≡ NO + NO<sub>2</sub>) to nitric acid (HNO<sub>3</sub>), which condenses into the aerosol phase in the presence of

alkalinity. We refer to pNO<sub>3</sub><sup>−</sup> as the particulate nitrate in the fine mode (PM<sub>2.5</sub>), and observations show that it originates mainly from co-condensation with ammonia (NH<sub>3</sub>).<sup>14,17,18</sup> The pNO<sub>3</sub><sup>−</sup>/HNO<sub>3</sub> thermodynamic equilibrium is highly sensitive to temperature and relative humidity, so that pNO<sub>3</sub><sup>−</sup> concentrations are typically highest in winter–spring. The NO<sub>x</sub> oxidation process involves the hydroxyl radical (OH) and ozone (O<sub>3</sub>), both of which depend on the availability of NO<sub>x</sub> and volatile organic compounds (VOCs). NO<sub>x</sub>, NH<sub>3</sub>, and VOCs are thus the three emitted gases serving as precursors for pNO<sub>3</sub><sup>−</sup> formation. NO<sub>x</sub> in polluted regions is mainly from fuel combustion.<sup>19</sup> NH<sub>3</sub> is from agriculture including fertilization

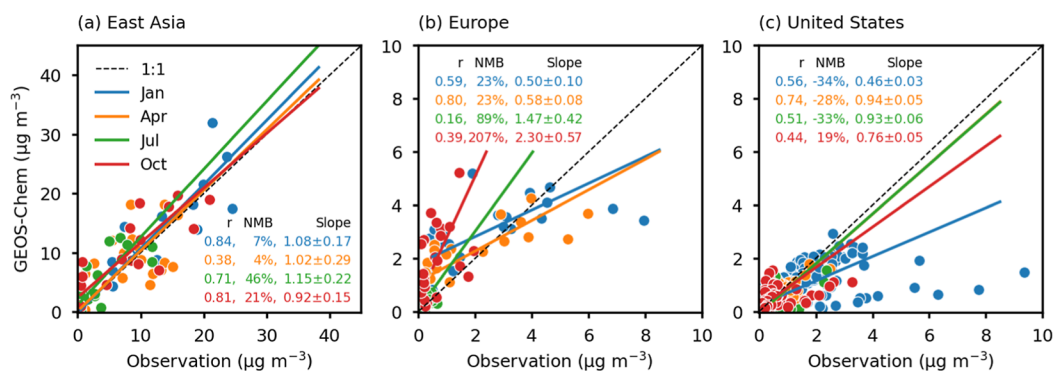
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Comparison between observed and modeled PM<sub>2.5</sub> nitrate concentrations, 2017

**Figure 1.** Comparison of observed and modeled monthly mean PM<sub>2.5</sub> nitrate concentrations at sites in (a) East Asia, (b) Europe, and (c) the United States for January, April, July, and October 2017. Comparison statistics include the correlation coefficient ( $r$ ), normalized mean bias, and the reduced-major-axis (RMA) regression line and slope ( $\pm 95\%$  confidence interval). The 1:1 line is shown as a dashed line. The panels have different scales.

and manure<sup>20</sup> and also has sources from industry<sup>21,22</sup> and vehicles.<sup>23</sup> VOCs are from combustion, industrial and domestic chemical products, vegetation, and open fires.<sup>24</sup> Understanding which of these three emitted precursors is most important in driving pNO<sub>3</sub><sup>-</sup> formation is critical to effective air quality management.

Formation of pNO<sub>3</sub><sup>-</sup> is dominantly sensitive to either NH<sub>3</sub> or HNO<sub>3</sub> depending on which is in less supply.<sup>25</sup> This sensitivity can be determined using diagnostic indicators derived from aerosol and gas measurements<sup>26,27</sup> or with thermodynamic models using these measurements as input.<sup>4,18</sup> Although a dominant sensitivity to HNO<sub>3</sub> often suggests a corresponding sensitivity to NO<sub>x</sub> emissions, the conversion of NO<sub>x</sub> to HNO<sub>3</sub> can in fact be limited by VOCs availability under VOC-limited (NO<sub>x</sub>-saturated) conditions for O<sub>3</sub> formation, a situation that frequently occurs in urban environments during winter.<sup>16,28</sup> Other factors further complicate the relationship between pNO<sub>3</sub><sup>-</sup> and its precursors, including competing deposition of HNO<sub>3</sub> and pNO<sub>3</sub><sup>-</sup>,<sup>14,25</sup> conversion of NO<sub>x</sub> to organic nitrates,<sup>29</sup> uptake of HNO<sub>3</sub> by alkaline soil dust and sea salt,<sup>30</sup> and competition from sulfate for available NH<sub>3</sub>.<sup>31</sup> Chemical transport models (CTMs) can provide a comprehensive description of these processes and serve as a useful tool for diagnosing pNO<sub>3</sub><sup>-</sup> sensitivity to emissions through simulations with perturbed emissions.<sup>14,16,32</sup> However, this approach is computationally laborious and subject to model errors, in particular, for emissions.

Satellites measure tropospheric columns of NO<sub>2</sub> ( $\Omega_{\text{NO}_2}$ ), formaldehyde ( $\Omega_{\text{HCHO}}$ ), and NH<sub>3</sub> ( $\Omega_{\text{NH}_3}$ ). Space-based NO<sub>2</sub> and HCHO measurements started with the GOME instrument in 1995,<sup>33</sup> followed by OMI in 2005<sup>34</sup> and TROPOMI in 2017,<sup>35</sup> and are now expanding with the geostationary constellation including GEMS over East Asia in 2020,<sup>36</sup> TEMPO over North America in 2023,<sup>37</sup> and the Sentinel-4 satellite over Europe to be launched in 2025.<sup>38</sup> For NH<sub>3</sub>, space-based measurements began with the TES instrument in 2004,<sup>39</sup> followed by IASI in 2007,<sup>40</sup> CrIS in 2012,<sup>41</sup> and soon-to-be-launched IRS on Sentinel-4.<sup>42</sup>

In previous work, we introduced a new satellite-based method using the  $\Omega_{\text{NH}_3}/\Omega_{\text{NO}_2}$  ratio together with  $\Omega_{\text{NO}_2}$  to identify the dominant sensitivity of local fine pNO<sub>3</sub><sup>-</sup> formation to emissions of NO<sub>x</sub>, NH<sub>3</sub>, and VOCs under wintertime conditions in East Asia.<sup>43</sup> The approach is similar to the use of the  $\Omega_{\text{HCHO}}/\Omega_{\text{NO}_2}$  ratio for diagnosing NO<sub>x</sub>- versus VOC-

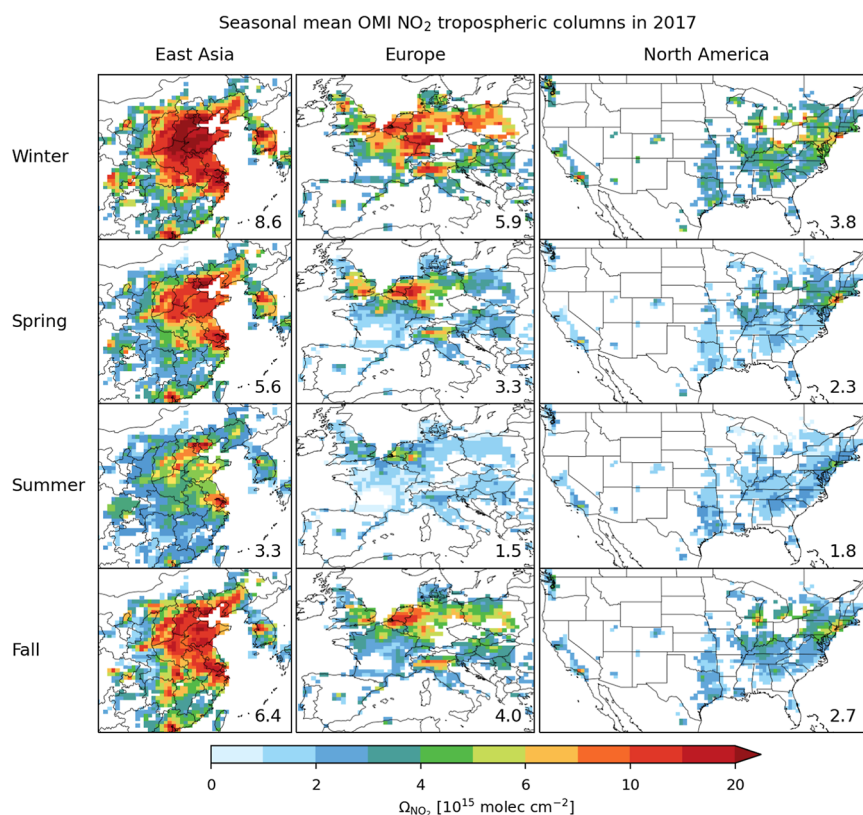
limited conditions for summertime ozone formation.<sup>44–46</sup> Although  $\Omega_{\text{HCHO}}$  is a useful diagnostic of VOCs reactivity in summer, we found that  $\Omega_{\text{NO}_2}$  was a more useful indicator of VOC-limited conditions for pNO<sub>3</sub><sup>-</sup> formation because of the low  $\Omega_{\text{HCHO}}$  detection capability in winter.<sup>47</sup>

Here, we use GEOS-Chem CTM to demonstrate the generalization of our satellite-based indicator to other seasons and to Europe and North America. We then apply this method to satellite observations of OMI NO<sub>2</sub> and IASI NH<sub>3</sub> to identify the seasonality and regionality of pNO<sub>3</sub><sup>-</sup> sensitivity regimes. We evaluate our satellite-determined regimes with previous local studies and discuss their implications for air quality management.

## 2. MATERIALS AND METHODS

**2.1. GEOS-Chem Model.** We use the GEOS-Chem CTM (version 13.4.1, DOI: <https://zenodo.org/record/6564702>) to examine how pNO<sub>3</sub><sup>-</sup> formation sensitivity to emissions can be diagnosed from the satellite column observations. The simulations are driven by MERRA-2 meteorology and are conducted at a nested resolution of 0.5° × 0.625° (≈50 × 50 km<sup>2</sup>) over East Asia (90° to 145°E, 10° to 55°N), Europe (20°W to 40°E, 30° to 65°N), and North America (135° to 55°W, 15° to 60°N), with boundary conditions updated every 3 h from a 4° × 5° global simulation. We conduct simulations for January, April, July, and October of 2017, and these are viewed in the text as representative of their respective seasons. The 4° × 5° global simulation is spun up for 6 months starting in July 2016 and provides the initial as well as the boundary conditions for the 0.5° × 0.625° continental simulations.

GEOS-Chem includes detailed oxidant–aerosol chemistry.<sup>48</sup> pNO<sub>3</sub><sup>-</sup> formation in GEOS-Chem is mainly from the thermodynamic equilibrium within the H<sub>2</sub>SO<sub>4</sub>–HNO<sub>3</sub>–HCl–NH<sub>3</sub> system, as described by the ISORROPIA II model.<sup>49</sup> Some of the pNO<sub>3</sub><sup>-</sup> formation can take place by aerosol uptake and hydrolysis of organic nitrates.<sup>50</sup> GEOS-Chem also includes nitrate formation from uptake by alkaline sea salt<sup>51</sup> and soil dust,<sup>52</sup> but these components are mainly in the coarse size range and generally make little contribution to PM<sub>2.5</sub>. Simulation of pNO<sub>3</sub><sup>-</sup> is challenging for CTMs because of the complexity and nonlinearity of the processes involved,<sup>53,54</sup> but recent versions of GEOS-Chem show little bias and the ability to capture pNO<sub>3</sub><sup>-</sup> variability.<sup>14,43,55,56</sup>



**Figure 2.** Seasonal mean OMI tropospheric NO<sub>2</sub> columns ( $\Omega_{\text{NO}_2}$ ) in polluted regions of East Asia, Europe, and North America in 2017. Values are plotted on the  $0.5^\circ \times 0.625^\circ$  GEOS-Chem model grid as 3 month averages for winter (DJF), spring (MAM), summer (JJA), and fall (SON). Cleaner grid cells with winter  $\Omega_{\text{NO}_2} < 2.5 \times 10^{15}$  molecules  $\text{cm}^{-2}$  are excluded. Regional mean values are provided (inset), with units of  $10^{15}$  molecules  $\text{cm}^{-2}$ .

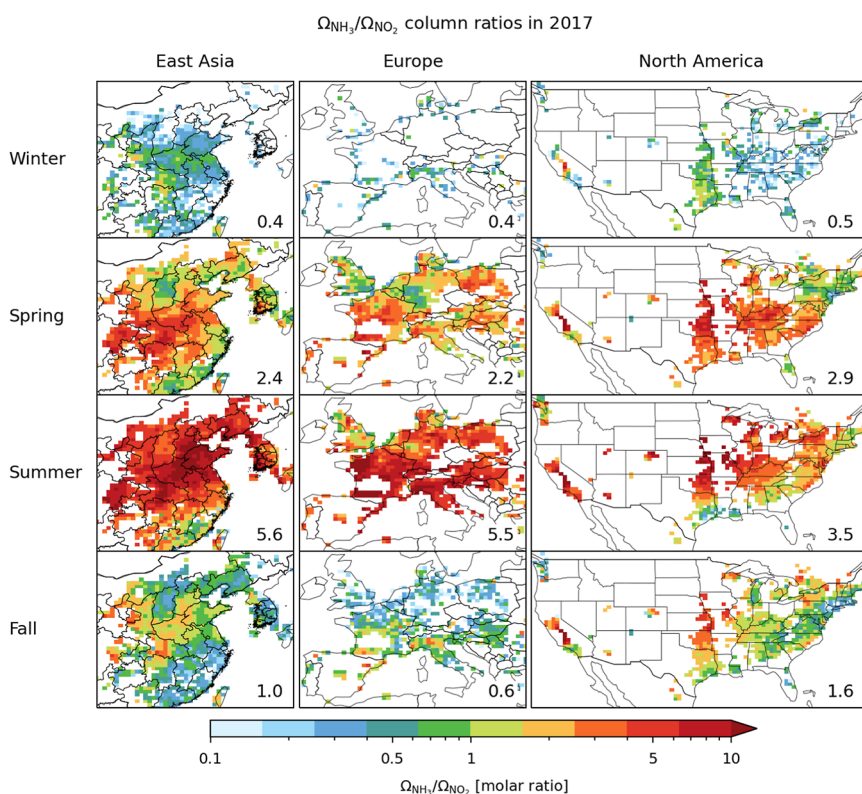
Our simulation is the same as previously described in Dang et al.,<sup>43</sup> featuring specific options within the standard GEOS-Chem v.13.4.1. These include the use of Luo's wet deposition scheme to better reproduce  $\text{pNO}_3^-$  concentrations<sup>55,56</sup> and the incorporation of aerosol nitrate photolysis, which improves the simulation of tropospheric NO<sub>2</sub> columns.<sup>57</sup> Anthropogenic emissions are from the global Community Emissions Data System (CEDSV2) inventory<sup>58</sup> superseded by region-specific inventories including MEIC for China,<sup>59</sup> KORUSv5 for South Korea,<sup>60</sup> and US EPA NEI 2016 for the United States (<https://www.epa.gov/air-emissions-modeling/2016v1-platform>). Biomass burning emissions are from the Global Fire Emissions Database version 4 with small fires (GFED4s).<sup>61</sup> Soil NO<sub>x</sub> emissions are calculated following Hudman et al.<sup>62</sup> with updates from Wang et al.<sup>63</sup> Lightning NO<sub>x</sub> emissions are computed based on Murray et al.<sup>64</sup> Biogenic VOCs emissions are described by MEGAN v2.1.<sup>65</sup>

Our use of GEOS-Chem for the interpretation of satellite observations in terms of the  $\text{pNO}_3^-$  sensitivity regime relies on the model having a correct representation of  $\text{pNO}_3^-$  formation processes but not necessarily emissions. Here, we evaluate the model's performance in simulating  $\text{pNO}_3^-$  by comparison to PM<sub>2.5</sub> surface measurements in 2017 from East Asia, Europe, and the United States. East Asian data are collected from previous studies in China<sup>14,66–75</sup> and from sites managed by South Korea's National Institute of Environmental Research and the Acid Deposition Monitoring Network in East Asia (EANET), totaling 22 sites. Site details and  $\text{pNO}_3^-$  data are provided at [10.7910/DVN/CQO0E8](https://doi.org/10.7910/DVN/CQO0E8). European data are from the European Monitoring and Evaluation Programme network

(EMEP, 24 sites) (<https://ebas-data.nilu.no/Default.aspx>). US data are from the Interagency Monitoring of Protected Visual Environments network (IMPROVE, 147 sites) and the Chemical Speciation Network (CSN, 132 sites) (<http://views.cira.colostate.edu/fed/QueryWizard/Default.aspx>).

Figure 1 compares observed versus modeled monthly mean surface  $\text{pNO}_3^-$  concentrations for January, April, July, and October 2017. Observations within the same grid cell are averaged and then compared to the model results. GEOS-Chem simulates  $\text{pNO}_3^-$  in East Asia remarkably well across the four seasons. Results in Europe and the United States show relatively larger errors, which may reflect lower concentrations and dynamic range. Some of the EMEP sites are remote, and the model overestimates  $\text{pNO}_3^-$  there. The model tends to underestimate the high observed  $\text{pNO}_3^-$  concentrations at sites in the western urban United States during winter probably due to a low bias in winter NH<sub>3</sub> emissions.<sup>76</sup>

Here, we diagnose the sensitivities of  $\text{pNO}_3^-$  formation to NO<sub>x</sub>, NH<sub>3</sub>, and VOCs emissions in the model world with the purpose of applying the resulting concentration-based diagnostics to the real world where emissions may be different from the model. The idea is that the model can properly relate the sensitivities to observable satellite column concentrations even if the model emissions are incorrect. The exact same approach is used when constructing HCHO/NO<sub>2</sub> column diagnostics of the ozone production regime.<sup>45</sup> We conduct GEOS-Chem sensitivity simulations with 20% reduction of individual precursor emissions. The local model sensitivity ( $S_i$ ) of  $\text{pNO}_3^-$  to the emissions ( $E_i$ ) of precursor  $i$  is calculated



**Figure 3.** Same as Figure 2 but showing column ratios  $\Omega_{\text{NH}_3}/\Omega_{\text{NO}_2}$ . The seasonal mean column ratios are computed as the ratios of the means. Regional mean ratios are inset.

from the relative model differences ( $\Delta$ ) between the sensitivity and base simulations as

$$S_i = \frac{\Delta \log [\text{pNO}_3^-]}{\Delta \log E_i} \quad (1)$$

where  $[\text{pNO}_3^-]$  refers to monthly mean concentrations in surface air, and  $i$  refers to  $\text{NH}_3$ ,  $\text{NO}_x$ , or VOCs. A higher  $S_i$  value indicates greater sensitivity. By comparing the sensitivities  $S_{\text{NO}_x}$ ,  $S_{\text{NH}_3}$ , and  $S_{\text{VOC}}$ , we can determine whether  $\text{pNO}_3^-$  formation in a model grid cell is most  $\text{NO}_x$ -,  $\text{NH}_3$ -, or VOC-sensitive.

**2.2. Satellite Observations of  $\text{NO}_2$  and  $\text{NH}_3$ .** We used satellite observations of  $\text{NO}_2$  columns and  $\text{NH}_3/\text{NO}_2$  column ratios to identify which precursor emissions are most responsible for  $\text{pNO}_3^-$  formation. Here, the same satellite products are used as in our previous work:<sup>43</sup> version 4 of the NASA OMI  $\text{NO}_2$  level 2 product ([https://disc.gsfc.nasa.gov/datasets/OMNO2\\_003/summary](https://disc.gsfc.nasa.gov/datasets/OMNO2_003/summary)) and version 3 of the reanalyzed level 2 IASI  $\text{NH}_3$  product (<https://iasi.aeris-data.fr/catalog/#masthead>).

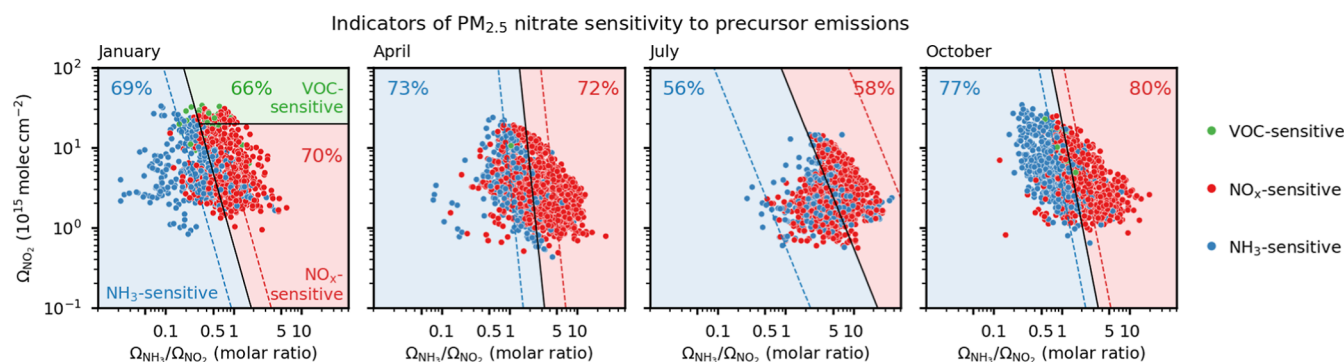
The OMI retrieval measures  $\text{NO}_2$  slant columns by fitting the backscattered solar spectrum and converts the data to tropospheric vertical columns.<sup>34</sup> It provides daily coverage at 13:30 local time (LT) with a spatial resolution of  $13 \times 24 \text{ km}^2$  at the nadir. We filter out pixels with cloud fraction  $>0.3$ , surface reflectance  $>0.3$ , solar zenith angle  $>75^\circ$ , and viewing zenith angle  $>65^\circ$  and those affected by the so-called row anomaly. The IASI instrument measures atmospheric  $\text{NH}_3$  columns using thermal infrared radiation emitted by the Earth's surface and atmosphere.<sup>77</sup> It offers global coverage twice daily, at 9:30 and 21:30 LT, with a nadir pixel resolution of  $12 \times 12 \text{ km}^2$ . We use only 9:30 LT observations to minimize

the time separation with OMI and only clear-sky scenes with cloud fraction  $<0.1$ .<sup>78</sup> Different cloud fraction thresholds are used for  $\text{NO}_2$  and  $\text{NH}_3$  as they use different cloud retrievals. Both pixel observations of  $\text{NO}_2$  and  $\text{NH}_3$  are gridded and averaged to a resolution of  $0.5^\circ \times 0.625^\circ$  to calculate seasonal mean columns. Only grid cells with more than 30 successful retrievals are included in the analysis. Further filtering is applied to the gridded seasonal means to remove negative values.

Figure 2 shows the seasonal mean  $\text{NO}_2$  columns ( $\Omega_{\text{NO}_2}$ ) measured by the OMI in 2017. We focus on polluted areas defined by their winter  $\Omega_{\text{NO}_2} > 2.5 \times 10^{15}$  molecules  $\text{cm}^{-2}$ , excluding cleaner areas where diagnosing sensitivity to local emissions would be inappropriate. OMI observes high  $\text{NO}_2$  in densely populated regions.  $\Omega_{\text{NO}_2}$  peaks in winter and is lowest during summer, reflecting the seasonality in photochemical oxidation. High  $\text{NH}_3$  column concentrations ( $\Omega_{\text{NH}_3}$ ) are observed in agriculturally intensive areas<sup>79</sup> (Figure S1). Peak  $\Omega_{\text{NH}_3}$  levels occur in summer, followed by spring, driven by fertilizer application and high temperatures.<sup>80</sup> The different seasonalities of  $\Omega_{\text{NO}_2}$  and  $\Omega_{\text{NH}_3}$  imprint a large seasonality to the  $\Omega_{\text{NH}_3}/\Omega_{\text{NO}_2}$  ratio (Figure 3). The ratio peaks in the summer, with seasonal mean values exceeding 10, and drops to below unity in the winter.

### 3. RESULTS AND DISCUSSION

**3.1. Particulate Nitrate Sensitivity Regimes in GEOS-Chem.** Previous work by Dang et al.<sup>43</sup> for wintertime conditions in East Asia found that the modeled dominant sensitivities ( $\text{NO}_x$ -,  $\text{NH}_3$ -, or VOC-sensitive) could be separated within a two-dimensional space defined by the column concentration indicator  $\Omega_{\text{NH}_3}/\Omega_{\text{NO}_2}$  and  $\Omega_{\text{NO}_2}$ . The



**Figure 4.** Performance of satellite-based indicator for diagnosing PM<sub>2.5</sub> nitrate (pNO<sub>3</sub><sup>-</sup>) formation sensitivity in the GEOS-Chem model in different seasons. Circles indicate the dominant monthly mean sensitivities of surface pNO<sub>3</sub><sup>-</sup> formation (either NH<sub>3</sub>-, NO<sub>x</sub>-, or VOC-sensitive) in polluted 0.5° × 0.625° model grid cells across East Asia, Europe, and North America (shown in Figure 2). These dominant sensitivities are plotted in an indicator state space as observable from satellite measurements: the  $\Omega_{\text{NH}_3}/\Omega_{\text{NO}_2}$  column ratio and the  $\Omega_{\text{NO}_2}$  column.  $\Omega_{\text{NH}_3}$  and  $\Omega_{\text{NO}_2}$  columns are sampled to mimic the overpass times of IASI and OMI instruments, respectively. LDA establishes thresholds (black solid lines) to separate different regimes, with additional delineations (blue/red dashed lines) indicating higher confidence levels (>80%) for NH<sub>3</sub>- and NO<sub>x</sub>-sensitive regimes. Equations 2a–2c with coefficients in Table 1 defines the threshold lines. Inset numbers indicate the accuracy in classifying the model dominant sensitivities using black solid thresholds.

$\Omega_{\text{NH}_3}/\Omega_{\text{NO}_2}$  ratio indicates the relative abundance of NH<sub>3</sub> to NO<sub>x</sub>, while a high  $\Omega_{\text{NO}_2}$  level diagnoses VOC-limited conditions in the oxidation of NO<sub>x</sub> to HNO<sub>3</sub>. Figure 4 expands this analysis to other seasons and regions, where the circles represent the dominant sensitivities of pNO<sub>3</sub><sup>-</sup> formation for individual 0.5° × 0.625° grid cells in polluted regions (as defined in Figure 2). The column indicator is sampled in GEOS-Chem at the OMI overpass time of 13–14 LT for NO<sub>2</sub> and at the IASI overpass time of 9–10 LT for NH<sub>3</sub> to emulate satellite observations. Averaging kernels are applied to the model NO<sub>2</sub> vertical profiles following Cooper et al.<sup>81</sup> to emulate tropospheric NO<sub>2</sub> columns from version 4 of the NASA OMI NO<sub>2</sub> level 2 product (OMNO2).<sup>34</sup> Modeled NH<sub>3</sub> columns are directly used to emulate version 3 of the IASI NH<sub>3</sub> product as averaging kernels are not provided by that product.<sup>82</sup>

Figure 4 shows that application of the satellite-based indicator to diagnose dominant pNO<sub>3</sub><sup>-</sup> sensitivities to precursor emissions can be generalized to other regions and seasons with reduced performance in summer. We find similarity of behavior in relating pNO<sub>3</sub><sup>-</sup> sensitivity to the satellite-based indicator for individual regions, and therefore all three regions are grouped in Figure 4. Linear discriminant analysis (LDA) in the ( $\log \Omega_{\text{NH}_3}/\Omega_{\text{NO}_2}$ ,  $\log \Omega_{\text{NO}_2}$ ) space is used to delineate transitions between regimes. LDA is a classifier algorithm that finds the linear boundary that maximizes the separation between classes and provides estimated probabilities of belonging to a specific class. This approach is suitable for our case as Figure S2 shows clear linear boundaries between regimes when using logarithms of surface concentrations ( $\log [\text{NH}_3]/[\text{NO}_2]$  and  $\log [\text{NO}_2]$ ) as indicators. These linear boundaries are less distinct in Figure 4 with satellite indicators due to the complex column–surface relationship. Black solid lines indicate delineation with 50% probability, and dashed lines indicate delineation with probabilities >80%.

As found under winter conditions in East Asia,<sup>43</sup> NH<sub>3</sub>-sensitive conditions are associated with  $\Omega_{\text{NH}_3}/\Omega_{\text{NO}_2}$  ratios below a certain threshold, which decreases with increasing  $\Omega_{\text{NO}_2}$ . This dependence of the  $\Omega_{\text{NH}_3}/\Omega_{\text{NO}_2}$  threshold on  $\Omega_{\text{NO}_2}$  is due to the reduced efficiency of NO<sub>2</sub> conversion to HNO<sub>3</sub> as the NO<sub>x</sub> increases, leading to a more likely NH<sub>3</sub> excess at a

given  $\Omega_{\text{NH}_3}/\Omega_{\text{NO}_2}$  ratio. Outside of the NH<sub>3</sub>-sensitive regime, whether NO<sub>x</sub> or VOCs is the controlling precursor is well delineated by  $\Omega_{\text{NO}_2}$  levels.  $\Omega_{\text{NO}_2} > 2 \times 10^{16}$  molecules cm<sup>-2</sup> indicates a strong VOC-limited condition for oxidant formation, making pNO<sub>3</sub><sup>-</sup> dominantly sensitive to VOCs emissions (mainly found in winter conditions). For  $\Omega_{\text{NO}_2} < 2 \times 10^{16}$  molecules cm<sup>-2</sup>, the sensitivity is mostly to NO<sub>x</sub> emissions.

The ( $\Omega_{\text{NH}_3}/\Omega_{\text{NO}_2}$ ,  $\Omega_{\text{NO}_2}$ ) thresholds shown as black solid lines in Figure 4 diagnose the dominant sensitivity for pNO<sub>3</sub><sup>-</sup> formation in GEOS-Chem with an accuracy of 70–80% (misclassification rate of 20–30%) except in summer. The lower accuracy in summer (~60%) is mainly due to (1) the coexistence of NH<sub>3</sub> and HNO<sub>3</sub> in the gas phase at warmer temperatures, reducing the contrast between sensitivities to NH<sub>3</sub> and NO<sub>2</sub>, and (2) increased contributions from the free troposphere to NO<sub>2</sub> column concentrations, making satellite observations less representative of surface conditions.<sup>83,84</sup> Other factors may also affect the accuracy, such as the representation error caused by using morning/afternoon satellite measurements to represent daily averages. The accuracy rises above 90% for the thresholds defined by dashed lines, indicating high-confidence zones.

The threshold for separating the NH<sub>3</sub>- and NO<sub>x</sub>-sensitive regimes exhibits seasonal variability, shifting rightward along the  $\Omega_{\text{NH}_3}/\Omega_{\text{NO}_2}$  axis in warmer seasons. This shift is driven by seasonal variations in the column-to-surface relationship. In warmer seasons, the columns become less representative of surface conditions for both NH<sub>3</sub> and NO<sub>2</sub> due to the increased uplift of surface pollution to the free troposphere and a greater influence of background lightning contributions on the NO<sub>2</sub> columns. The sensitivity regimes, delineated by the solid and dashed lines in Figure 4, are thus diagnosed from  $\Omega_{\text{NH}_3}/\Omega_{\text{NO}_2}$  and  $\Omega_{\text{NO}_2}$  as

$$\text{NH}_3\text{-sensitive: } \log \frac{\Omega_{\text{NH}_3}}{\Omega_{\text{NO}_2}} < b + k \log \Omega_{\text{NO}_2} \quad (2a)$$

$$\text{NO}_x\text{-sensitive: } \log \frac{\Omega_{\text{NH}_3}}{\Omega_{\text{NO}_2}} > b + k \log \Omega_{\text{NO}_2}$$

$$\text{and } \Omega_{\text{NO}_2} < y_0 \quad (2b)$$

$$\text{VOC-sensitive: } \log \frac{\Omega_{\text{NH}_3}}{\Omega_{\text{NO}_2}} > b + k \log \Omega_{\text{NO}_2}$$

$$\text{and } \Omega_{\text{NO}_2} > y_0 \quad (2c)$$

where the coefficients  $b$ ,  $k$ , and  $y_0$  for different seasons are given in Table 1. Entries  $b_1$  and  $b_2$  define the high-confidence

**Table 1. Threshold Coefficients for Separating  $\text{pNO}_3^-$  Sensitivity Regimes in Equations 2a–2c<sup>a</sup>**

	winter (DJF)	spring (MAM)	summer (JJA)	fall (SON)
$k$	−0.33	−0.13	−0.47	−0.23
$b^b$	−0.070	0.40	0.88	0.31
$b_1^b$	−0.36	0.08	−0.10	0.12
$b_2^b$	0.22	0.71	1.87	0.49
$y_0^c$	20	>20	>20	>20

<sup>a</sup>With  $\Omega_{\text{NH}_3}/\Omega_{\text{NO}_2}$  in units of molar ratio and  $\Omega_{\text{NO}_2}$  in units of  $10^{15}$  molecules  $\text{cm}^{-2}$ . <sup>b</sup>For thresholding between dominant  $\text{NH}_3$ - and  $\text{NO}_x$ -sensitive regimes ( $b$ , solid lines in Figure 4), high confidence (>80%) for a dominant  $\text{NH}_3$ -sensitive regime ( $b_1$ , dashed lines in Figure 4) and high confidence (>80%) for a  $\text{NO}_x$ -sensitive regime ( $b_2$ , dashed lines in Figure 4). <sup>c</sup>No dominant VOC-sensitive conditions were found in the GEOS-Chem simulation for seasons other than winter.

(>80%) thresholds for the  $\text{NH}_3$ -sensitive and  $\text{NO}_x$ -sensitive regimes, respectively (dashed lines in Figure 4), VOC-sensitive conditions are limited to winter with  $\Omega_{\text{NO}_2} > 2 \times 10^{16}$  molecules  $\text{cm}^{-2}$ .

**3.2. Application to Satellite Observations.** We now diagnose local  $\text{pNO}_3^-$  sensitivity regimes across East Asia, Europe, and North America for different seasons in 2017 by applying the model thresholds from eqs 2a–2c to the OMI and IASI satellite observations of ( $\Omega_{\text{NH}_3}/\Omega_{\text{NO}_2}$ ,  $\Omega_{\text{NO}_2}$ ), as shown in Figures 2 and 3. Figure 5 shows the spatial distribution of the dominant sensitivities to emissions inferred from the satellite indicator. Dark blue/red colors indicate regions diagnosed with >80% confidence levels. Winter data in Europe are sparse due to low number of successful  $\text{NH}_3$  retrievals.<sup>85</sup>

The satellite measurements indicate varying  $\text{pNO}_3^-$  sensitivity regimes across regions. Focusing on winter, when  $\text{pNO}_3^-$  pollution is most severe (first row of Figure 5),  $\text{NH}_3$ -sensitive regimes are identified in areas with relatively low  $\Omega_{\text{NH}_3}/\Omega_{\text{NO}_2}$  ratios. These include the northern North China Plain (NCP, including Beijing), the Yangtze River Delta (YRD, including Shanghai), the Seoul Metropolitan Area, most of Europe, Los Angeles, and eastern United States. In these regions, reducing  $\text{NH}_3$  emissions is the most effective method to reduce winter  $\text{pNO}_3^-$  concentrations.  $\text{NO}_x$ -sensitive regimes are identified in areas with higher  $\Omega_{\text{NH}_3}/\Omega_{\text{NO}_2}$  ratios and relatively low  $\Omega_{\text{NO}_2}$  including central China (Fen-Wei Plain, Sichuan Basin, and Hubei and Hunan provinces), the Po Valley in Italy, the central United States, and the Central Valley in California. These are agricultural areas with high  $\text{NH}_3$  emissions even in winter, so reducing  $\text{NO}_x$  emissions is most effective for controlling winter  $\text{pNO}_3^-$  levels. Dominant VOC-sensitive conditions are found in the southern NCP,

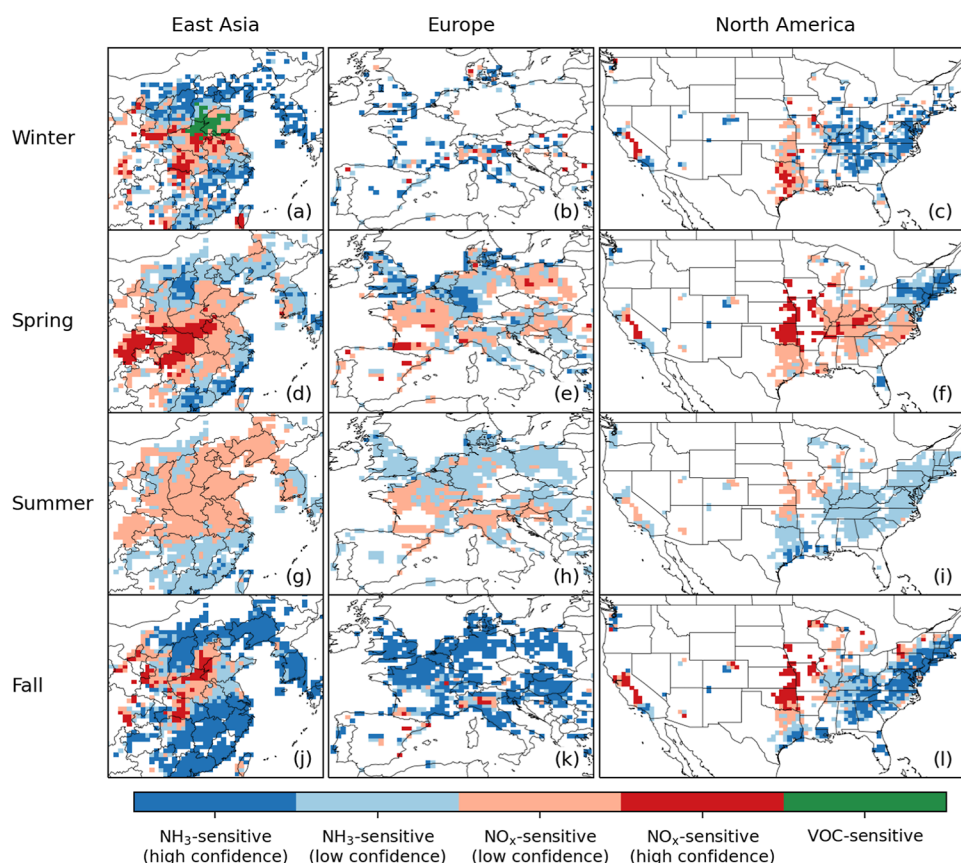
characterized by both  $\text{NH}_3$  saturation ( $\Omega_{\text{NH}_3}/\Omega_{\text{NO}_2}$  ratio >0.4, Figure 3) and very high  $\Omega_{\text{NO}_2}$  ( $>2 \times 10^{16}$  molecules  $\text{cm}^{-2}$ , Figure 2). In this region, the most effective approach to decrease winter  $\text{pNO}_3^-$  is to control VOCs emissions.

Both  $\Omega_{\text{NH}_3}/\Omega_{\text{NO}_2}$  and  $\Omega_{\text{NO}_2}$  exhibit significant seasonal variations, as discussed in Section 2.2. The  $\Omega_{\text{NH}_3}/\Omega_{\text{NO}_2}$  ratio is lowest in the winter and highest in the summer, while  $\Omega_{\text{NO}_2}$  shows the opposite pattern. Despite these variations, the spatial pattern of dominant sensitivities, specifically the  $\text{NO}_x$ - and  $\text{NH}_3$ -sensitive regions, remains largely consistent throughout the year. This is because of the shift in the demarcation between regimes in Figure 4.  $\Omega_{\text{NO}_2}$  may be low in summer, but the  $\text{HNO}_3$  production rate is larger than in winter. The VOC-sensitive regime is limited to winter, as would be expected, because VOCs limitation of oxidant chemistry is weaker in other seasons.

**3.3. Comparisons to Local Studies.** Tables 2–4 compare the  $\text{pNO}_3^-$  sensitivities identified from the satellite indicator with results from local studies in East Asia, Europe, and the United States. We collect three kinds of local studies from the literature: (1) field studies that measure gas and aerosol concentrations and use observational indicators or thermodynamic models to identify the dominant sensitivities; (2) COVID studies that examine the response of  $\text{pNO}_3^-$  levels to real-world  $\text{NO}_x$  reductions during the COVID-19 pandemic; and (3) regional CTM studies that quantify the response of  $\text{pNO}_3^-$  levels to perturbed precursor emissions of  $\text{NO}_x$ ,  $\text{NH}_3$ , or VOCs. Most of the studies focused on only one season. The field studies diagnose  $\text{NH}_3$  and  $\text{HNO}_3$  sensitivities, and some relate  $\text{HNO}_3$  sensitivities to  $\text{NO}_x$  and VOCs emissions using an oxidant chemistry model.  $\text{HNO}_3$  sensitivity generally implies sensitivity to  $\text{NO}_x$  emissions, except under urban winter conditions where it implies sensitivity to VOCs emissions.<sup>28</sup> The COVID studies diagnose whether or not  $\text{pNO}_3^-$  is sensitive to  $\text{NO}_x$  emission reductions.<sup>75,86,87</sup>

Our results align in general very well with these local studies, which find  $\text{pNO}_3^-$  formation to be  $\text{NH}_3$ -sensitive in Guangzhou (fall),<sup>88</sup> Germany (spring),<sup>87</sup> the Northeast United States (winter), the Southeast United States (summer), and Los Angeles (summer)<sup>18</sup> and to be  $\text{HNO}_3$ -sensitive in Jinan, NCP (summer),<sup>89</sup> central China (all seasons),<sup>86,90</sup> the Po Valley (all seasons),<sup>91</sup> Paris (spring),<sup>92</sup> and the Central Valley in California (winter).<sup>93–95</sup> Our satellite-based indicator can successfully distinguish spatial contrasts between  $\text{NH}_3$ -sensitive and  $\text{NO}_x$ -sensitive regions, and the consistency in regimes across seasons also agrees well with previous local studies. Using the WRF-Chem model, Li et al.<sup>32</sup> found an increased sensitivity to  $\text{NO}_x$  during summer in the NCP and YRD, due to a shift to the transitional/ $\text{NO}_x$ -sensitive regime for oxidant formation. We also find a larger area of  $\text{NO}_x$ -sensitive conditions in eastern China in the summer. Summertime  $\text{pNO}_3^-$  is important in China where it can contribute to pollution episodes.<sup>96,97</sup>

Some urban field studies in winter including in Beijing, Nanjing, Shanghai, and Salt Lake City concluded that  $\text{pNO}_3^-$  formation is more sensitive to  $\text{HNO}_3$  than  $\text{NH}_3$  due to an observed excess of  $\text{NH}_3$ .<sup>4,18,75,99</sup> However, we find these environments to be dominantly  $\text{NH}_3$ -sensitive. This may be explained by differences in the lifetimes of  $\text{HNO}_3$  and  $\text{pNO}_3^-$  against deposition that drive greater sensitivity to  $\text{NH}_3$  even when  $\text{NH}_3$  concentrations are in excess.<sup>14,25,43</sup> CTM studies for urban winter conditions, which would include the deposition effect, report results consistent with ours, including

Satellite-determined PM<sub>2.5</sub> nitrate sensitivities to precursor emissions in 2017

**Figure 5.** Dominant sensitivities of PM<sub>2.5</sub> nitrate ( $\text{pNO}_3^-$ ) to precursor emissions in polluted regions of East Asia, Europe, and North America in 2017, as diagnosed from seasonal mean IASI and OMI satellite observations of tropospheric NH<sub>3</sub> and NO<sub>2</sub> columns. The regimes are diagnosed using the threshold lines from Figure 4 (eqs 2a–2c with coefficients from Table 1). White areas indicate either lack of data or cleaner areas (winter  $\Omega_{\text{NO}_2} < 2.5 \times 10^{15}$  molecules  $\text{cm}^{-2}$ ).

**Table 2.** PM<sub>2.5</sub> Nitrate Sensitivity in East Asia: Comparison to Previous Studies

previous study	season	study type	finding	this work
<i>North China Plain</i>				
Regional <sup>14,16,32</sup>	winter	CTM	NH <sub>3</sub> - and VOC-sensitive	NH <sub>3</sub> - and VOC-sensitive
Regional <sup>32</sup>	summer	CTM	NO <sub>x</sub> - and NH <sub>3</sub> -sensitive	NO <sub>x</sub> -sensitive
Beijing <sup>18,86</sup>	winter	field	HNO <sub>3</sub> -sensitive	NH <sub>3</sub> -sensitive
Jinan <sup>89</sup>	summer	field	COVID but NO <sub>x</sub> -insensitive HNO <sub>3</sub> -sensitive	NO <sub>x</sub> -sensitive
<i>Yangtze River Delta</i>				
Regional <sup>32,98</sup>	winter	CTM	NH <sub>3</sub> -sensitive	NH <sub>3</sub> -sensitive
Regional <sup>32</sup>	summer	CTM	NH <sub>3</sub> -sensitive	NH <sub>3</sub> - and NO <sub>x</sub> -sensitive
Nanjing <sup>86,99</sup>	winter	field	HNO <sub>3</sub> -sensitive	NH <sub>3</sub> -sensitive
Shanghai <sup>75</sup>	winter	field	COVID but NO <sub>x</sub> -insensitive HNO <sub>3</sub> -sensitive	NH <sub>3</sub> -sensitive
<i>Central China</i>				
Changsha <sup>86</sup>	winter	COVID	NO <sub>x</sub> -sensitive	NO <sub>x</sub> -sensitive
Wuhan <sup>90</sup>	all seasons	field	HNO <sub>3</sub> -sensitive	NO <sub>x</sub> -sensitive
<i>South China</i>				
Guangzhou <sup>88</sup>	fall	field	NH <sub>3</sub> -sensitive	NH <sub>3</sub> -sensitive

dominant sensitivities to NH<sub>3</sub> and VOCs emissions in the NCP<sup>14,16,32</sup> and to NH<sub>3</sub> in the YRD.<sup>32</sup>

Our results showing NH<sub>3</sub>- and VOC-sensitive regimes in winter NCP and NH<sub>3</sub>-sensitive regimes in the Northeast United States also align with long-term observations that

winter  $\text{pNO}_3^-$  concentrations in these regions have remained flat in recent years, showing insensitivity to NO<sub>x</sub> reductions.<sup>13–16</sup> One discrepancy that we cannot explain is for Cabauw (Netherlands), where a field study indicates a HNO<sub>3</sub>-

Table 3. Same as Table 2 but for Europe

previous study	season	study type	finding	this work
Cabauw, Netherlands <sup>18</sup>	all seasons	field	HNO <sub>3</sub> -sensitive	NH <sub>3</sub> -sensitive
Po Valley, Italy <sup>91</sup>	all seasons	field	HNO <sub>3</sub> -sensitive	NO <sub>x</sub> -sensitive
Paris, France <sup>92</sup>	spring	COVID	NO <sub>x</sub> -sensitive	NO <sub>x</sub> -sensitive
Germany <sup>87</sup>	spring	COVID	NO <sub>x</sub> -insensitive	NH <sub>3</sub> -sensitive

Table 4. Same as Table 2 but for the United States (US)

previous study	season	study type	finding	this work
<i>Northeast United States</i>				
Regional <sup>18</sup>	winter	field	NH <sub>3</sub> -sensitive	NH <sub>3</sub> -sensitive
<i>Western United States</i>				
Salt Lake City <sup>4,28</sup>	winter	field	HNO <sub>3</sub> -sensitive, VOC-sensitive	NH <sub>3</sub> -sensitive
Central Valley <sup>93–95</sup>	winter	field	HNO <sub>3</sub> -sensitive	NO <sub>x</sub> -sensitive
Los Angeles <sup>18</sup>	summer	field	NH <sub>3</sub> -sensitive	NH <sub>3</sub> -sensitive
<i>Southeast United States</i>				
Regional <sup>18</sup>	summer	field	NH <sub>3</sub> -sensitive	NH <sub>3</sub> -sensitive

sensitive regime in all seasons,<sup>18</sup> while our satellite indicator finds a NH<sub>3</sub>-sensitive regime.

**3.4. Application to Air Quality Management.** Following our work, air quality managers can use satellite observations of  $\Omega_{\text{NH}_3}/\Omega_{\text{NO}_2}$  and  $\Omega_{\text{NO}_2}$  based on Figure 4 to diagnose whether  $\text{pNO}_3^-$  in their region is dominantly NH<sub>3</sub>, NO<sub>x</sub>, or VOC-sensitive. This is very much like the well-established use of the HCHO/NO<sub>2</sub> ratio to diagnose whether ozone production is either NO<sub>x</sub>-limited or VOC-limited. Air quality managers can further track the evolution of the sensitivity over time by monitoring the changes in  $\Omega_{\text{NH}_3}/\Omega_{\text{NO}_2}$  and  $\Omega_{\text{NO}_2}$  in successive years. For example, plotting data from successive years in Figure 4, as NO<sub>x</sub> emissions decrease and as NH<sub>3</sub> increases (because SO<sub>2</sub> emissions decrease), could document an evolution toward NO<sub>x</sub>-sensitive conditions. Compared with traditional approaches based on models or field measurements, this satellite-based method provides more comprehensive spatial coverage, enables long-term monitoring, requires less computational effort, and is not subject to emission input errors that affect models.

There are, however, several precautions to keep in mind. The diagnostic should be done on the scale of polluted source regions (we used 50 km here and required  $\Omega_{\text{NO}_2} > 2.5 \times 10^{15}$  molecules cm<sup>-2</sup>) rather than finer scales or regions far downwind of emissions. The reason is that the relationship among  $\text{pNO}_3^-$ ,  $\Omega_{\text{NH}_3}$ , and  $\Omega_{\text{NO}_2}$  reflects the mechanistic dependence of  $\text{pNO}_3^-$  formation on NH<sub>3</sub> and NO<sub>x</sub> emissions within the region. A patchwork of NH<sub>3</sub>-sensitive and NO<sub>x</sub>-sensitive grid cells on a 50 km scale would require cautious interpretation. We see from Figure 5 that the diagnostic is consistent across broad regions, which supports its reliability.

The diagnosis should be done using seasonal or monthly mean values for the satellite columns with the filtering described in this paper. Shorter periods are subject to noise. In that regard, the diagnosis is more relevant to seasonal or annual mean PM<sub>2.5</sub> rather than to pollution events. Wintertime observations of NH<sub>3</sub> may not be available at high northern latitudes (Figure S1). The blank regions in Figure 5 indicates where/when the method is not applicable.

The thresholds for defining sensitivity regimes are derived from the GEOS-Chem model, and biases in that model could propagate in biases in the identification of sensitivity regimes. The ability of the model to reproduce observed  $\text{pNO}_3^-$

variability (Figure 1) and the verification against local studies (Tables 2–4) support the general use of the thresholds defined here. But verification with a different model or with a field study could be useful to support application for specific regions.

We used IASI and OMI in this work, but any current satellite observations could be used (CrIS for NH<sub>3</sub>; TROPOMI, GEMS, and TEMPO for NO<sub>2</sub>) as long as they are unbiased. This has been verified for the TROPOMI<sup>100</sup> and GEMS<sup>101</sup> NO<sub>2</sub> observations. There is unresolved bias for CrIS NH<sub>3</sub> retrievals<sup>102,103</sup> that would require further evaluation and correction. New satellite missions may improve the application of our satellite diagnostics by providing a higher density of observations and by measuring NH<sub>3</sub> and NO<sub>2</sub> from the same platform. This is expected from the geostationary satellites Sentinel-4<sup>38</sup> over Europe and GeoXO over North America,<sup>104</sup> as well as from the proposed Nitrosat mission.<sup>105</sup>

In summary, we have shown that a new satellite-based indicator using measurements of NO<sub>2</sub> and NH<sub>3</sub> columns can diagnose the sensitivity of PM<sub>2.5</sub> nitrate formation to NO<sub>x</sub>, NH<sub>3</sub>, and VOCs emissions in polluted regions of East Asia, Europe, and North America across all seasons, although with reduced performance in summer. We demonstrated the effectiveness of the indicator in the GEOS-Chem model and derived thresholds to demarcate the dominant sensitivity regimes in different seasons. By applying this method to OMI NO<sub>2</sub> and IASI NH<sub>3</sub> observations, we found that some regions are dominantly NO<sub>x</sub>-sensitive, while others are dominantly NH<sub>3</sub>-sensitive, consistently across seasons. VOC-sensitive conditions are limited to urban areas in winter with high NH<sub>3</sub> and very high NO<sub>2</sub>. We compared our satellite-determined regimes with those of previous local studies and found good consistency. Our results emphasize the need for tailored emission control strategies to mitigate PM<sub>2.5</sub> nitrate pollution.

## ■ ASSOCIATED CONTENT

### SI Supporting Information

The Supporting Information is available free of charge at <https://pubs.acs.org/doi/10.1021/acs.est.4c08082>.

Additional materials about the IASI NH<sub>3</sub> observations; and the performance of surface indicator in the GEOS-Chem model (PDF)



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### Author Contributions

R.D. and D.J.J. designed the study. R.D. conducted model simulations and data analysis, with contributions from D.J.J., S.Z., L.H.Y., and D.C.P. P.C., L.C., and M.V.D. provided guidance on the analysis of the NH<sub>3</sub> satellite product. D.C.P.,

J.C., J.P., Z.L., H.L., and P.X. contributed to the measurement/ collection of PM<sub>2.5</sub> composition data. R.D. led the writing with contributions from all authors.

### Notes

The authors declare no competing financial interest.

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