



# Improved water vapour spectroscopy in the 4174–4300 cm<sup>-1</sup> region and its impact on SCIAMACHY HDO/H<sub>2</sub>O measurements

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**Abstract.** The relative abundance of the heavy water isotopologue HDO provides a deeper insight into the atmospheric hydrological cycle. The Scanning Imaging Absorption spectroMeter for Atmospheric CartographY (SCIAMACHY) allows for global retrievals of the ratio HDO/H<sub>2</sub>O in the 2.3 micron wavelength range. However, the spectroscopy of water lines in this region remains a large source of uncertainty for these retrievals. We therefore evaluate and improve the water spectroscopy in the range 4174–4300 cm<sup>-1</sup> and test if this reduces systematic uncertainties in the SCIAMACHY retrievals of HDO/H<sub>2</sub>O. We use a laboratory spectrum of water vapour to fit line intensity, air broadening and wavelength shift parameters. The improved spectroscopy is tested on a series of ground-based high resolution FTS spectra as well as on SCIAMACHY retrievals of H<sub>2</sub>O and the ratio HDO/H<sub>2</sub>O. We find that the improved spectroscopy leads to lower residuals in the FTS spectra compared to HITRAN 2008 and Jenouvrier et al. (2007) spectroscopy, and the retrievals become more robust against changes in the retrieval window. For both the FTS and SCIAMACHY measurements, the retrieved total H<sub>2</sub>O columns decrease by 2–4 % and we find a negative shift of the HDO/H<sub>2</sub>O ratio, which for SCIAMACHY is partly compensated by changes in the retrieval setup and calibration software. The updated SCIAMACHY HDO/H<sub>2</sub>O product shows somewhat steeper latitudinal and temporal gradients and a steeper Rayleigh distillation curve, strengthen-

ing previous conclusions that current isotope-enabled general circulation models underestimate the variability in the near-surface HDO/H<sub>2</sub>O ratio.

## 1 Introduction

Water vapour is the strongest natural greenhouse gas, with a positive feedback on global warming (Soden et al., 2005). However, many processes related to the hydrological cycle and its response to climate change are poorly understood. To progress towards robust climate predictions, global general circulation models (GCMs) must therefore correctly model the hydrological cycle, for which isotopes can provide a valuable benchmark.

In the study of hydrological cycles, the fractionation of stable water isotopologues, such as HDO and H<sub>2</sub><sup>18</sup>O, has proved to be a useful proxy for evaporation and condensation temperatures (Dansgaard, 1964). This led to the application of stable water isotopologues in the field of paleoclimatology (Dansgaard et al., 1969) and in numerous studies of atmospheric transport and recycling processes (Craig and Gordon, 1965; Ehhalt, 1974; Jouzel et al., 1987; Risi et al., 2010).

Initially, most studies made use of isotope data from in situ measurements, such as ice cores (Jouzel et al., 1997; Petit et al., 1999), aircraft flights (Ehhalt et al., 2005) or the

IAEA's Global Network of Isotopes in Precipitation (GNIP database; see, e.g. Araguás-Araguás et al., 2000). More recently, remotely-sensed measurements of the water vapour stable isotopic composition have become available, both from satellite-based instruments such as the Interferometric Monitor for Greenhouse gases (IMG, Zakharov et al., 2004), the Thermal Emission Spectrometer (TES, Worden et al., 2007), the SCanning Imaging Absorption SpectroMeter for Atmospheric CartographY (SCIAMACHY, Frankenberg et al., 2009) and the Infrared Atmospheric Sounding Interferometer (IASI, Herbin et al., 2009; Lacour et al., 2012), as well as from ground-based networks of Fourier-Transform Spectrometers (FTS), such as the Total Carbon Column Observing Network (TCCON, Wunch et al., 2011) and the Network for Detection of Atmospheric Composition Change (NDACC, formerly the Network for Detection of Stratospheric Change (NDSC), Kurylo and Solomon, 1990). Combined, these measurements have the potential to assess and improve the performance of current GCMs, as recently shown by Frankenberg et al. (2009); Risi et al. (2010, 2012a,b); Schneider et al. (2010b); Yoshimura et al. (2011).

Although these comparisons with the datasets are used to evaluate model performance, the datasets themselves also undergo continuous improvements and extensions. In this paper we aim to further improve the SCIAMACHY HDO/H<sub>2</sub>O dataset by evaluating and improving the water spectroscopy used in the retrieval. Uncertainties in absorption line parameters are a common source of uncertainty in the retrieval of atmospheric trace gases. Frankenberg et al. (2008a) and Schneising et al. (2009) showed that changing the water vapour spectroscopic parameters removed a tropical bias in methane retrieved with SCIAMACHY. Similarly, by systematically updating the pressure broadening parameters of methane absorption lines from 5860 to 6185 cm<sup>-1</sup> using laboratory spectra, Frankenberg et al. (2008b) reduced the systematic fit residuals of high-resolution FTS measurements by a factor of 3–4 and further improved the accuracy of the low-resolution SCIAMACHY retrievals of methane by ~1 % of the column averaged mixing ratio. These results were qualitatively confirmed by Schneising et al. (2009) using an independent retrieval algorithm.

Here, we apply a similar technique with the aim of reducing any possible systematic bias in retrievals of HDO/H<sub>2</sub>O from SCIAMACHY. We use a high-resolution laboratory spectrum of a mixture of water vapour and air between 4174–4300 cm<sup>-1</sup> to systematically improve the intensity, air pressure broadening and pressure shift parameters of the water lines. We then test the improved spectroscopy on a series of ground-based high-resolution FTS spectra as well as on low-resolution SCIAMACHY retrievals of H<sub>2</sub>O and the ratio HDO/H<sub>2</sub>O.

Throughout this work we express the ratio HDO/H<sub>2</sub>O of the retrieved vertical column densities using the standard “delta-notation” for the fractionation of HDO relative to Vi-

enna Standard Mean Ocean Water (VSMOW):

$$\delta D = \left( \frac{\text{VCD}(\text{HDO})/\text{VCD}(\text{H}_2\text{O})}{R_s} - 1 \right) \times 1000 \text{ ‰}, \quad (1)$$

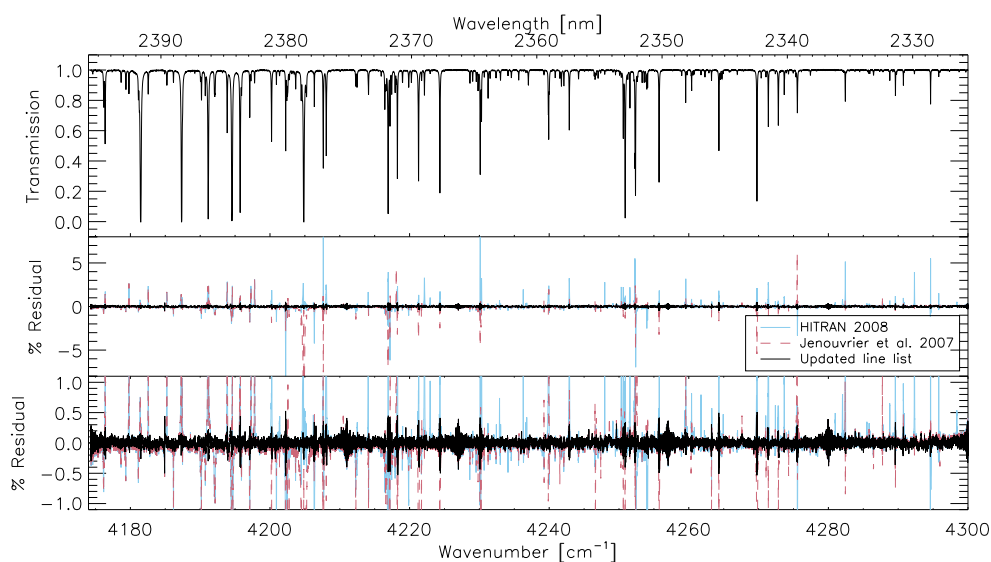
in which VCD stands for vertical column density and  $R_s = 3.1152 \times 10^{-4}$  is the HDO abundance of VSMOW (Craig, 1961).

We describe the setup used for improving the water spectroscopy in Sect. 2. The impact of the improved spectroscopy is discussed in Sect. 3 for the ground-based FTS retrievals and in Sect. 4 for the SCIAMACHY HDO/H<sub>2</sub>O and H<sub>2</sub>O retrievals. We conclude our work in Sect. 5.

## 2 Improved water spectroscopy

Jenouvrier et al. (2007) obtained a series of high-resolution FTS spectra of water vapour in a gas cell in the 4200–6600 cm<sup>-1</sup> region, which they used to construct a new line list of spectral parameters, including many new weak lines. The spectra were measured using long, medium and short path-lengths to cover the large dynamic range (10 decades) in line intensities. Measurements from the long path-length setup were recorded with a Bruker IFS 120M FTS with an unapodized resolution of 0.015 cm<sup>-1</sup>, coupled to a multiple-reflection absorption cell with a 50 m base length. From these measurements, we have used one spectrum (run *j* in their Table 1) in the range 4174–4300 cm<sup>-1</sup> to further update the derived line parameters. The range 4174–4300 cm<sup>-1</sup> was chosen to provide overlap with the different micro-windows used by the CO, H<sub>2</sub>O and HDO/H<sub>2</sub>O retrievals in channel 8 of SCIAMACHY. We first divided by a blank spectrum (their run *a*) to normalise the spectrum and remove any contribution from water vapour absorption between the gas cell and the spectrometer. The normalised spectrum is shown in the top panel of Fig. 1. The gas cell for run *j* contained a mixture of water vapour (a partial pressure of 4.04 hPa) and air (a partial pressure of 333.6 hPa) at a temperature of 293 K, and had an effective path length of 602.32 m, which corresponds to a total H<sub>2</sub>O column density of  $6.02 \times 10^{21}$  molecule cm<sup>-2</sup>. However, due to possible condensation of water vapour on the cell walls, or evaporation of water residue in the cell, the actual total column density of water vapour in the cell could differ. We therefore determined the total column density by fitting the spectrum, allowing only for a change in the column density while keeping all line parameters fixed to the Jenouvrier et al. (2007) values. This resulted in a total column density of  $6.12 \times 10^{21}$  molecule cm<sup>-2</sup>.

We then fixed the total column density and fitted the spectrum again, using an optimal estimation technique that relies on additional constraints (Rodgers, 2000), similar to the technique described in Frankenberg et al. (2008b). The target parameters were the line intensity, pressure broadening coefficient ( $\gamma_{\text{air}}$ ) and the pressure-induced shift ( $\delta_{\text{air}}$ ) for each individual water line, as well as a low order polynomial to



**Fig. 1.** Top: laboratory spectrum of water vapour for  $T = 293$  K,  $P_{\text{H}_2\text{O}} = 4.04$  hPa and  $P_{\text{air}} = 333.6$  hPa, adopted from Jenouvrier et al. (2007, their run  $j$ ). Middle: fit residuals (measured-modelled times 100) using HITRAN 2008 (blue), the original Jenouvrier et al. (2007) line list (red) and after fitting for the line parameters in this work (black). Bottom: close-up of the middle panel.

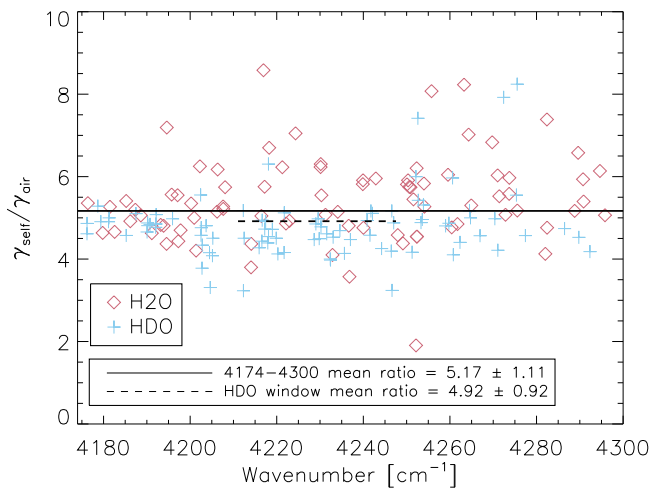
**Table 1.** Statistics of the differences in fitted H<sub>2</sub>O columns between our retrievals for two different windows in the 2.3  $\mu\text{m}$  range using different line lists, and retrievals from TCCON's GFIT algorithm at 1.6  $\mu\text{m}$ . Our updated spectroscopy leads to a clear reduction of the average reduced  $\chi^2$  of the fit residuals,  $\langle \chi^2/\nu \rangle$ , both compared to the original Jenouvrier et al. (2007) line list and HITRAN08. The precision  $\sigma$ , i.e. the standard deviation around the mean relative difference, shows no improvement. The median is most robust against the change in the fitting window for the updated spectroscopy. The absolute value of the median (i.e. the bias) is not a very meaningful parameter as it depends on initial parameters and could easily be calibrated to zero. The last column shows the number of retrievals on which the statistics are based (if less than 50 then not all retrievals reached convergence).

Station	Line list	Window [cm <sup>-1</sup> ]	$\langle \chi^2/\nu \rangle$	$\sigma$	Median	$N$
Darwin	Updated	4174–4300	78.2	3.27 %	10.4 %	50
Darwin	Updated	4212–4248	71.0	3.32 %	9.98 %	50
Darwin	Jenouvrier	4174–4300	235	3.32 %	11.1 %	50
Darwin	Jenouvrier	4212–4248	108	3.17 %	13.5 %	50
Darwin	HITRAN08	4174–4300	242	2.90 %	5.22 %	50
Darwin	HITRAN08	4212–4248	307	2.56 %	1.43 %	50
Park Falls	Updated	4174–4300	63.6	4.05 %	7.63 %	50
Park Falls	Updated	4212–4248	77.9	4.25 %	7.10 %	46
Park Falls	Jenouvrier	4174–4300	168	3.83 %	9.72 %	50
Park Falls	Jenouvrier	4212–4248	98.1	3.90 %	11.3 %	46
Park Falls	HITRAN08	4174–4300	138	3.77 %	3.28 %	50
Park Falls	HITRAN08	4212–4248	147	4.33 %	1.42 %	47

allow for broadband baseline structures. For further details we refer to Frankenberg et al. (2008b). The forward model, which simulates the transmission spectrum through the gas cell, assumed a Voigt line shape.

During the fit, the self-broadening coefficient ( $\gamma_{\text{self}}$ ) was adjusted to five times the pressure broadening coefficient:  $\gamma_{\text{self}}/\gamma_{\text{air}} = 5$ . We did this to maintain consistency with the

SCIAMACHY HDO/H<sub>2</sub>O retrievals, which take self broadening into account by means of a single effective pressure in combination with a cross-section lookup table (see, e.g. Appendix A of Frankenberg et al., 2013). For these retrievals it is assumed that  $\gamma_{\text{self}}/\gamma_{\text{air}} = 5$ , even if self broadening is allowed to be a free parameter in the laboratory fit. Figure 2 shows the  $\gamma_{\text{self}}/\gamma_{\text{air}}$  ratio from the Jenouvrier et al. (2007)



**Fig. 2.** Ratios between the self-broadened and air-broadened half-widths of the original Jenouvrier et al. (2007) spectroscopy. Plotted for the 174 lines with a line intensity stronger than  $10^{-25}$  cm<sup>-1</sup>(molecule cm<sup>-2</sup>)<sup>-1</sup>. The solid and dashed lines indicate the mean ratio for the 4174–4300 cm<sup>-1</sup> and the HDO retrieval window (4212–4248 cm<sup>-1</sup>), respectively.

spectroscopy. It highlights that the use of the fixed ratio of 5 is justified for the majority of spectral lines in the different retrieval windows under study. We tested that by making the self broadening parameter free in the laboratory fit, but by fixing it again to five times the pressure broadening for the SCIAMACHY retrievals, it can have an impact of at most 4 % on the retrieved  $\delta D$ . Since the effect is relatively weak, and easy to account for in a subsequent bias correction, we maintained consistency in our overall setup and worked with a fixed ratio in all algorithms.

The prior covariances ( $1\sigma$ ) during the laboratory fit were 10 % for the relative intensity and  $0.04$  cm<sup>-1</sup> atm<sup>-1</sup> for the pressure broadening coefficient and pressure shift. For H<sub>2</sub>O lines with an intensity weaker than  $10^{-25}$  cm<sup>-1</sup>(molecule cm<sup>-2</sup>)<sup>-1</sup> and HDO lines weaker than  $2.7 \times 10^{-26}$  cm<sup>-1</sup>(molecule cm<sup>-2</sup>)<sup>-1</sup>, the covariances of the pressure broadening and shift were  $< 10^{-5}$  in order to constrain the fit of these parameters to the a priori values for the weakest lines. For the a priori line parameters between 4200–4300 cm<sup>-1</sup> the values from Jenouvrier et al. (2007) were used. Between 4174–4200 cm<sup>-1</sup>, the values were taken from the HITRAN08 database (Rothman et al., 2009) because Jenouvrier et al. (2007) did not provide parameters in this range.

To reduce the uncertainty in the fitted air broadening parameters, ideally one would perform a simultaneous fit to multiple spectra taken at different air pressures (Benner, 1995). However, Jenouvrier et al. (2007) mention a variable contamination of HDO in the gas cell (relative to natural water) caused by the residue of earlier experiments with HDO enhanced water samples. Without correcting for this contam-

ination the updated line intensities of the HDO lines would be abnormally high. We have corrected for this contamination by first fitting only for the line intensities. From the updated HDO intensities a correction factor was found, which was taken into account during the final fit of the spectrum. Because the amount of contamination was variable between the different measurements due to the pumping and filling of the cell, we had to restrict our analysis to a single spectrum.

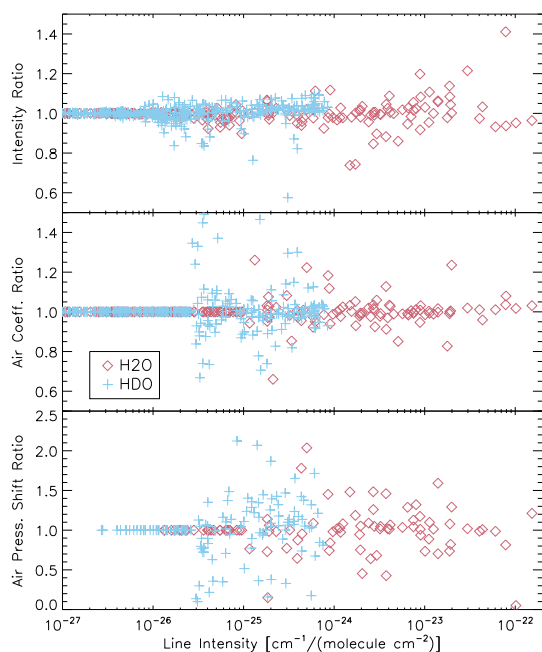
In the bottom panels of Fig. 1, we show the improved fit residuals after the line parameters have been updated. For comparison we also show the fit residuals using the original Jenouvrier et al. (2007) and HITRAN08 line parameters. It shows that the fit residuals have been strongly reduced by updating the line parameters. It should be noted that the HITRAN08 database was compiled with an algorithm using a mixture of measurements, calculated and semi-empirical data (Rothman et al., 2009). The parameters from Jenouvrier et al. (2007) were used in this algorithm, but were not simply copied. The close-up at the bottom panel of Fig. 1 shows 4 regions where the residuals are relatively high, also for the updated line list. These residuals are caused by the edges of the micro-windows used for the fitting procedure, which were deliberately chosen in regions without strong water lines. Ratios between the updated and original parameters are shown in Fig. 3. In the supplementary material related to this paper we provide the full list of updated line parameters.

### 3 Impact on ground-based FTS H<sub>2</sub>O retrievals

We have tested the impact of the updated water spectroscopy on a series of total column retrievals of high-resolution ground-based direct-sun FTS spectra. Due to their high resolution, improvements in the spectroscopic line parameters will show up clearly as improvements in the fit residuals (Frankenberg et al., 2008a,b), whereas a comparison with a time series of known total columns could reveal improvements in the retrieval accuracy and precision (Galli et al., 2012). To sample different latitudes and thus different total water columns, we have used measurements from FTS stations at Ny Ålesund (one spectrum, Palm et al., 2010), Bremen (seven spectra, Messerschmidt et al., 2010) and Paramaribo (three spectra, Warneke et al., 2010), as well as two time series of 50 spectra each from Park Falls (Washenfelder et al., 2006) and Darwin (Deutscher et al., 2010). For the spectra from Park Falls and Darwin we also know the retrieved total columns of H<sub>2</sub>O that were derived in different spectral windows around 1.6 μm using the GFIT algorithm. These TCCON measurements will be used as our time series of known measurements.

#### 3.1 Retrieval algorithm

For the retrieval algorithm we used a simplified version of the algorithm from Butz et al. (2011), also described in Galli



**Fig. 3.** Ratio of the updated line parameters over the original line parameters. Top: line intensities. Middle: air broadening coefficients. Bottom: air pressure shifts.

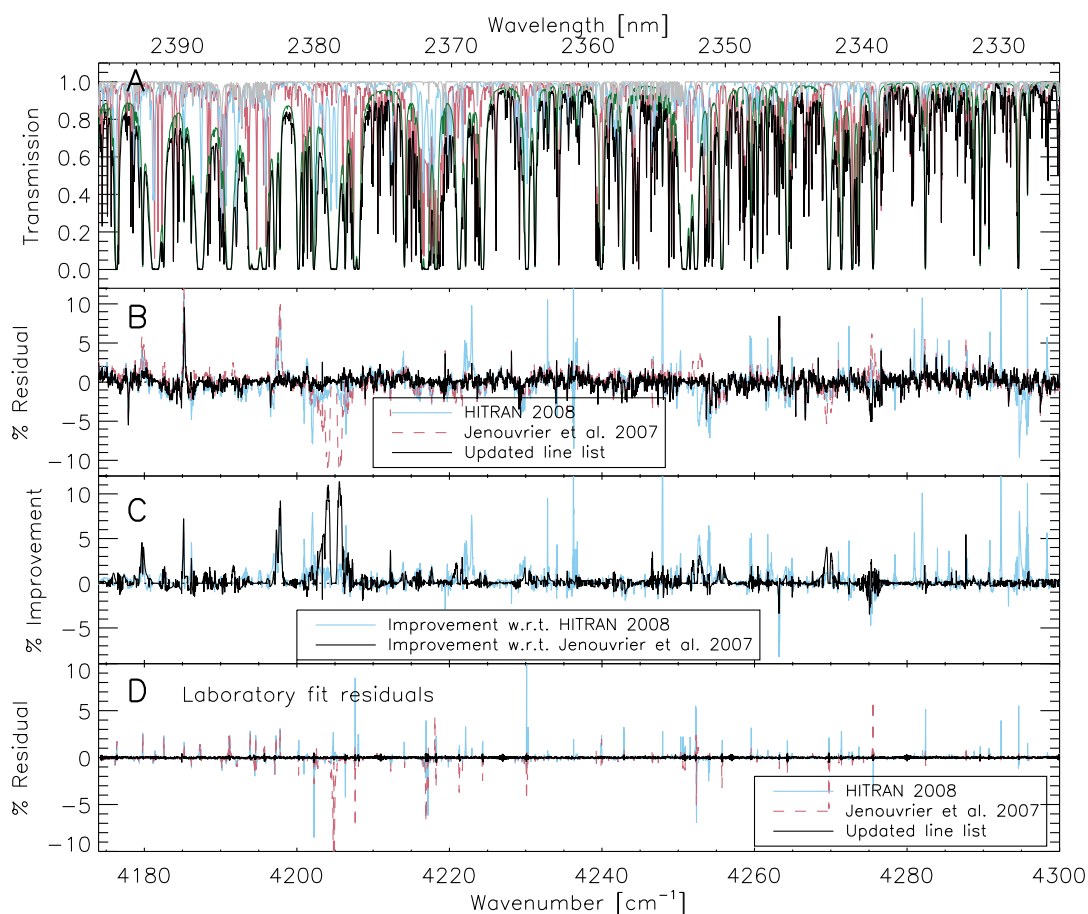
et al. (2012). Since we are dealing with direct-sun measurements, we can neglect scattering processes and restrict ourselves to a total column retrieval of the relevant absorbing species. The retrieval parameters contained in the state vector are the total column number densities of the target species H<sub>2</sub>O and the interfering species HDO, H<sub>2</sub><sup>18</sup>O, CO and CH<sub>4</sub>, a second order polynomial for the baseline of the spectrum and a spectral shift. We use a simple least-squares method without side-constraint to infer the state vector from the FTS spectra. The shape of the absorber profiles are assumed to be identical to those of the a priori profiles. All profiles are interpolated onto 72 atmospheric layers. We have used a retrieval window of 4174–4300 cm<sup>-1</sup> (the entire window for which the line parameters were updated) as well as a retrieval window covering 4212–4248 cm<sup>-1</sup>. The latter window covers the same spectral range as the retrieval window of the SCIAMACHY HDO/H<sub>2</sub>O measurements and allows for a more consistent comparison. The retrieval algorithm relies on prior information for the vertical profiles of pressure, humidity, temperature, CO and CH<sub>4</sub>. For the pressure, humidity and temperature the profile was extracted from the European Centre for Medium-range Weather Forecasts (ECMWF) ERA-interim analysis. The ERA-interim fields are 6 h and on a 1.5° × 1.5° longitude × latitude grid and were interpolated to the time and location of the FTS measurements. For the single measurement taken at Ny Ålesund a vertical profile from a radiosonde was also available. For the FTS measurements that were taken in 2007 (five of the seven Bremen spectra and all three Paramaribo spectra), the

CO and CH<sub>4</sub> a priori profiles were taken from the Chemistry Transport Model (TM4) runs (Meirink et al., 2006) and interpolated to the time and location of the measurements. For the Ny Ålesund spectrum (from 2005) and two Bremen spectra (from 2006) these data were not available, so these profiles were interpolated to the correct location but for the corresponding day in 2007. For the Park Falls and Darwin spectra we used a local yearly averaged profile for both CH<sub>4</sub> and CO. We have tested that changing the a priori CH<sub>4</sub> and CO profile from a spatially and temporally collocated profile to a globally averaged profile does not change our conclusions. The spectroscopic line parameters for CH<sub>4</sub> and CO were taken from HITRAN08. For the solar lines we use the line list from G. C. Toon (private communication, 2010).

### 3.2 Impact on the residuals

In Fig. 4 we show the impact of the updated water spectroscopy on a Paramaribo FTS spectrum taken at 11:38 UT on 27 October 2007. The spectrum shows many strong water absorption lines due to the tropical location and consequently high total water column (a fitted total water column of  $1.6 \times 10^{23}$  molecule cm<sup>-2</sup>). The figure shows that the updated line list results in an improvement of up to ~12 % (of the continuum level) in the fit residuals compared to using the water line parameters from either HITRAN08 or Jenouvrier et al. (2007). Similar improvements of fit residuals are found for all other spectra from Paramaribo, Ny Ålesund, Bremen, Park Falls and Darwin.

Although the majority of the fit residuals improved, we also find that a few specific residuals deteriorated using the updated spectroscopy. This is most noticeable around 4263.2 cm<sup>-1</sup> and 4275 cm<sup>-1</sup>. We show a close-up of the first residual in Fig. 5. These deteriorated residuals are likely caused by our fixed  $\gamma_{\text{self}}/\gamma_{\text{air}}$  ratio of 5.0, since for these lines the ratio is actually ~ 8 (Fig. 2). The residuals of the laboratory spectrum fit (panel d in Fig. 5) are reduced with respect to the Jenouvrier et al. (2007) line list due to a small negative  $\delta_{\text{air}}$  shift combined with a reduction in  $\gamma_{\text{air}}$ . With the fixed ratio, this reduction in  $\gamma_{\text{air}}$  implies a large, and possibly erroneous, reduction in  $\gamma_{\text{self}}$ . Moreover, the deteriorated residuals partly overlap with CH<sub>4</sub> lines of which the line parameters might also be inaccurate, further complicating the fit. We tested that fitting the  $\gamma_{\text{air}}$  and  $\gamma_{\text{self}}$  parameters independently on the laboratory spectrum leads to less deterioration in the residuals of the FTS fit, even if during the FTS fit  $\gamma_{\text{self}}$  is again fixed to  $5 \times \gamma_{\text{air}}$ . However, for consistency, and because the deteriorated residuals are outside the SCIAMACHY HDO/H<sub>2</sub>O retrieval window, we decided to keep the  $\gamma_{\text{self}}/\gamma_{\text{air}}$  ratio fixed for all laboratory, FTS and SCIAMACHY fits.



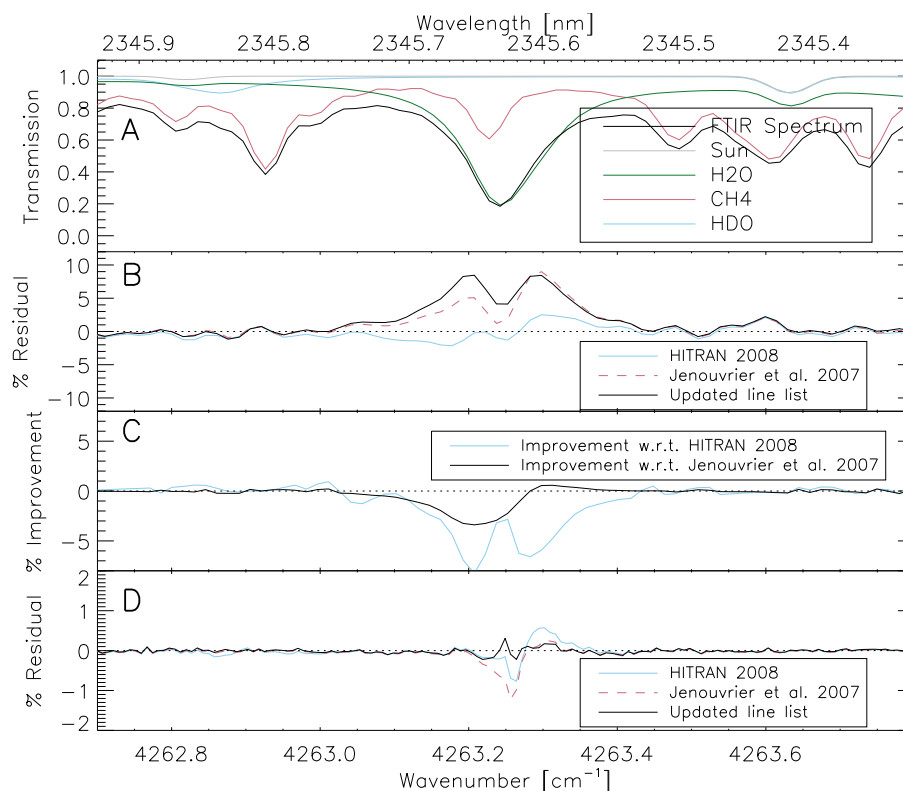
**Fig. 4.** Impact of the updated spectroscopy on the fit residuals of an FTS spectrum taken at Paramaribo. **(A)** Measured normalized transmission (black). The different colours show the contributions of all the modelled species (red: CH<sub>4</sub>, green: H<sub>2</sub>O, blue: HDO, grey: sun reference). **(B)** Residuals, plotted as 100×(spectrum-model) for a fit using HITRAN 2008 (blue), the original Jenouvrier et al. (2007) spectroscopy (red) and the updated spectroscopy from this work (black). Note that we have set the Jenouvrier et al. (2007) spectroscopy below 4200 cm<sup>-1</sup> equal to HITRAN 2008. **(C)** Difference between the absolute values of the residuals using HITRAN 2008 and this work (blue) and the original Jenouvrier et al. (2007) and this work (black). Positive values indicate improved residuals, while negative values indicate deteriorated residuals. The majority of the residuals improved w.r.t. HITRAN 2008 and Jenouvrier et al. (2007), while only a few residuals deteriorated. **(D)** The corresponding laboratory fit residuals of the water lines from Fig. 1.

### 3.3 Impact on precision and accuracy

To study the impact of the updated spectroscopy on retrieval precision and accuracy (i.e. bias), we have compared the Ny Ålesund, Bremen and Paramaribo FTS retrievals with H<sub>2</sub>O columns that we derived from the collocated ECMWF profiles. For Ny Ålesund we also have data from a collocated radiosonde, launched 188 min after the FTS measurement. We compared the Park Falls and Darwin retrievals with H<sub>2</sub>O columns that were fitted around 1.6 μm using TCCON's GFIT algorithm (Wunch et al., 2011).

Ideally, these reference data would be independent of the spectroscopy being studied and free of any biases. The TCCON dry-air mole fractions, for example, have been calibrated with independent data from nearby sonde profiles. However, such calibrations have not been applied to the to-

tal column values that we use as our reference for the Park Falls and Darwin retrievals. Furthermore, we should note that the choice of the fixed total column density of the gas cell used for the laboratory measurements could lead to a bias. As described in Sect. 2, we have slightly increased the column density compared to the literature value, for which we first fixed the line parameters to the Jenouvrier et al. (2007) values. Any change in this column density or the initial line intensities could lead to a bias. Similarly, different methods to integrate ECMWF profiles could lead to a bias. It is therefore meaningless to study the absolute accuracy in the comparison between the total columns of our retrievals and those from our reference data. We can, however, use reference data to study the retrieval precision (i.e. measurement-to-measurement variability) and the robustness of the bias against changes in the retrieval window.



**Fig. 5.** Close-up of Fig. 4 around a line of which the residuals deteriorate. **(A)** Measured normalized transmission. The different colours show the contributions of all the modelled species. **(B)** Residuals. **(C)** Difference between the absolute values of the residuals. **(D)** The corresponding laboratory fit residuals.

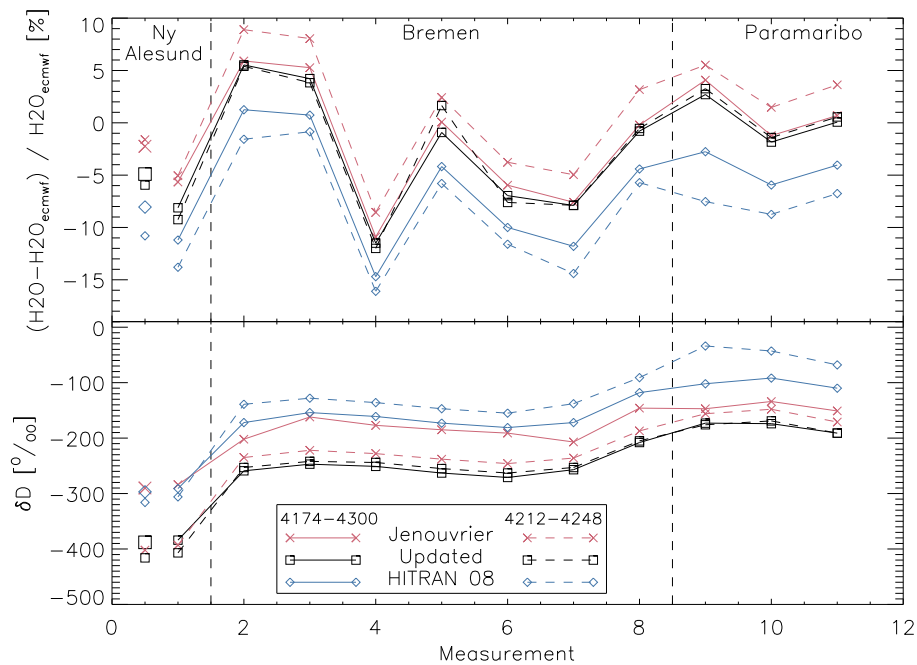
In the top panel of Fig. 6 we show the relative differences between the total column H<sub>2</sub>O from our retrievals and the integrated ECMWF profiles. No clear improvement in the scatter (precision) can be observed for the updated line list, but there are some differences in the bias between the line lists. As mentioned above, we cannot draw conclusions on the absolute accuracy of the different line lists. We do find, however, a striking difference in the robustness of the retrievals to the change in the fitting window from 4174–4300 cm<sup>-1</sup> (solid lines) to 4212–4248 cm<sup>-1</sup> (dashed lines). Retrievals using the updated line list are robust against this change, while retrievals using the other line lists are more sensitive to the spectral window used for the retrieval. Being robust against changes in the fitting window provides more confidence in the spectroscopy and is clearly advantageous, both for current and future instrumentation, as it allows for a more flexible choice of the retrieval window.

In the bottom panel of Fig. 6 we compare  $\delta D$  derived from these FTS retrievals. Also from this panel it is evident that the retrievals using the updated line list are most robust against the change in retrieval window. Although we cannot verify any possible constant bias in  $\delta D$  due to the lack of reference data, there is a clear correlation between  $\delta D$  and the total amount of moisture in the column (low for arctic Ny Ålesund

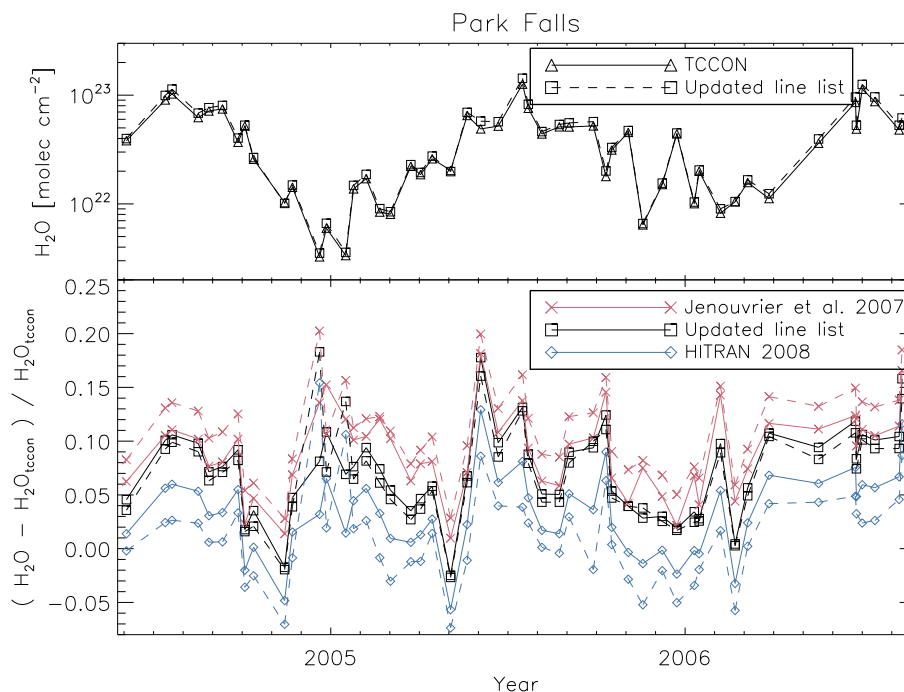
and high for tropical Paramaribo), consistent with the rainout effect caused by Rayleigh distillation (Dansgaard, 1964).

In Fig. 7 (bottom panel) we show time series of the relative differences between fitted H<sub>2</sub>O columns at 2.3  $\mu\text{m}$  and the H<sub>2</sub>O columns from TCCON's GFIT algorithm at 1.6  $\mu\text{m}$ . The time series are for 50 Park Falls spectra; the results for 50 Darwin spectra look similar. The top panel shows the time series of the absolute H<sub>2</sub>O columns. The overall correlation between the 1.6  $\mu\text{m}$  and 2.3  $\mu\text{m}$  region is very good (Pearson's  $R = 0.999$  for all line lists).

From the Park Falls and Darwin time series we derived different statistics, which are summarised in Table 1 for the three different line lists and the two different retrieval windows. The precision of the retrievals is defined as the standard deviation  $\sigma$  around the mean relative difference with the TCCON values. The median of the relative differences is representative of the bias, and the fit residuals are expressed as the averaged reduced chi-square:  $\langle \chi^2/\nu \rangle$ . Figure 7 and Table 1 show that there is no improvement in precision for the updated line list: all  $\sigma$ 's are comparable (the small differences could be explained by the average relative error of the TCCON values: 0.45 % for Darwin and 0.73 % for Park Falls). Our updated line list, however, shows a clear reduction in  $\langle \chi^2/\nu \rangle$  compared to the other line lists and the median is

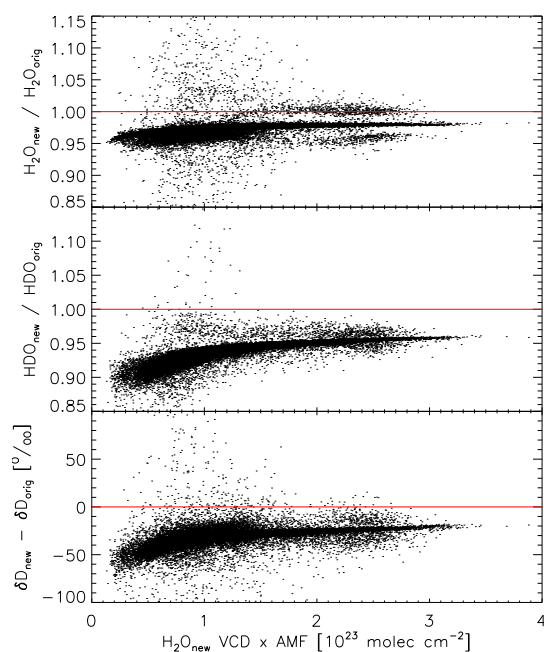


**Fig. 6.** The impact of the different line lists and retrieval windows on the H<sub>2</sub>O column and  $\delta D$  from different ground-based FTS spectra. Solid and dashed lines are for the 4174–4300 cm<sup>-1</sup> and 4212–4248 cm<sup>-1</sup> window, respectively. Measurements for the different stations are separated by the vertical dashed lines (stations indicated at the top). Top: relative difference with the total column H<sub>2</sub>O derived from ECMWF. For the Ny Ålesund retrieval we also compare with the total column derived from a radiosonde, indicated by the separated symbols on the left (large symbols for 4174–4300 cm<sup>-1</sup> and small symbols for 4212–4248 cm<sup>-1</sup>). Bottom: retrieved  $\delta D$ .



**Fig. 7.** H<sub>2</sub>O FTS retrieval accuracy for Park Falls. Top: time series of the fitted H<sub>2</sub>O columns using TCCON's GFIT algorithm at 1.6  $\mu$ m, compared to using our updated line list in the 4174–4300 cm<sup>-1</sup> range (2.3  $\mu$ m). Bottom: time series of the relative differences with TCCON for all different line lists. Solid and dashed lines are for the 4174–4300 cm<sup>-1</sup> and 4212–4248 cm<sup>-1</sup> window, respectively.





**Fig. 8.** Top: ratio between all the retrieved vertical column densities (VCDs) for SCIAMACHY in June 2003 of H<sub>2</sub>O using the updated spectroscopy (“new”) and the original spectroscopy (“orig”). Plotted as a function of the H<sub>2</sub>O VCD multiplied by the air mass factor (AMF), which is a good metric for the total amount of water vapour as seen by the detector. The updated spectroscopy leads to a reduction of the H<sub>2</sub>O columns of ~2–5% for the majority of the ground pixels. Middle: same for the retrieved HDO VCD. The updated spectroscopy leads to a reduction of the HDO columns of ~4–10% for the majority of the ground pixels and the reduction increases for the driest columns. Bottom: shift in  $\delta D$  corresponding to the top two panels. The updated spectroscopy leads to a negative shift of ~25‰ for the majority of the ground pixels and this shift becomes larger for the driest columns.

more robust against the change in retrieval window. Similar to Fig. 6, this robustness is also visible in the lower panel of Fig. 7 as the difference between the solid and dashed lines is smallest for the updated line list.

#### 4 Impact on SCIAMACHY retrievals

In the previous section we saw the impact of the updated spectroscopy on high-resolution ground-based FTIR measurements. Besides lower fit residuals and increased robustness against a fit window change, the updated spectroscopy led to lower total H<sub>2</sub>O columns and a negative shift in  $\delta D$  compared to the original Jenouvrier et al. (2007) line list. Although we have tested that these results do not change if we convolve the high-resolution TCCON spectra to the lower resolution of SCIAMACHY, in this section we study the impact on the SCIAMACHY HDO/H<sub>2</sub>O ratio and H<sub>2</sub>O retrievals themselves.

### 4.1 Retrieval algorithms

#### 4.1.1 IMAP HDO/H<sub>2</sub>O

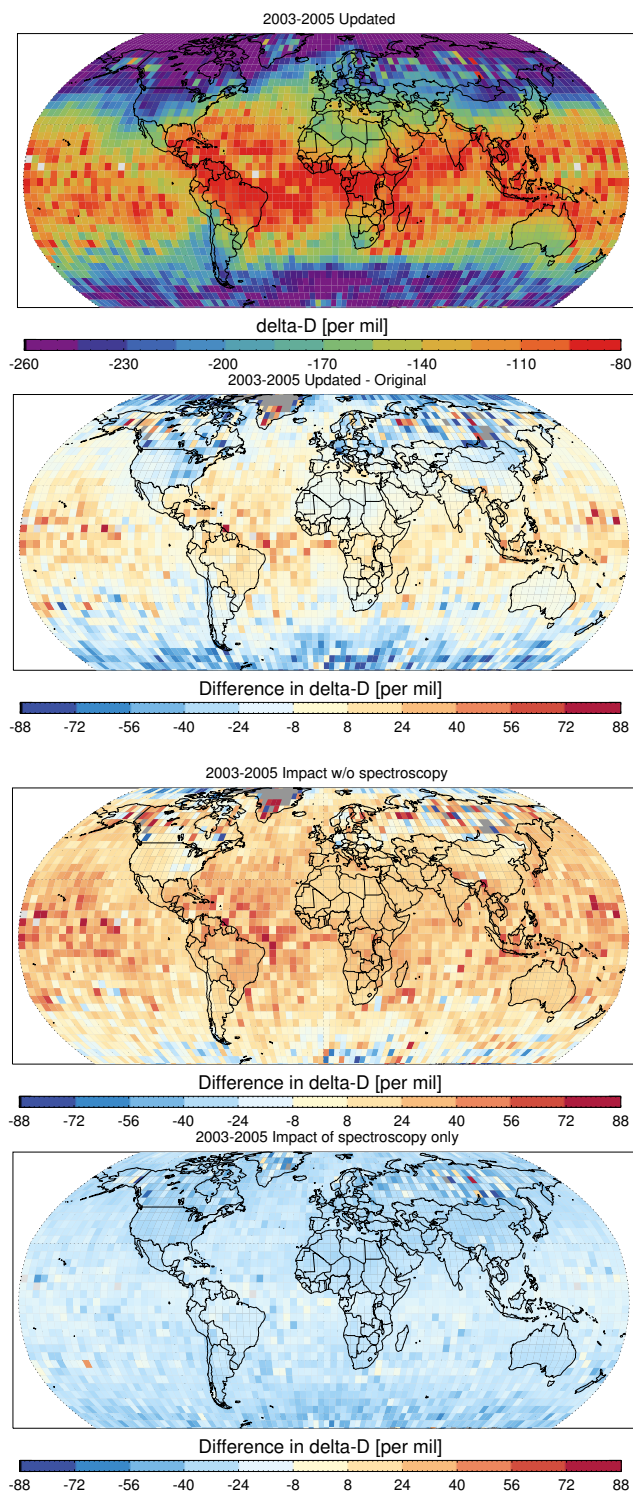
The primary goal of the work presented here is to further improve the accuracy of the HDO/H<sub>2</sub>O product retrieved from SCIAMACHY data using the Iterative Maximum A-Posteriori (IMAP) algorithm. This retrieval algorithm follows the approach from Rodgers (2000) and is almost identical to the algorithm used for SCIAMACHY’s IMAP CH<sub>4</sub> retrievals (Frankenberg et al., 2005, 2011). We refer the reader to those papers for a more detailed description of the algorithm. The HDO/H<sub>2</sub>O product was first described by Frankenberg et al. (2009), and consequently used in some first comparisons with isotope-enabled GCMs (Risi et al., 2010; Yoshimura et al., 2011), as well as in diverse case studies regarding, e.g. paleoclimatology using isotopic fractionation in leaf wax from Lake Malawi (Konecky et al., 2011), the identification of moisture sources during the Madden-Julian oscillation (Berkelhammer et al., 2012) and Asian monsoon hydrology (Lee et al., 2012).

For the retrieval of the HDO/H<sub>2</sub>O ratio we use a micro-window in channel 8 (the short-wave infrared) of SCIAMACHY, ranging from 4212 to 4248 cm<sup>-1</sup>. Because SCIAMACHY observes sunlight backscattered off the Earth’s surface, the radiation passes the entire atmosphere twice, resulting in strong absorption signatures of H<sub>2</sub>O and CH<sub>4</sub> and weaker absorption signatures of HDO and CO. Due to this combination of wavelength range and observation geometry, we obtain a high sensitivity to water vapour near the surface, as opposed to thermal emission spectrometers such as TES and IASI, which have a peak sensitivity around ~700 hPa (Worden et al., 2006).

The water spectroscopy used for the original HDO/H<sub>2</sub>O product was taken from Jenouvrier et al. (2007). The CH<sub>4</sub> spectroscopy was taken from the HITRAN06 database (Rothman et al., 2005, same as HITRAN08 for this region), patched with updated broadening parameters from Predoi-Cross et al. (2006). The CO spectroscopy comes from HITRAN08.

Although the effects of scattering in the atmosphere are low in the short-wave infrared, compared to shorter wavelength regions, the retrieval of absolute total columns could in principle still be biased by light-path modifications due to scattering, which are unaccounted for in the retrieval. However, these scattering effects and possibly other, unresolved instrumental biases, will mostly cancel out by strictly considering only the ratio of the retrieved total columns. This is especially true for the HDO/H<sub>2</sub>O ratio considered here, since the applied micro-window is the same for both absorbing species, negating most wavelength dependent biases.

We use a slightly updated version of the HDO/H<sub>2</sub>O product compared to the product described in Frankenberg et al. (2009). Before updating the water line parameters as described in Sect. 2, we fixed a bug in our temperature



**Fig. 9.** Top: updated 2003–2005 global average world map of  $\delta D$ . Second: difference between the updated world map and the original world map from Frankenberg et al. (2009). Third: impact on the world map solely caused by updates in the instrument calibration (the original spectroscopy was used). Bottom: impact on the world map solely caused by the updated spectroscopy.

correction of the water line intensities from the Jenouvrier et al. (2007) temperature (293 K) to the standard reference temperature of 296 K as used by HITRAN. This resulted in 1–1.5 % lower intensities and, correspondingly, 1–1.5 % higher total column densities. Next, we included H<sub>2</sub><sup>18</sup>O as an independent third water isotopologue in the retrieval. The majority of the H<sub>2</sub><sup>18</sup>O lines are weaker than the HDO lines in our retrieval window. However, we found a significant (11 %) improvement in the fit residual around 4235.5 cm<sup>-1</sup> of ground-based FTS spectra by including H<sub>2</sub><sup>18</sup>O as an independent species. Finally, we used an updated version of the SCIAMACHY instrument calibration software (the *nadc\_tools* software package developed at SRON<sup>1</sup>), which contains many small improvements in, e.g. the orbital variation of the dark current correction. All these updates lead to small differences in the retrieved HDO/H<sub>2</sub>O, even before updates in the spectroscopy were considered. In the remainder of this work we will indicate whether the impacts on the SCIAMACHY HDO/H<sub>2</sub>O retrievals are caused by spectroscopic updates or by the updates to the calibration software.

#### 4.1.2 IMLM H<sub>2</sub>O

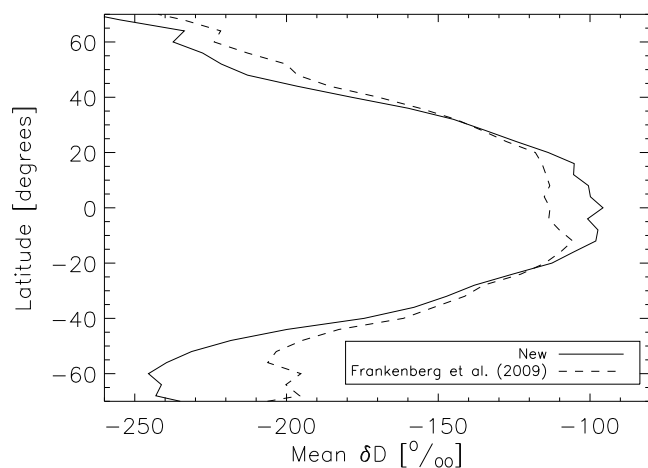
We also use the H<sub>2</sub>O product retrieved in channel 8 of SCIAMACHY using the iterative maximum likelihood method (IMLM) retrieval algorithm of Schrijver et al. (2009). This product differs from the IMAP HDO/H<sub>2</sub>O product mainly because it is a total column product instead of a ratio product. This product has been described in detail and has been validated against total H<sub>2</sub>O columns from ECMWF in Schrijver et al. (2009). The retrieval window used ranged from 4223 to 4250 cm<sup>-1</sup>, which is slightly smaller but strongly overlapping with the window used for the HDO/H<sub>2</sub>O ratio product (4212 to 4248 cm<sup>-1</sup>).

Similarly to the original IMAP HDO/H<sub>2</sub>O product, the water spectroscopy line list for the IMLM H<sub>2</sub>O product was taken from Jenouvrier et al. (2007). We can therefore use this product as an additional test of the impact of the spectroscopic improvements.

#### 4.2 Impact on IMAP HDO/H<sub>2</sub>O

First we look at the impact on the measured vertical column densities (VCDs) of H<sub>2</sub>O and HDO of individual ground pixels using the IMAP algorithm. In Fig. 8 we show the ratio of the new VCD over the original VCD as a function of the measured slant column density (which is the more directly measured quantity than the VCD) for June 2003. The figure shows that the updated spectroscopy leads to a reduction in the H<sub>2</sub>O VCD of ~ 2–5 % for the majority of the ground pixels and a reduction of ~ 4–10 % for the HDO VCD. The reduction of HDO is larger than the reduction of H<sub>2</sub>O, which leads to a corresponding negative shift in  $\delta D$  of ~ 20–50 ‰. This is consistent with the ground-based FTIR results from

<sup>1</sup><http://www.sron.nl/~richardh/SciaDC/>



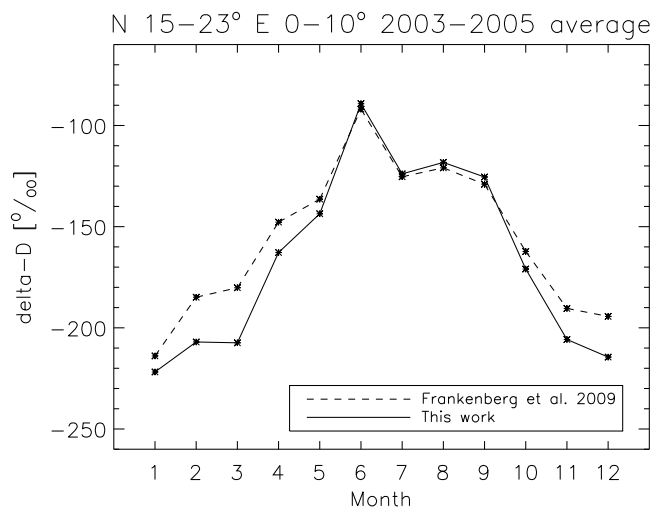
**Fig. 10.** Latitudinal gradient of  $\delta D$  of the new retrieval (including spectroscopic updates and new calibration software) compared to the results from Frankenberg et al. (2009).

the previous section, where similar reductions were found for the 4212–4248 cm<sup>-1</sup> retrieval window (see, e.g. the differences between the red and black dashed lines in Fig. 6). Figure 8 also shows that the reductions are largest for the driest columns, which will have an impact on the latitudinal gradient, seasonality and Rayleigh distillation curve, as we will discuss below.

As mentioned in Sect. 4.1.1, our updated SCIAMACHY HDO/H<sub>2</sub>O product also incorporates other updates besides the spectroscopy, such as improvements in the instrument calibration software and the inclusion of H<sub>2</sub><sup>18</sup>O as a separate species. Now that we have seen the impact of the updated spectroscopy, we continue with a sensitivity study to test how the dataset of Frankenberg et al. (2009) will change if we incorporate all improvements simultaneously.

In Fig. 9 we show the impact on the 2003–2005 averaged world map of  $\delta D$ . The top panel shows the world map including all updates. The differences with the original world map are shown in the second panel. The updated world map shows higher values around the tropics and lower values towards the poles. The lower panels show that this increased latitudinal gradient is caused by the combination of increased values due to the updated calibration and decreased values due to the updated spectroscopy, both already containing a small latitudinal gradient. In Fig. 10 the latitudinal gradient is shown by binning over all longitudes in four degree latitude bins. Comparisons between modelled latitudinal isotope gradients and SCIAMACHY observations indicate that the Isotopes-incorporated Global Spectral Model (isoGSM) underestimates the gradient (Yoshimura et al., 2011). This underestimation becomes larger with our updated  $\delta D$  dataset.

Comparing the 2003–2005 averaged observed SCIAMACHY seasonality of  $\delta D$  in the Sahel with models, it was found that both the IsoGSM model (Frankenberg et al., 2009) and the Laboratoire de Météorologie Dynamique-



**Fig. 11.** Impact of the retrieval updates on the seasonality in the Sahel (following Frankenberg et al. (2009)); both seasonalities have been shifted by  $-20$ ‰.

Zoom (LMDZ) model (Risi et al., 2010) underestimate this seasonality. In Fig. 11 we show the impact of the updated retrieval on the Sahelian seasonality. Here the negative shift is largest for the driest columns as well, which leads to a steeper gradient. This further strengthens the previous conclusions that the models currently underestimate the seasonality relative to SCIAMACHY.

We have also tested the impact of the updated retrievals on the slope of a Rayleigh-type curve. Traditionally, a Rayleigh curve shows the relation between the amount of depletion of a water sample (expressed as the ratio HDO/H<sub>2</sub>O) and the remaining amount of water in the sample while the sample is subjected to either evaporation or condensation (Dansgaard, 1964). By taking the natural logarithm of these quantities, the curve becomes a straight line with a slope equal to the fractionation factor  $\alpha - 1$  (a quantity that is also known as the *fractionation*). This fractionation factor  $\alpha$  is temperature dependent and is defined as

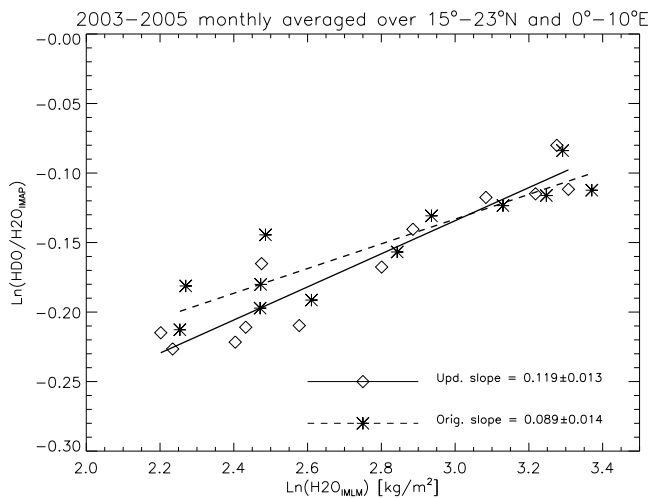
$$\alpha = \frac{R_L}{R_V}, \quad (2)$$

where

$$R = \frac{[\text{HDO}]}{[\text{H}_2\text{O}]} \quad (3)$$

is the abundance ratio and the subscripts “L” and “V” refer to the liquid and vapour phase, respectively.

The temperature dependence of  $\alpha$  has been measured (e.g. Majoube, 1971), which means that we can derive the corresponding fractionation temperature from the fitted slope of a Rayleigh-type curve. The fractionation temperature represents the average temperature of the various evaporation and condensation processes that have occurred during the



**Fig. 12.** Rayleigh-type plot for the region above the Sahel. Monthly averages were taken for the years 2003–2005. For the x-axis, H<sub>2</sub>O retrievals from the IMLM algorithm of Schrijver et al. (2009) were used and matched with our retrievals of the HDO/H<sub>2</sub>O ratio (y-axis). Comparing the original retrievals (stars and dashed line) with the updated retrievals (diamonds and solid line), we find that the updates lead to a steeper slope. The updated and original slope correspond to a fractionation temperature of  $266 \pm 7$  K and  $285 \pm 10$  K, respectively. For the updated retrievals the correlation coefficient increases from 0.90 to 0.95.

history of the water sample. In Fig. 12 we show a Rayleigh-type plot for the original and updated SCIAMACHY retrievals above the Sahel region ( $15^{\circ}$ – $23^{\circ}$  N and  $0^{\circ}$ – $10^{\circ}$  E). For this figure we matched the IMAP HDO/H<sub>2</sub>O retrievals on the y-axis with the IMLM H<sub>2</sub>O retrievals on the x-axis. Monthly averages were taken from the years 2003–2005 (every monthly datapoint containing data from three years). Fitting the slopes with a linear regression, we find that for the updated retrievals the slope increases from  $0.089 \pm 0.014$  to  $0.119 \pm 0.013$ , corresponding to a decrease in fractionation temperature from  $285 \pm 10$  K to  $266 \pm 7$  K. The correlation coefficient increases from 0.90 to 0.95.

Previous comparisons between the isoGSM and LMDZ models and SCIAMACHY measurements have shown that the models generally underestimate the slope of the Rayleigh-type curve. With the increased slope of our updated retrievals this underestimation further increases, underlining that a measurement bias is an unlikely explanation for the discrepancy.

### 4.3 Impact on IMLM H<sub>2</sub>O

In Fig. 8 we saw that the updated spectroscopy led to a reduction of 2–5 % in the fitted H<sub>2</sub>O VCD using the IMAP HDO/H<sub>2</sub>O retrieval. In Fig. 13 we confirm that similar reductions are found using the IMLM H<sub>2</sub>O retrieval. Also, here the largest relative reductions are found for the driest columns. The original IMLM H<sub>2</sub>O columns were compared to col-

located ECMWF H<sub>2</sub>O columns by Schrijver et al. (2009), who found very good agreement, represented by slopes of the regression lines between IMLM and ECMWF in the range of 0.90–1.03. Due to the reductions we find these slopes lower by about 0.03, which means that there remains a very good agreement between H<sub>2</sub>O columns from IMLM and ECMWF.

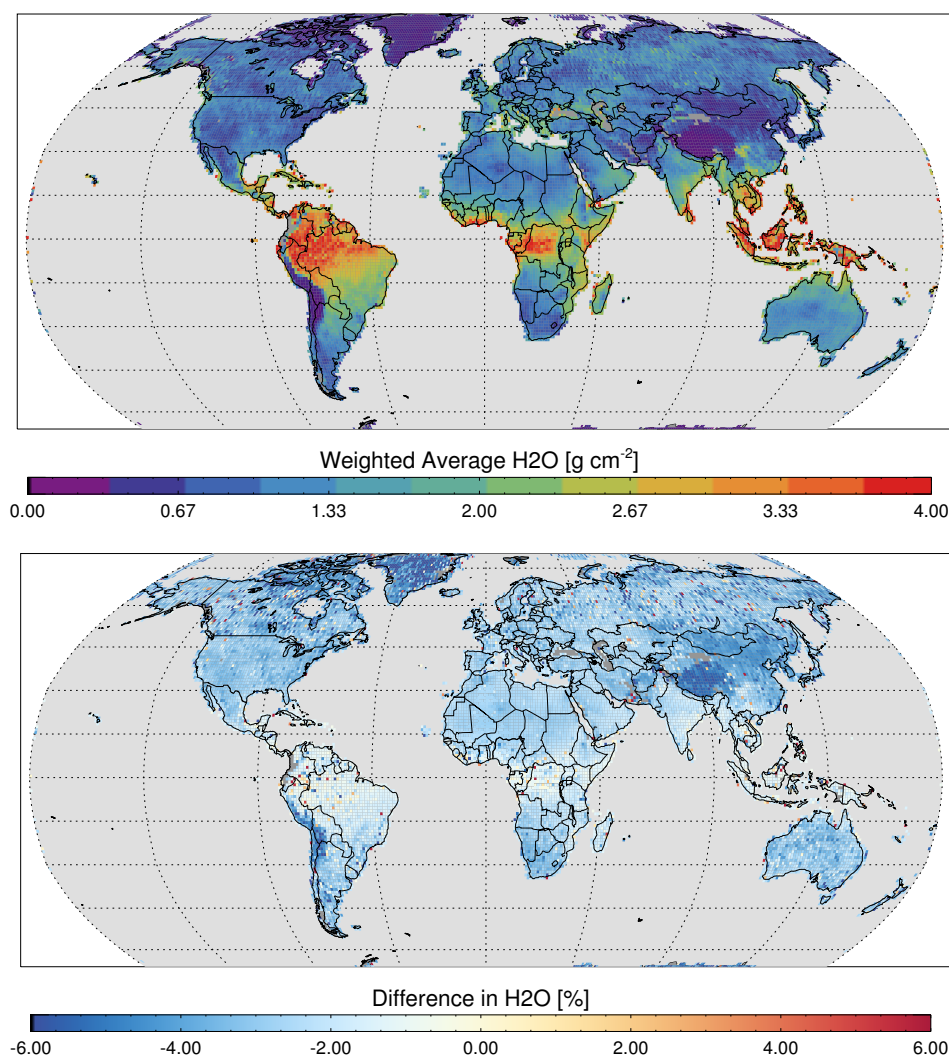
## 5 Conclusions

We have updated the spectroscopic line parameters of the water molecule in the 4174 to 4300 cm<sup>-1</sup> range. This range covers the windows used for the retrieval of H<sub>2</sub>O and the ratio HDO/H<sub>2</sub>O by the SCIAMACHY instrument on board ENVISAT. Taking the line parameters from Jenouvrier et al. (2007) as our a priori, we applied a nonlinear constrained least squares technique based on optimal estimation to derive line intensities, pressure shifts and pressure broadening parameters by fitting a laboratory spectrum of water vapour. The full list of updated line parameters is provided in the supplementary material related to this paper. The impact of the updated line parameters was tested on ground-based FTS measurements as well as on SCIAMACHY retrievals of the ratio HDO/H<sub>2</sub>O and H<sub>2</sub>O.

We find that the updated water line parameters generally lead to improved residuals in the FTS spectra. A comparison with the total column H<sub>2</sub>O product from the TCCON stations at Park Falls and Darwin, as well FTS retrievals for stations at Ny Ålesund, Bremen and Paramaribo, shows that the updated parameters make retrievals more robust against a change in the spectral window used. The retrieved total columns of H<sub>2</sub>O decrease by 2–5 %, which is consistent with a similar decrease in total column H<sub>2</sub>O from the lower resolution SCIAMACHY measurements. Because the decrease is stronger for total column HDO, the updated spectroscopy leads to a negative shift in the measured  $\delta D$  for both FTS as well as SCIAMACHY retrievals, and this shift increases for drier columns.

We have also applied other small improvements to the SCIAMACHY retrievals of the HDO/H<sub>2</sub>O ratio, such as the inclusion of H<sub>2</sub><sup>18</sup>O as an additional absorbing species, and using an updated calibration software package that includes, e.g. a better characterisation of the orbital variation of the dark current. Contrary to the spectroscopy updates, these updates lead to a positive shift in  $\delta D$ .

The main purpose of this study was to test if further improvements to the water spectroscopy around the 4200 cm<sup>-1</sup> range would lead to further improvements in the SCIAMACHY retrievals of HDO/H<sub>2</sub>O and whether spectroscopic uncertainties could even explain the previously observed model-measurement mismatch. We find that all updates in spectroscopy and instrument calibration combined have a small impact on the previous SCIAMACHY HDO/H<sub>2</sub>O dataset first described by Frankenberg et al. (2009). The global latitudinal gradient of  $\delta D$  becomes



**Fig. 13.** Top: 2005–2007 weighted average of water vapour using the IMLM retrieval algorithm with the original Jenouvrier et al. (2007) line parameters. Bottom: relative differences (new–original/original) caused by the updated water spectroscopy.

steeper, as well as the  $\delta D$  seasonality above the Sahel, which shows even more depletion of  $\delta D$  in winter. This implies that the conclusions of previous studies that compared SCIAMACHY's  $\delta D$  measurements to modelled values still hold, namely that the current isotope-enabled GCM models underestimate the variability in near-surface  $\delta D$  (Frankenberg et al., 2009; Risi et al., 2010; Yoshimura et al., 2011). Plotted as a Rayleigh-type fractionation curve, the updates lead to an increase in the slope of 0.03, which corresponds to a decrease in the derived fractionation temperature of 19 K.

A detailed validation study has to be performed to judge the significance of the impact for the SCIAMACHY HDO/H<sub>2</sub>O retrievals. For such a validation study an increasing amount of well-calibrated, ground-based FTS data of the column-averaged HDO/H<sub>2</sub>O ratio have become available (e.g. Schneider et al., 2010a; Schneider et al., 2012). This val-

idation will be part of future work and should give us a better handle on the quality of the SCIAMACHY HDO/H<sub>2</sub>O data.

Even though our updated spectroscopy is a clear improvement and results in smaller fit residuals and increased robustness against a fit window change for ground-based retrievals, further improvements of the water line parameters are possible around the 4200 cm<sup>-1</sup> range. Due to the contamination in the gas cell, for example, we used a limited setup of only a single laboratory spectrum. A close collaboration between the laboratory community and the satellite community is important for further improvements, as is shown by the necessity of even better water spectroscopy for the retrieval of total column CO from the future TROPOMI instrument on ESA's Sentinel-5 Precursor mission (Galli et al., 2012).

**Supplementary material related to this article is available online at:** <http://www.atmos-meas-tech.net/6/879/2013/amt-6-879-2013-supplement.zip>.

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