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# Helium in the terrestrial atmosphere

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# FOREWORD

The paper "Helium in the terrestrial atmosphere" will be published in Space Science Reviews, 1973.

### AVANT-PROPOS

L'article "Helium in the terrestrial atmosphere" sera publié en 1973 dans la revue Space Science Reviews.

# VOORWOORD

Het artikel "Helium in the terrestrial atmosphere" zal verschijnen in Space Science Reviews, 1973.

### VORWORT

Der Text "Helium in the terrestrial atmosphere" wird in 1973 in Space Science Reviews herausgegeben werden.

#### HELIUM IN THE TERRESTRIAL ATMOSPHERE

#### by

# **G. KOCKARTS**

#### Abstract

After a brief historical review of the discovery of helium in the terrestrial atmosphere, the production mechanisms of the isotopes He<sup>4</sup> and He<sup>3</sup> are discussed. Although the radioactive production of He<sup>4</sup> in the earth is well understood, some uncertainty still exists for the degassing process leading to an atmospheric influx of  $(2.5 \pm 1.5) \times 10^6$  atoms cm<sup>-2</sup> s<sup>-1</sup>. Different production mechanisms are possible for He<sup>3</sup> leading to an influx of  $(7.5 \pm 2.5)$ atoms cm<sup>-2</sup> s<sup>-1</sup>. Observations of helium in the thermosphere show a great variability of this constituent. The different mechanisms proposed to explain the presence of the winter helium bulge are discussed. Since helium ions are present in the topside ionosphere and in the magnetosphere, ionization mechanisms are analyzed. Owing to possible variations and uncertainties in the solar UV flux, the photoionization coefficient is  $(8 \pm 4) \times 10^{-8} s^{-1}$ . Finally, the helium balance between production in the earth and loss into the interplanetary space is discussed with respect to the different processes which can play an effective role.

#### Résumé

Après un bref rappel historique de la découverte de l'hélium dans l'atmosphère terrestre, on discute les divers mécanismes de production des isotopes He<sup>4</sup> et He<sup>3</sup>. Bien que la production de He<sup>4</sup> au sein de la terre soit bien comprise, il y a toujours une incertitude sur le taux de dégazage conduisant à un flux atmosphérique de  $(2.5 \pm 1.5) \times 10^6$  atomes cm<sup>-2</sup> s<sup>-1</sup>. Les différentes mécanismes de production de He<sup>3</sup> peuvent conduire à un flux de  $(7.5 \pm 2.5)$  atomes cm<sup>-2</sup> s<sup>-1</sup>. Les observations de l'hélium dans la thermosphère montrent que l'abondance de ce constituant est très variable. Les divers processus pouvant conduire à la présence du renflement hivernal de l'hélium sont discutés. Comme les ions d'hélium sont observés dans l'ionosphère supérieure et dans la magnétosphère, il y a lieu d'analyser les mécanismes d'ionisation. Comme il existe à la fois une incertitude et une variation dans le flux solaire ultraviolet, le coefficient d'ionisation de l'hélium vaut (8 ± 4) x 10<sup>-8</sup> s<sup>-1</sup>. Finalement on analyse le bilan de la production d'hélium dans la terre et de la perte dans l'espace interplanétaire en tenant compte des divers processus susceptibles de jouer un rôle non négligeable.

#### Samenvatting

Na een bondig historisch overzicht over de ontdekking van helium in de aardse dampkring worden de mechanismen besproken waardoor de isotopen He<sup>4</sup> en He<sup>3</sup> ontstaan. Alhoewel het ontstaan van He<sup>4</sup> door radioactief verval in de aarde goed begrepen is, bestaat er nog onzekerheid over het eigenlijk ontgassingsproces dat aanleiding geeft tot een atmosferische bijdrage van  $(2.5 \pm 1.5) \times 10^6$  atomen cm<sup>-2</sup> s<sup>-1</sup>. He<sup>3</sup> kan op verschillende wijzen gevormd worden die de bijdrage van  $(7.5 \pm 2.5)$  atomen cm<sup>-2</sup> s<sup>-1</sup> verklaren. Helium vaststellingen in de thermosfeer tonen aan dat dit element in zeer veranderlijke mate voorkomt. Er worden verscheidene mechanismen voorgesteld die de aanwezigheid van een heliumbuil in de winter verklaren. Aangezien heliumionen aanwezig zijn in de opperionosfeer en in de magnetosfeer worden ook ionisatiemechanismen bestudeerd. Ten gevolge van mogelijke schommelingen en onzekerheden in de flux van het U.V. zonlicht, bedraagt de fotoionisatiecoëfficient  $(8 \pm 4) \times 10^{-8}$  s<sup>-1</sup>. Tenslotte wordt de balans gemaakt van het ontstaan van helium in de aarde en zijn verdwijning in de interplanetaire ruimte. Hierbij worden de verschillende processen besproken die werkelijk een rol kunnen spelen.

#### Zusammenfassung

Nach einer kurzen historischen Erinnerung der Entdeckung von Helium in der Erdatmosphäre, werden die verschieden Produktionsmechanismen der Isotopen He<sup>4</sup> und He<sup>3</sup> beschrieben. Obwohl die He<sup>4</sup> Produktion in der Erde gut verstanden ist, bleibt es jedoch eine Ungewissheit im Gasen Befreien, das zu einer He<sup>4</sup> Strömung von  $(2.5 \pm 1.5) \times 10^6$ Atomen cm<sup>-2</sup> s<sup>-1</sup> führt. Die verschiedene He<sup>3</sup> Produktionsmechanismen führen zu einer Strömung von  $(7.5 \pm 2.5)$  Atomen cm<sup>-2</sup> s<sup>-1</sup>. Die Heliumbeobachtungen in der Thermosphäre zeigen dass die Haufigkeit dieses Elementen sehr veränderlich ist. Die verschiedene Mechanismen die zu der winterlichen Ausbauchung führen werden auch beschrieben. Da die He Ionen in der höheren Ionosphäre und in der Magnetosphäre beobachtet sind, ist es nötig die Ionisationsmechanismen zu analysieren. Die gleichzeitige Ungewissheiten und Variationen der ultravioletten Sonnenstrahlung führen zu einer He Ionisationskoeffizient von  $(8 \pm 4) \times 10^{-8}$  s<sup>-1</sup>. Zum Ende wird die Bilanz zwischen He Produktion und Teilchenverlusten im interplanetarischen Raum analysiert.

#### 1. INTRODUCTION

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Unlike the other inert gases of the periodic system, helium was not discovered through its presence in the earth's atmosphere. One century ago several astronomers (Janssen, 1868; Frankland and Lockyer, 1869; Secchi, 1868) found an unexplained emission line in the solar spectrum near the sodium doublet lines. This new line was attributed to a new constituent of the sun by Rayet (1869) and a few years later the name helium ( $\eta\lambda\iota_{05}$  = sun) appeared in the scientific literature.

The presence of helium on the earth was first established by Ramsay (1895) from a spectroscopic analysis of the gases extracted from a uranium mineral. In the same year, Kayser (1895) analyzed the natural outgassing of spring water and detected helium, thereby indicating its presence as a natural atmospheric constituent.

Around 1900, considerable effort was devoted to the determination of the chemical composition of air. Owing to the relatively low abundances of the rare gases, it was rather difficult to obtain accurate absolute values. The first reliable estimate of the atmospheric helium abundance was made by Ramsay (1905, 1908) and led to a volume mixing ratio of  $4 \times 10^{-6}$ . Later, Watson (1910) obtained the value 5.4 x  $10^{-6}$  which is almost identical with the presently accepted value 5.24 x  $10^{-6}$  (Glückauf, 1946).

Since helium is present at ground level, Chapman and Milne (1920) investigated its possible effect on the composition of the atmosphere at great heights. Figure 1 shows the result obtained by Chapman and Milne (1920) when diffusive equilibrium was assumed above 20 km altitude. Although we know today that this figure is quantitatively incorrect, it illustrates the idea that diffusion can increase the helium mixing ratio from its small ground level value up to a value of unity with an altitude variation of a few hundreds of kilometers. Chapman and Milne (1920) discussed also the effect of a height change of the diffusion level. Several years later, Jeans (1925) concluded that with an abundance of  $10^{-4}$  for H<sub>2</sub> at ground level, hydrogen should also be present in the upper atmosphere, becoming the major atmospheric constituent above 75 km. The difficulty of deciding whether hydrogen or helium, or both, were important constituents of the upper atmosphere came from a lack of observational evidence, particularly with regard to the possibility of auroral emissions. Furthermore, the hydrodynamical character of the upper atmosphere was not well enough



Fig. 1.- Abundance of molecular nitrogen, molecular oxygen, argon and helium. This figure is redrawn from Chapman and Milne (1920).

known to permit even an approximate determination of the level where diffusive equilibrium began.

The first attempt (Glückauf and Paneth, 1946) to determine the height at which helium gravitational separation begins was made with air samples collected from 20 to 25 km altitude. The results showed that at least up to 25 km height, the relative composition of the atmosphere was unaffected by gravitational separation of its constituents. With the scientific use of rockets after the second World War, it became clear that molecular diffusion begins to be effective around 100 km altitude. Mass spectrometric measurements (Meadows and Towsend, 1958; Pokhunkov, 1962) of the concentration ratio  $n(Ar)/n(N_2)$  showed that a transition from perfect mixing towards diffusive separation occurs in the altitude region between 100 km and 120 km. Analysis of the atmospheric turbulence near 100 km by means of artificial sodium clouds releases (Blamont and De Jager, 1961; Blamont and Baguette, 1961) led to the same conclusion. Even now, however, a detailed knowledge of processes within this transition region is sparse and considerable uncertainty must exist in any theoretical model of the transition from the mixing conditions of the homosphere to the diffusive conditions present in the heterosphere.

Experimental and theoretical interest in the problem of atmospheric helium was renewed when Nicolet (1961) indicated that helium is an important constituent in the lower exosphere. Today, more than fifty years after the pioneering work of Chapman and Milne (1920), helium and its associated physical phenomena are still subject to intense research. The number of observational facts has considerably increased and the theoretical approach has become increasingly sophisticated. Nevertheless answers to many problems surrounding the presence of helium in the upper atmosphere have not yet been found. In the following sections discussions are given of the various factors which affect helium in the earth's atmosphere.

#### 2. HELIUM - 4 PRODUCTION RATE

It is now well established that the atmospheric helium-4 is a consequence of degassing from the earth's crust and of the mantle where  $He^4$  is produced by radioactive decay of thorium and of uranium according to the following processes :

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$$Th^{232} \rightarrow Pb^{208} + 6 He^4 \tag{1}$$

 $U^{235} \rightarrow Pb^{207} + 7 He^4$  (2)

$$U^{238} \rightarrow Pb^{206} + 8 He^4$$
 (3)

The appropriate decay constants are respectively  $\lambda_{232} = 4.98 \times 10^{-11} \text{ year}^{-1}$ ,  $\lambda_{235} = 9.74 \times 10^{-10} \text{ year}^{-1}$ , and  $\lambda_{238} = 1.54 \times 10^{-10} \text{ year}^{-1}$ . With the present crustal isotopic abundances of Th = 100%, U<sup>238</sup>: U<sup>235</sup>: U<sup>234</sup> = 99.27 : 0.72 : 0.006%, the number of He<sup>4</sup> atoms produced in one second per g of Th and of U is respectively P<sub>Th</sub> = 2.46 x 10<sup>4</sup> atoms g<sup>-1</sup> s<sup>-1</sup> and P<sub>U</sub> = 1.02 x 10<sup>5</sup> atoms g<sup>-1</sup> s<sup>-1</sup>. When M(Th) and M(U) are respectively the total masses of thorium and of uranium contained in the earth, the total production of He<sup>4</sup> atoms per second is

$$P(He^4) = P_{Th} M(Th) + P_U M(U)$$
(4)

The determination of the effective rate at which helium enters the atmosphere requires, however, the solution of two fundamental problems; i.e., the exact amount of uranium and of thorium present within the earth must be known and the fraction of the produced helium which actually enters into the atmosphere must also be determined. The basic aspects of the degassing problem will now be discussed in relation with the amount of  $Ar^{40}$  present in the earth's atmosphere.

# Degassing coefficient

Turekian (1959) introduced a method for computing a degassing coefficient from the existing amount of argon-40 in the terrestrial atmosphere. It is known that argon - 40 results from radioactive decay of potassium - 40 which can also produce calcium - 40. Assuming that all terrestrial  $Ar_E^{40}$  results from the decay of primordial  $K_0^{40}$  the total content of argon - 40 in the earth is then given by

$$\frac{d A r_E^{40}}{dt} = \lambda_{40} K_0^{40} e^{-\lambda_{40} t} - \delta A r_E^{40}$$
(5)

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)

where  $\delta$  is the degassing coefficient, t is the time measured from the earth's origin,  $\lambda_{40} = 5.21 \times 10^{-10}$  year<sup>-1</sup> is the decay constant of K<sup>40</sup>, and K<sub>0</sub><sup>40</sup> is the primordial abundance of potassium - 40 which leads to the production of argon - 40. K<sub>0</sub><sup>40</sup> is related to the primordial total abundance of potassium - 40 K<sub>0</sub><sup>40</sup>(tot) by

$$K_0^{40} = R K_0^{40} (tot)/(1+R)$$
 (6)

where R = 0.11 (e.g. Heydemann, 1969) is the branching ratio between the production of  $Ar^{40}$  and  $Ca^{40}$ . When  $Ar_{E}^{40} = 0$  for t = 0, integration of (5) leads to

$$Ar_{E}^{40}(t)/K_{0}^{40} = \frac{\lambda_{40}}{\delta - \lambda_{40}} \quad (e^{-\lambda_{40}t} - e^{-\delta t})$$
(7)

and the amount of atmospheric argon  $Ar_A^{40}$  resulting from degassing is then given by

$$\frac{d A r_A^{40}}{dt} = \delta A r_E^{40}$$
(8)

Since argon is unable to escape from the earth's gravitational field, the existing amount of argon in the atmosphere is obtained by integration of (8) from t = 0 till  $t_E = 4.55 \times 10^9$  years.

$$Ar_{A}^{40}(t_{E}) = \frac{K_{0}^{40}}{\delta \cdot \lambda_{40}} [\delta(1 - e^{-\lambda_{40}t_{E}}) - \lambda_{40}(1 - e^{-\delta t_{E}})]$$
(9)

For conditions of standard temperature and pressure, an atmospheric argon mixing ratio of  $9.34 \times 10^{-3}$  leads to an atmospheric total content of  $1.93 \times 10^{23}$  atoms cm<sup>-2</sup>. The total amount of argon - 40 present in the atmosphere is then  $9.84 \times 10^{41}$  atoms or  $6.53 \times 10^{19}$  g. Knowing  $K_0^{40}$  in equation (9), it is possible to determine the degassing coefficient  $\delta$  by an iterative procedure.  $K_0^{40}$  can be computed from the present total abundance of potassium [K], since the present isotopic abundance  $K^{40}$  is  $1.19 \times 10^{-4}$ . Figure 2 gives the degassing coefficient as a function of the present abundance [K] in g/g. The dashed curve corresponds to the case where the potassium abundance refers to the entire earth (crust + mantle + core).



Fig. 2.- Degassing coefficient  $\delta$  as a function of the present total abundance of potassium.

. . The full curve corresponds to the case where argon production occurs only in the crust and in the mantle. When the abundance of the entire earth is multiplied by 1.47, (earth mass / crust + mantle mass), one obtains the abundance for the crust and for the mantle leading to the same degassing coefficient. Values obtained by Turekian (1959) and by Bieri et al. (1967) for a chondritic potassium abundance are also indicated on Figure 2.

In an analysis of the thermal balance of the earth, Wasserburg et al. (1964) suggest that terrestrial materials are characterized by a ratio  $[K]/[U] \sim 10^4$  which is eight times smaller than the ratio  $[K]/[U] \sim 8 \times 10^4$  usually observed in chrondrite meteorites. Under these conditions the degassing coefficient increases approximately by a factor of 5. Bieri et al. (1967) used such a non-chondritic model in their computations of the helium outflow. Any diminution of the potassium abundance corresponds to an increase of the degassing coefficient, since a smaller amount of potassium has to supply the same amount of existing atmospheric argon.

While Bieri et al. (1967) used the method proposed by Turekian (1959) to evaluate the degassing coefficient, Ozima and Kudo (1972) recently deduced a degassing coefficient of  $8.5 \times 10^{-10}$  year<sup>-1</sup> from an analysis of the time variation of the isotopic ratio  $Ar^{40}/Ar^{36}$ . In addition a potassium abundance of 9.6 x  $10^{-5}$  g/g is uniquely determined from this analysis; i.e. a value which is approximately a factor of 10 smaller than the potassium abundance in chondrites. The degassing coefficient of Ozima and Kudo (1972) is, however, not incompatible with the results shown on Figure 2. To see this, we note that from equation (9)

$$\delta \rightarrow \infty$$
 when  $Ar_A^{40}(t_E)/K_0^{40} = 1 - e^{-\lambda_{40} t_E}$  (10)

For the entire earth, the condition given by (10) leads to a present abundance  $[K] = 7.85 \times 10^{-5}$  g/g while for the crust and the mantle alone, one obtains  $[K] = 1.16 \times 10^{-4}$  g/g. These values, indicated by arrows on Figure 2, can be considered as lower limits of the present potassium abundance based on a theory with time independent degassing coefficient. The lower limits deduced from equation (10) are also consistent with the low potassium abundance  $[K] = (1.3 \pm 0.4) \times 10^{-4}$  g/g inferred by Larimer (1971) from comparison between crustal and meteoritic abundances.

# Helium - 4 outflow

Essentially three methods have been used to evaluate the helium - 4 outflow from the earth's surface.

1.- By measuring the ratio  $\text{He}^4/\text{Ar}^{40}$  in numerous natural gases and by estimating the  $\text{Ar}^{40}$  flux, Wasserburg et al. (1963) deduced a helium - 4 outflow of  $3.2 \times 10^6$  atoms cm<sup>-2</sup> s<sup>-1</sup>.

2.- By computing the He<sup>4</sup> production through processes (1) to (3) and by noting that the amounts of terrestrial thorium and uranium must be compatible with the thermal balance of the earth, Nicolet (1957) deduced a He<sup>4</sup> flux of  $1.74 \times 10^6$  atoms cm<sup>-2</sup> s<sup>-1</sup>. In addition, the abundances [U] =  $1.19 \times 10^{-8}$  g/g and [Th] =  $3.95 \times 10^{-8}$  g/g have been determined by Morgan and Lovering (1967) for carbonaceous chondrites. With a mass of  $4.055 \times 10^{27}$  g for the crust and the mantle, relation (4) leads to a production of  $8.86 \times 10^{24}$  atoms s<sup>-1</sup>. For an earth surface area of  $5.1 \times 10^{18}$  cm<sup>2</sup>, such a production corresponds to  $1.74 \times 10^6$  atoms cm<sup>-2</sup> s<sup>-1</sup> which is identical with the flux obtained by Nicolet (1957).

3.-The third method, introduced by Turekian (1959), is based on equations similar to (5) and (8) for helium, after the degassing coefficient has been obtained as shown previously.

Before discussing results obtained by this method, however, it is necessary to examine briefly how the helium production is related to the thermal balance of the earth. With the isotopic abundances and the heat productions of Table I (see Heydemann, 1969), the amount of heat (erg  $g^{-1} s^{-1}$ ) presently produced within the earth is given by

	K <sup>40</sup>	Th <sup>232</sup>	U <sup>235</sup>	U <sup>238</sup>
Isotopic abundance (present time)	1.19 x 10 <sup>-4</sup>	1	7.2 x 10 <sup>-3</sup>	9.927 x 10 <sup>-1</sup>
Heat release $(erg g^{-1} s^{-1})$	0.279	0.265	5.71	0.942

TABLE I.- Radioactive heat production

$$Q = 3.32 \times 10^{-5} [K] + 2.65 \times 10^{-1} [Th] + 9.76 \times 10^{-1} [U]$$
(11)

where [K], [Th] and [U] are respectively the present abundances in g/g of potassium, thorium and uranium. A material with chondritic composition ([K] =  $8.45 \times 10^{-4}$  g/g, [Th] =  $3.95 \times 10^{-8}$  g/g and [U] =  $1.19 \times 10^{-8}$  g/g) leads then to a heat production of  $5.014 \times 10^{-8}$  erg g<sup>-1</sup> s<sup>-1</sup>. For a mantle and a crust characterized by such a chondritic composition, the heat flow is 40 erg cm<sup>-2</sup> s<sup>-1</sup>. The mean global heat flow measured at ground level is 63 erg cm<sup>-2</sup> s<sup>-1</sup> (see Schmucker, 1969), whereas the normal heat flow, prevailing at a distance from centers of recent tectonic or magmatic activity, is 50 erg cm<sup>-2</sup> s<sup>-1</sup>. The difference between a chondritic heat flow and the observed heat flow can be explained either by a heat supply from the core or by an increase of the abundances [K], [Th] and [U]. For example, it could be imagined that radioactive elements have been eliminated from the liquid core and introduced in the mantle and in the crust. In this case, the chondritic abundances, multiplied by 1.47, lead to a heat flow of 59 erg cm<sup>-2</sup> s<sup>-1</sup>.

Wasserburg et al. (1964) analyzed the thermal balance with the ratio  $[K]/[U] = 10^4$ and [Th]/[U] = 3.7, instead of a chondritic ratio  $[K]/[U] \sim 7 \times 10^4$ . Bieri et al. (1967) used this non-chondritic model to showthat 99% of the helium outflow in the oceanic regions comes from the mantle. Later, MacDonald (1964) analyzed the non-chondritic model in detail. Using a uranium abundance 3 to 4 times higher than found in chondrites and taking  $[K]/[U] = 10^4$ , MacDonald (1964) found agreement between theory and the observed heat flow, provided that the initial temperature of the earth was at least 1275°K.

With a chondritic ratio [Th]/[U] = 3.3 (Morgan and Lovering, 1967) and a ratio  $[K]/[U] = 10^4$ , it can be shown that equation (11) leads to a chondritic heat production of  $5.014 \times 10^{-8} \text{ erg g}^{-1} \text{ s}^{-1}$  for a uranium abundance  $[U] = 2.30 \times 10^{-8} \text{ g/g}$ . This implies that  $[K] = 2.30 \times 10^{-4} \text{ g/g}$  and  $[Th] = 7.59 \times 10^{-8} \text{ g/g}$ . Independently of the heat transport problems and the initial temperature of the earth, equation (11) clearly shows that the thermal balance alone cannot give unique abundances of potassium, thorium and uranium, and any estimate of the helium efflux will reflect these uncertainties. Thus, two extreme cases can be discussed: a chondritic earth with  $[K]/[U] \sim 7.8 \times 10^4$  and an earth with  $[K]/[U]= 10^4$ . Since the uranium and thorium abundances are smaller in a chondritic model, it is clear that this model will lead to the smallest helium flux, particularly because the degassing coefficient is smaller (see Figure 2).

The total helium content  $He_E^4$  can be found using decay schemes similar to equation (5). The result is

$$He_{E}^{4} = \frac{6 \lambda_{232} Th_{0}^{232}}{\delta - \lambda_{232}} (e^{-\lambda_{232}t} - e^{-\delta t}) + \frac{7 \lambda_{235} U_{0}^{235}}{\delta - \lambda_{235}} (e^{-\lambda_{235}t} - e^{-\delta t}) + \frac{8 \lambda_{238} U_{0}^{238}}{\delta - \lambda_{235}} (e^{-\lambda_{238}t} - e^{-\delta t})$$
(12)

where  $Th_o^{232}$ ,  $U_o^{235}$  and  $U_o^{238}$  are respectively the total amounts of thorium - 232, of uranium - 235 and of uranium - 238 existing at the origin of the earth (t = 0). The total amount of helium He<sub>A</sub> introduced into the atmosphere is then

$$\frac{dHe^4}{dt} = \delta He^4_E$$
(13)

where the degassing coefficient  $\delta$  is obtained from the argon - 40 balance. The results for chondritic ([K]/[U] = 7 x 10<sup>4</sup>) and for non-chondritic ([K]/[U] = 10<sup>4</sup>) models are presented on Figure 3. The non-chondritic model leads to a He<sup>4</sup> outflow of 3.8 x 10<sup>6</sup> atoms cm<sup>-2</sup> s<sup>-1</sup>, whereas the chondritic model gives an outflow of 6.8 x 10<sup>5</sup> atoms cm<sup>-2</sup> s<sup>-1</sup> since the thorium and uranium abundances and the degassing coefficient are smaller. The dashed curves in Figure 3 give the helium production inside of the earth. With the assumption that every He<sup>4</sup> atom produced in the earth escapes from the mantle and the crust, the nonchondritic model shows that production and outflow are almost in balance at the present time. In a chondritic model, the He<sup>4</sup> production of 1.7 x 10<sup>7</sup> atoms cm<sup>-2</sup> s<sup>-1</sup>. In the later case the helium outflow is still increasing with time, whereas in a non chondritic model the He<sup>4</sup> outflow is currently decreasing slightly with time. In both models, the current heat flow produced by crust and mantle radioactivity is 40 erg cm<sup>-2</sup> s<sup>-1</sup>.

With the working hypothesis that  $[K]/[U] = 10^4$  and [Th]/[U] = 3.3, it is possible to compute from equation (11) the abundances of the radioactive materials which correspond to the observed global mean heat flow (63 erg cm<sup>-2</sup> s<sup>-1</sup>) and to the normal mean heat flow (50 erg cm<sup>-2</sup> s<sup>-1</sup>). The required abundances, given in Table II, are within the limits deduced



Fig. 3.- Helium-4 outflow and production as a function of time. A non-chrondritic model (1) and a chondritic model (2) are used in the computation.

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TABLE II. - Abundances, degassing coefficients and helium - 4 outflow

Heat flow (erg cm <sup>-2</sup> s <sup>-1</sup> )	63	50
[K] (g/g)	3.63 x 10 <sup>-4</sup>	2.88 x 10 <sup>-4</sup>
[Th] (g/g)	1.20 x 10 <sup>-7</sup>	9.51 x 10 <sup>-8</sup>
[U] (g/g)	3.63 x 10 <sup>-8</sup>	2.88 x 10 <sup>-8</sup>
δ (year <sup>-1</sup> )	1.65 x 10 <sup>-10</sup>	2.29 x 10 <sup>-1.0</sup>
$F(He^4)$ (cm <sup>-2</sup> s <sup>-1</sup> )	4.3 x 10 <sup>6</sup>	4.1 x 10 <sup>6</sup>

by Larimer (1971) for uranium and thorium. The potassium abundances, however, are almost a factor of two higher. The corresponding degassing coefficients and the He<sup>4</sup> outflows are also given in Table II. A flux of  $4.3 \times 10^6$  atoms cm<sup>-2</sup> s<sup>-1</sup> is the maximum value compatible with the heat flow measured at ground level. Within the framework of the different models which have been discussed, the helium - 4 flux could range between  $6.8 \times 10^5$  and  $4.3 \times 10^6$  atoms cm<sup>-2</sup> s<sup>-1</sup>. This uncertainty range is slightly smaller than that suggested by MacDonald (1963).

The experimental determination of the He<sup>4</sup> outflow at ground level is not an easy task since, with a mixing ratio of  $5.24 \times 10^{-6}$ , a flux of  $4 \times 10^{6}$  atoms cm<sup>-2</sup> s<sup>-1</sup> implies an extremely small transport velocity of  $3 \times 10^{-8}$  cm s<sup>-1</sup>. The experimental data presently available come from He<sup>4</sup> concentration measurements in the oceans. These data indicate an He<sup>4</sup> excess with relation to the other rare gases (Bieri et al., 1964, 1966; Clarke et al.-1969, 1970; Craig and Clarke, 1970; Craig and Weiss, 1971; Bieri, 1971; Jenkins et al., 1972; Bieri and Koide, 1972). Although the interpretations of this type of measurement are not always in agreement, it has been possible, however, to deduce the fluxes given in Table III. The various difficulties involved in these measurements have been discussed by Bieri and Koide (1972). In particular, it should be noted that the fluxes deduced from concentration gradients require the knowledge of an oceanic eddy diffusion coefficient. It appears however, that the He<sup>4</sup> fluxes of Table III are roughly in agreement with the values previously deduced from the radioactive decay of uranium and thorium. With our present knowledge it is difficult to choose between fluxes lying between  $7 \times 10^5$  and  $4 \times 10^6$  atoms cm<sup>-2</sup> s<sup>-1</sup>. Since the non-chondritic model of the earth seems now to be acceptable, one can attempt to adopt a mean He<sup>4</sup> flux of  $(2.5 \pm 1.5) \times 10^6$  atoms cm<sup>-2</sup> s<sup>-1</sup>. The smallest values of Table III TABLE III.- Helium flux in the deep ocean

$He^4 (cm^{-2} s^{-1})$	$He^{3}(cm^{-2}s^{-1})$	Réference	
2 x 10 <sup>6</sup>		Bieri, Koide and Goldberg (1964)	
	2	Clarke, Beg and Craig (1969)	
$(7.7 \pm 3) \times 10^5$	5.5 ± 2	Craig and Clarke (1970)	
(2 ± 1) x 10 <sup>6</sup>		Bieri and Koide (1972)	

cannot be excluded since a geographical variation may be possible. Such a variation has already been observed for helium - 3 by Jenkins et al. (1972) where it was found that the  $He^3$  excess in the Atlantic Ocean is much smaller than the excess observed by Clarke et al. (1970) in the Pacific Ocean.

# 3. HELIUM - 3 PRODUCTION RATE

The isotope  $\text{He}^3$  never becomes a major component of the terrestrial atmosphere, but a study of its behavior is very useful to analyse the consistency of the proposed escape mechanisms for  $\text{He}^4$  which will be discussed in section 6.

Only a few ground level measurements are available for the He<sup>3</sup> isotopic abundance in the earth's atmosphere (Aldrich and Nier, 1948; Coon, 1949). The normally adopted isotopic abundance at ground level is  $He^3/He^4 = 1.25 \times 10^{-6}$ , leading to a mixing ratio of  $6.55 \times 10^{-12}$ . The He<sup>3</sup> isotopic abundance is however highly variable in nature. Cameron (1968), for example, proposed a solar system ratio  $He^3/He^4 \sim 3 \times 10^{-4}$ , based on the ratio usually found in trapped helium from meteorites. Nevertheless, great range in isotopic composition is found in meteorites (Heymann, 1971) and the ratio He<sup>3</sup>/He<sup>4</sup> can vary by three orders of magnitude. Such isotopic variations can, however, be explained in terms of mixtures of three components present in different proportions : a cosmic-ray component, a radiogenic component and a trapped component. For gas-rich meteorites the trapped component ratio is  $He^3/He^4 \sim 3 \times 10^{-4}$  (Signer and Suess, 1963; Heymann, 1971). In the sun the isotopic abundance ratio He<sup>3</sup>/He<sup>4</sup> may be deduced from the chromospheric absorption line at 10830 A. The value of 2 x 10<sup>-4</sup> proposed by Goldberg (1962) has been reduced to less than 10<sup>-4</sup> by Namba (1965). We can immediately note, however, that those values are two orders of magnitude higher than the values observed at ground level in the terrestrial atmosphere.

There is no direct radioactive decay process which leads to He<sup>3</sup> from terrestrial elements. A very small production through secondary nuclear reactions can, however, explain the ratio He<sup>3</sup>/He<sup>4</sup> ~ 10<sup>-7</sup> observed in natural gas wells (Aldrich and Nier, 1948). The different sources which are usually considered for the production of atmospheric He<sup>3</sup> are : cosmic ray interactions yielding He<sup>3</sup> directly ; galactic cosmic ray interactions followed by tritium  $\beta$  decay ; solar cosmic ray interactions ; and meteorites and cosmic dust. These processes, discussed in detail by Johnson and Axford (1969), lead to a production of the order of 1 atom cm<sup>-2</sup> s<sup>-1</sup>. In their analysis of the atmospheric He<sup>3</sup> budget, Johnson and Axford (1969) suggested a new source of He<sup>3</sup> arising from a auroral precipitation of solar wind He<sup>3</sup> ions of 4 particules cm<sup>-2</sup> s<sup>-1</sup>. Thus the total atmospheric production rate of He<sup>3</sup> is of the order of 5 atoms cm<sup>-2</sup> s<sup>-1</sup>. By comparing the accretion rates to the loss rates, Axford (1970) concluded that this auroral mechanism is only effective for He<sup>3</sup>; i.e. the budgets for H<sup>1</sup>, H<sup>2</sup> and He<sup>4</sup> are not appreciably affected.

Recently Clarke et al. (1969) and Craig and Clarke (1970) deduced the presence of He<sup>3</sup> fluxes from concentration measurements in the deep ocean (see Table III). With a He<sup>3</sup> flux of 5 atoms cm<sup>-2</sup> s<sup>-1</sup>, the flux ratio  $F(He^3)/F(He^4) = 2 \times 10^{-6}$ ; i.e. a value of the same order of magnitude as the ground level isotopic ratio. The origin of this additional He<sup>3</sup> flux in the ocean is not completely clear. Clarke et al. (1969) conclude that the excess He<sup>3</sup> is due to leakage into the ocean water of a remnant of the earth's primordial He<sup>3</sup>. In contrast, Fairhall (1969) attributes a large fraction of the excess He<sup>3</sup> in the deep sea to the decay of natural tritium. Craig and Clarke (1970), however, pointed out that Fairhall (1969) may have used a tritium concentration which is too large and that the tritium decay can lead only to a flux of 0.25 at cm<sup>-2</sup> s<sup>-1</sup>. More recently Craig and Weiss (1971), Bieri (1971) and Jenkins et al. (1972) concluded that an important fraction of the helium excess can be accounted for through the injection of air into seawater. When all the present uncertainties are taken into account, it appears that the helium - 3 influx into the atmosphere is approximately (7.5 ± 2.5) atoms cm<sup>-2</sup> s<sup>-1</sup>. These values will have to be compared with the escape flux discussed later.

# 4. HELIUM IN THE THERMOSPHERE

#### **Observations**

Information on the helium - 4 distribution in the upper atmosphere is presently available from three different techniques : infrared and ultraviolet optical observations, satellite drag data and mass spectrometric measurements. Due to its very low abundance, no direct data are presently available for the helium - 3 isotope.

The presence of helium above 500 km was experimentally inferred from infrared observation at 10830 Å during the great aurora of February 10 - 11, 1958 (see Shefov, 1961). The  $2^{3}S - 2^{3}P$  transition has been regularly observed in the polar region during twilight and Shefov (1961) deduced a concentration of  $1.5 \times 10^{6}$  cm<sup>-3</sup> at 500 km, a value which is in fair agreement with more recent observations. Christensen et al. (1971) presented a detailed analysis of this type of measurements whereas Brandt et al. (1965) detected also the  $2^{3}S - 3^{3}P$  transition at 3888 Å. In the ultraviolet region, the 584Å emission corresponds the a  $1^{1}S - 2^{1}P$  transition of neutral helium and it is now observed by rockets above the main absorbing atmosphere.

Ionized helium has also been detected from its  $304 \text{ Å} 1 ^2\text{S} - 2 ^2\text{P}$  emission. Meier and Weller (1972) have analyzed the extreme ultraviolet data by calculating the full resonant multi-scattering of 584 Å solar radiation and by using a single-scattering theory for the 304 Å emission of ionized helium. Since a complete discussion of the helium optical emissions has been given recently by Mange (1973) a detailed account of the results-is not needed here. It should be noted, however, that according to Carlson (1972), airglow measurements near 304 Å can contain a significant contribution from doubly ionized atomic oxygen which has a resonance line at 303.799 Å.

Nicolet (1961) showed that helium was needed to explain the atmospheric drag experienced by the satellite Echo 1 since both atomic oxygen and hydrogen were unable to provide a consistent explanation of the orbital varitions. The analysis of drag data of several satellites like Echo 2 (Cook, 1967), Explorer 9, 14, 19 (Keating and Prior, 1968; Keating et al. 1970) showed the existence of an increase in the total density at high latitudes for winter

conditions. This effect is attributed to a <u>winter helium bulge</u> and Keating et al. (1970) have deduced that the helium density over the winter polar thermosphere is approximately three to four times higher than over the summer pole.

The first in *situ* observation made by a mass spectrometer on Explorer 17 (Reber and Nicolet, 1965) indicated a latitudinal variation of the helium concentration. Further measurements were made on Explorer 32 (Reber et al. 1968) and the recent data from OGO-6 show a larger amplitude for the winter helium bulge (Reber et al. 1971). Whereas the drag data (Keating et al. 1970) lead to a variation of approximately a factor of four between summer and winter polar regions, the mass spectrometric data (Reber et al. 1971) show an amplitude of the order of a factor of ten. Several rocket measurements of helium (Kasprzak et al. 1968; Krankowsky et al. 1968; Müller and Hartmann, 1969; Bitterberg et al. 1970; Hickman and Nier, 1972) are available for the atmospheric regions between 120 km and 200 km altitude. The concentrations are shown on Figure 4 while the date, time and location of each rocket launch are given in Table IV.

It is clear from Figure 4 that a He<sup>4</sup> concentration variation greater than an order of magnitude has been observed in a height range where helium is still a minor component. Such a variation is not present for the other major atmospheric constituents  $(O, O_2, N_2)$ . In addition, atomic hydrogen, another minor component, shows variations which are less than a factor of three. Unfortunately, there exist no helium measurements below 120 km, which could give some insight into the physical mechanism responsible for such a behavior. Noble gases from air samples collected between 43 and 63 km confirm expectations that the atmosphere is well mixed up to, and within, the lower mesosphere (Bieri et al. 1970). In the first theoretical computations of vertical helium distributions, Kockarts and Nicolet (1962, 1963) assumed a perfect mixing up to 105 km where diffusive equilibrium was assumed to begin. Such a level is often called the turbopause, but it corresponds actually to an extended region where there is a transition from mixing to molecular diffusion. The major problem is, however, to explain how a helium - 4 mixing ratio of  $5.24 \times 10^{-6}$  in the homosphere can increase by an order of magnitude between approximately 90 km and 120 km altitude. The various mechanisms which have been proposed to explain this altitude variation will now be discussed.



Fig. 4.- Mass spectrometric observations of the helium-4 distribution in the thermosphere.

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N <sup>0</sup>	Launching site	Date	Zone time	Reference
(1)	White Sands (32°24N, 106°21W)	November 30, 1966	04.45	Kasprzak et at. (1968)
(2)	White Sands (32°24N, 106°21W)	December 2, 1966	14.09	Kasprzak et al. (1968)
(3)	Fort Churchill (58°44N, 93°49W)	December 12, 1966	13.05	Müller and Hartman (1969)
(4)	White Sands (32°24N, 106°21W)	June 21, 1967	12.49	Krankowsky et al. (1968)
(5)	White Sands (32°24N, 106°21W)	July 20, 1967	02.00	Krankowsky et al. (1968)
(6)	White Sands (32°24N, 106°21W)	July 20, 1967	12.24	Krankowsky et al. (1968)
(7)	Salto di Quirra (40º N, 9º 30E)	October 4, 1967	14.23	Bitterberg et al. (1970)
(8)	Salto di Quirra (40°N, 9°30E)	October 10, 1967	15.33	Bitterberg et al. (1970)
(9)	Fort Chruchill (58°44N, 93°49W)	February 4, 1969	08.35	Hickman and Nier (1972)

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# TABLE IV.- Rocket mass spectrometric measurements of helium

#### Transport processes

Since helium - 4 is produced inside of the earth and is furthermore chemically inert, production and loss terms can be neglected in the thermosphere For helium-3 it is possible to assume that the production and the influx in the homosphere leads to a mixing distribution. Therefore a constant mixing ratio is adopted at the lower boundary where transport processes start. The helium continuity equation is

$$\frac{\partial n_1}{\partial t} + \operatorname{div}(n_1 \, \vec{w}_1) = 0 \tag{14}$$

where  $n_1$  is the He<sup>4</sup> or He<sup>3</sup> concentration, t is the time and  $\vec{w}_1$  is the transport velocity of He<sup>4</sup> or He<sup>3</sup>. For steady state conditions and with lateral symmetry, equation (14) leads to the condition

$$F(r_0) r_0^2 = F(r) r^2$$
 (15)

where  $F(r_0)$  and F(r) are respectively the radial fluxes at the geocentric distances  $r_0$  and r. Generally, the transport velocity  $\vec{w}_1$  arises from three effects and the transport flux  $\vec{F}$  can be written

$$\vec{\mathbf{F}} = \mathbf{n}_1 \vec{\mathbf{w}}_1 = \mathbf{n}_1 (\vec{\mathbf{w}}_D + \vec{\mathbf{w}}_E + \vec{\mathbf{u}})$$
(16)

where  $\overline{w}_D$  is the molecular diffusion velocity,  $\overline{w}_E$  is the eddy diffusion velocity and u is the velocity arising from any fluctuations, winds or circulation systems. Theoretical expressions are available only for the first two terms of equation (16).

With the general diffusion equation of Chapman and Cowling (1960) it is possible to show (Mange, 1961; Nicolet, 1968; Kockarts, 1971) that the vertical component of the molecular diffusion velocity for a minor constituent at height z is given by

$$w_{\rm D} = -D\left(\frac{1}{n_1} \frac{dn_1}{dz} + \frac{1}{H_1} + \frac{1+a}{T} \frac{dT}{dz}\right)$$
(17)

where D is the molecular diffusion coefficient, a is the thermal diffusion factor, T is the temperature and  $H_1$  is the scale height  $kT/m_1g$ .

Following Lettau (1951), the vertical eddy diffusion velocity can be written

$$\mathbf{w}_{\rm E} = -K \left( \frac{1}{n_1} \frac{dn_1}{dz} + \frac{1}{H} + \frac{1}{T} \frac{dT}{dz} \right)$$
(18)

where K is the eddy diffusion coefficient and H is the atmospheric scale height kT/mg.

Expressions for  $\vec{u}$  varies according to the meaning given to this term. Integration of the vertical component of equation (16) with (17) and (18) leads to the following expression

$$n_1(z) = n_{eq} \left[ 1 - \int_{z_0}^{z} \frac{F}{D(1+\Lambda) n_{eq}} dz \right]$$
 (19)

where  $\Lambda \equiv K/D$ ,  $z_0$  is the lower boundary altitude and  $n_{eq}$  is the zero flow solution obtained from (16) with the condition F = 0. Expressions similar to (19) have been obtained by Kasprzak (1969), Donahue (1969) and Kockarts (1971; 1972a). From equation (19) it is clear that an upwards flux (F > 0) will always lead to helium concentrations  $n_1(z)$ smaller than those given by the zero flow distribution

$$n_{eq}(z)/n_{1}(z_{o}) = (T_{o}/T) \exp \left[ \int_{z_{o}}^{z} \frac{1}{H_{1}} + \frac{\Lambda}{H} - \frac{u}{D} (1+\Lambda)^{-1} dz + \int_{z_{o}}^{z} a(1+\Lambda)^{-1} \frac{dT}{T} \right]$$
(20)

The continuity condition (15) implies that the knowledge of the flux at one height is sufficient to determine the flux at any other height. When the vertical velocity  $w_1$  increases more rapidly than  $1/n_1$ , a lateral inflow is necessary to maintain the continuity requirements. A lateral outflow occurs however when  $w_1$  increases less rapidly than  $1/n_1$ . The idea of lateral flows has been used by Johnson and Gottlieb (1970), by Reber (1971) and by Reber and Hays (1973) to deduce a meridional circulation system compatible with the observed winter helium bulge. An upward wind introduced over the summer pole and a downward wind over the winter pole is found to lead to an increase of the helium concentration over the winter pole. The wind field consistent with the OGO-6 measurements is characterized by vertical velocities of 2 to 3 m/sec above 200 km and horizontal velocities of 100 to 200 m/sec at the equator (Reber, 1971). These are within a factor of two of the amplitudes deduced by Johnson and Gottlieb (1970). The altitude of the circulation cell introduced by Reber (1971) is, however, approximately 100 km higher than the meridional circulation proposed by Johnson and Gottlieb (1970). In Reber's calculations, the eddy diffusion coefficient is assumed to be invariant with latitude. It is also clear from equations (19) and (20) that sufficiently strong winds will distort the concentration profile and even change the sign of the concentration gradient.

The first attempt to explain the measured helium distribution indicated in Figure 4 was made by Kasprzak (1969) who used equations (19) and (20) with  $\Lambda = 0$  and u = 0 implying the absence of eddy diffusion and winds. The flux, F, in (19) was adjusted to fit the observed distributions. Upward fluxes between 2 x 10<sup>8</sup> and 3 x 10<sup>10</sup> cm<sup>-2</sup> sec<sup>-1</sup> are obtained in this way. Such fluxes are greater than the surface He<sup>4</sup> production flux and they are also higher than the maximum flow which can be supported by diffusion (see later discussion).

Internal gravity waves create large atmospheric fluctuations. Hodges (1970) obtained an expression identical to (16) omitting the eddy diffusion term and taking u to be the vertical velocity resulting from the correlation of fluctuating atmospheric parameters. By approximating internal gravity waves by a typical monochromatic wave, Hodges (1970) obtained an expression for u which is negative for helium. This implies a downward transport. The contradiction is not real, however, since the fluctuations introduced by Hodges (1970) tend to create a departure from an initial diffusive equilibrium. Equation (20) actually shows that with  $\Lambda = 0$ , a negative value of u leads to a sharper decrease of the zero flow distribution and it was implicitely assumed by Hodges (1970) that the net flow F in (19) is negligible. Owing to the approximations which were made, Hodges (1970) recognized that quantitative comparison with measurements was not practical. Non linear effects of wave-induced atmospheric fluctuations can however play a role in the u term of equation (16).

Another approach of the problem of the altitude distribution of helium is directly based on equations (19) and (20) in which the velocity u is assumed to be zero (Kockarts, 1971; 1972a). Since the molecular diffusion coefficient is rather well known, the eddy diffusion coefficient K can be taken as a parameter in equations (19) and (20). Figure 5



Fig. 5.- Latitudinal variation of a height independent eddy diffusion required to explain observations of the winter helium bulge. The two curves correspond to different normalizations of the concentration at  $\lambda = 20^{\circ}$  N. This figure is taken from Kockarts (1972a).

taken from (Kockarts, 1972a) gives the eddy diffusion coefficient necessary to explain the observations of Reber et al. (1971) as a function of latitude. It is seen that the eddy diffusion coefficient should decrease by more than an order of magnitude between summer and winter hemispheres, if the entire helium bulge results from such a process. In support of this idea according to the theory presented by Johnson and Gottlieb (1970), the eddy diffusion coefficient is much smaller over the winter polar region, since less heat has to be transported downwards: In contrast, Zimmerman et al. (1972) showed that the winter polar mesosphere is more turbulent than the summer polar mesosphere below 90 km. The latitudinal variation of the eddy diffusion coefficient on Figure 5 applies, however, to the region between 90 km and 120 km, where almost no experimental data are available (see however Lloyd et al. 1972). Colegrove et al. (1965) showed that the ratio  $n(O)/n(O_2)$  is a function of the eddy diffusion coefficient. Therefore, a latitudinal variation of K would imply a latitudinal variation of atomic oxygen. Such a variation, however, cannot be as important as for He<sup>4</sup>, since the photodissociation of  $O_2$  is drastically reduced over the winter polar region. Furthermore, the effect of eddy diffusion becomes smaller when the mass of a constituent becomes closer to the mean molecular mass.

In order to obtain consistency between drag data and OGO-6 measurements, Keating et al. (1972) showed that it is necessary to adopt an atomic oxygen maximum at *low* latitude in the winter hemisphere rather than assuming a constant concentration with latitude. This maximum occurs at low latitude since the  $O_2$  photodissociation is stronger at low latitude during the winter. It is however necessary to investigate how eddy diffusion affects other light constituents. The effects on atomic hydrogen (Kockarts, 1972a) and on deuterium (Kockarts, 1972b) have already been discussed. The case of helium - 3 and argon - 40 will now be investigated in some detail.

#### Diffusion velocities and vertical profiles

The concept of a maximum diffusion flux, introduced by Mange (1955, 1961), has been applied many times to aeronomical problems. When a minor constituent diffuses through the atmosphere, its diffusion velocity is given by equation (17). If this constituent is initially completely mixed with the atmosphere, the concentration gradient in (17) is identical with the concentration gradient of the major species. The maximum molecular diffusion velocity obtained from (17) is then

$$w_{D}(MAX) = \frac{D}{H} \left( 1 - \frac{H}{H_{1}} - \frac{aH}{T} \frac{dT}{dz} \right)$$
(21)

This velocity is upwards for light constituents  $(m_1 < m)$  and downwards for heavy constituents  $(m_1 > m)$ . Table V gives the maximum molecular diffusion velocity for He<sup>3</sup>, He<sup>4</sup> and Ar<sup>40</sup>. The molecular diffusion coefficient used in the computations is given by

$$D = 1.5 \times 10^{18} \left( \frac{1}{M_1} + \frac{1}{M} \right)^{1/2} \frac{T^{1/2}}{n} (cm^2 s^{-1})$$
(22)

where  $M_1$  and M are respectively the minor constituent mass and the atmospheric mean molecular mass expressed in atomic mass units, n is the total atmospheric concentration. The thermal diffusion factor a is - 0.38 for He<sup>3</sup> and He<sup>4</sup> and can be neglected for argon. Its effect on the vertical helium distribution is discussed by Kockarts (1963). The values quoted in Table V apply to the case when the constituent is initially in perfect mixing. The real diffusion velocity is always smaller since there is a tendency towards diffusive equilibrium where  $w_D = 0$ .

When a perturbation occurs in the atmosphere, departures from diffusive equilibrium can occur and the minor constituent has a tendency towards perfect mixing. The maximum eddy diffusion velocity, obtained in the same way from equation (18), is then

$$\mathbf{w}_{\mathbf{E}}(\mathbf{MAX}) = -\frac{\mathbf{K}}{\mathbf{H}} \left(1 - \frac{\mathbf{H}}{\mathbf{H}_{1}} - \frac{\mathbf{aH}}{\mathbf{T}} \frac{\mathbf{dT}}{\mathbf{dz}}\right)$$
(23)

This velocity has a sign opposite to the maximum molecular diffusion velocity. When D = K, the absolute values of these velocities are identical.

With the values of Table V and the abundances of  $He^3$ ,  $He^4$  and  $Ar^{40}$ , the maximum molecular diffusion flows at 90 km are respectively

$$F_{\rm M}({\rm He}^3) = 1.4 \times 10^2 \,{\rm cm}^{-2} \,{\rm s}^{-1}$$
 (24)

$$F_{\rm M}({\rm He}^4) = 9.5 \times 10^7 \,{\rm cm}^{-2} \,{\rm s}^{-1} \tag{25}$$

$$F_{\rm M}({\rm Ar}^{40}) = -3.3 \times 10^{10} \,{\rm cm}^{-2} \,{\rm s}^{-1} \tag{26}$$

TABLE V Maximum mo	ecular diffusion velocities		

.

z(km)	T( <sup>0</sup> K)	H(km)	n(cm <sup>-3</sup> )	w(He <sup>4</sup> )(cm s <sup>-1</sup> )	w(He <sup>3</sup> )(cm s <sup>-1</sup> )	w(Ar)(cm s <sup>-1</sup> )
85	160.3	4.82	$1.77 \times 10^{14}$	1.08 x 10 <sup>-1</sup>	1.27 x 10 <sup>-1</sup>	- 2.12 x 10 <sup>-2</sup>
90	176.7	5.34	6.01 x 10 <sup>13</sup>	3.01 x 10 <sup>-1</sup>	3.54 x 10 <sup>-1</sup>	- 5.91 x 10 <sup>-2</sup>
95	193.0	5.85	2.26 x 10 <sup>13</sup>	7.63 x 10 <sup>-1</sup>	8.99 x 10 <sup>-1</sup>	- 1.50 x 10 <sup>-1</sup>
100	209.2	6.41	9.35 x 10 <sup>12</sup>	1.78 x 10 <sup>0</sup>	2.09 x 10 <sup>o</sup>	- 3.58 x 10 <sup>-1</sup>
105	230.9	7.24	$4.01 \times 10^{12}$	3.95 x 10 <sup>0</sup>	4.65 x 10 <sup>0</sup>	- 8.48 x 10 <sup>-1</sup>
110	261.9	8.42	1.97 x 10 <sup>12</sup>	7.35 x 10 <sup>0</sup>	8.67 x 10 <sup>0</sup>	- 1.72 x 10 <sup>0</sup>
115	293.0	9.63	$1.01 \times 10^{12}$	1.33 x 10 <sup>1</sup>	1.56 x 10 <sup>0</sup>	- 3.32 x 10 <sup>0</sup>
120	324.0	10.87	5.42 x 10 <sup>11</sup>	$2.30 \times 10^{1}$	2.72 x 10 <sup>o</sup>	- 6.14 x 10 <sup>0</sup>

-

When the real flow F is negligible compared to the maximum flow, equation (19) reduces practically to the zero flow distribution (20). This is actually the case for He<sup>4</sup>, since the outgassing flow of He<sup>4</sup> is  $(2.5 \pm 1.5) \times 10^6$  cm<sup>-2</sup> s<sup>-1</sup>. In order to satisfy the continuity equation, an influx at the lower boundary must be balanced by an outflux at the top of the atmosphere. Thermal escape is a valid candidate. Such a situation is known to occur for atomic hydrogen, but for helium - 4 thermal escape is not sufficiently effective. For helium -3 the situation depends upon temperature, as illustrated by Figure 6. For temperatures less than 1500°K, the He<sup>3</sup> escape flux is not strong enough to affect the vertical distribution and the concentration is controlled by the relative importance of K and D. For temperatures higher than 1500° K, the effect of eddy diffusion decreases. For helium - 4 the escape flux has a negligible effect upon the density profile. In these computations K is constant with height and the upper and lower boundaries are respectively at 1000 km and 90 km. Although the eddy diffusion coefficient is actually variable with height above 90 km (Johnson and Gottlieb, 1970; Keneshea and Zimmerman, 1970), constant values have been used just to show the nature of the effect. Height dependent coefficients lead to similar results (Kockarts, 1972a).

Figure 7 indicates how  $Ar^{40}$  reacts to a change of the eddy diffusion coefficient. An increase of K leads now to an increase of the argon concentration. This situation is easily understood by comparing the sign of  $w_D$  for  $Ar^{40}$  and  $He^4$ . Moreover the variation of the  $Ar^{40}$  concentration as a function of K is less than that for  $He^4$ .

Finally Figures 8 and 9 give examples of possible vertical distributions for helium and argon when the wind term u is neglected in equation (20). From Figure 8 it is seen how changes in the eddy diffusion can lead to large variations in the helium concentrations. Such variations can be compared with the observed distributions given by Figure 4. Furthermore, it is clear that the thermospheric temperature distribution has a negligible effect on thermospheric helium. Actually Figure 9 shows that argon is more sensitive to the temperature. Extreme values of the eddy diffusion coefficient lead only to a variation of approximately a factor of four in the argon concentration at 120 km height. The argon measurements listed in Table IV fall all between the extreme curves of Figure 9. The average value of  $5 \times 10^7$  cm<sup>-3</sup> proposed by von Zahn (1970) at 150 km corresponds to a constant eddy diffusion coefficient of the order of  $10^6$  cm<sup>2</sup> s<sup>-1</sup>.



Fig. 6.- Comparison between the reaction of helium-3 and helium-4 to a change of the eddy diffusion coefficient.

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Fig. 7.- Comparison between the reaction of argon-40 and helium-4 to a change of the eddy diffusion coefficient.

- 30 -



Fig. 8.- Helium-4 vertical distributions for two extreme values of the eddy diffusion coefficient. The variation with temperature is almost negligible below 300 km.

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Fig. 9.- Argon-40 vertical distributions for K = 0 and  $K = 10^7$  cm<sup>2</sup> s<sup>-1</sup>. The variation with the thermopause temperature is more important than in Fig. 8.

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From the previous discussion it appears that eddy diffusion and wind systems can provide an explanation for the observed helium distribution in the thermosphere as well as for the observed winter helium bulge. It is difficult, however, to determine which effect is predominant, since no direct wind or eddy diffusion observations are presently available. Both aspects may be complementary and appear only as oversimplified representations of a complex natural phenomenon.

## 5. IONIZED HELIUM

Since helium - 4 becomes a major atmospheric constituent above 750 km, it is necessary to discuss the ionization processes which can lead to helium ions in the topside ionosphere. Mange (1960) showed how a minor ion concentration can increase with height under electrostatic equilibrium conditions. Bates and Patterson (1962) and Kockarts and Nicolet (1963) analyzed the He<sup>+</sup> distributions in the topside ionosphere when production and loss terms are involved at the lower boundary. Bauer (1966) showed that He<sup>+</sup> ions do not become a dominant topside ion at low solar activity, whereas they can play a significant role during high solar activity. The results of an ion probe experiment (Hanson, 1962) and of retarding potential experiments on Explorer VIII (Bourdeau et al., 1962) were explained by an important contribution of He<sup>+</sup> ions. A He<sup>+</sup> concentration of 7 x  $10^3$  cm<sup>-3</sup> at 575 km was detected by an ion mass spectrometer (Taylor et al. 1963) in October 1961, when the helium ion concentration was higher than the hydrogen ion concentration between 400 km and 950 km. Later satellite measurements (Taylor, 1971; 1972) have a general tendency to indicate that He<sup>+</sup> is now less abundant than H<sup>+</sup> in the topside ionosphere although sometimes, usually at the plasmapause He<sup>+</sup> is more abundant than H<sup>+</sup> (Taylor, 1971). The global distribution of He<sup>+</sup> is rather complex owing to the solar, geomagnetic, and seasonal control of the topside ionosphere. Recently Taylor (1972) discussed the morphology of the light ion trough, i.e. the sharp decrease of the H<sup>+</sup> and He<sup>+</sup> concentration at dipole latitudes greater than 60°. In contrast to the trough in electron density at night, (which is affected by a number of counteracting factors) the light ion trough persists during both day and night and appears to be associated with magnetospheric convection and polar wind flows of  $H^+$ and He<sup>+</sup>(see Banks, 1972).

The theoretical investigation of helium ions in the upper ionosphere requires a knowledge of the ionization processes and the subsequent ionic reactions. Helium- 4 is photoionized by solar radiation with wavelength  $\lambda \leq 504.26$ Å (24.69eV). This process is effective only above the F-layer, where absorption by atomic oxygen (leading to  $O^+$ ) can be neglected. The helium ionization cross section, reviewed by Samson (1966), is given on Figure 10 extending from the ionization limit down to 100Å. The squares correspond to values measured by Cairns and Samson (1965) at intense solar emission lines. Although the ionization cross section given by Samson (1966) at 504 Å is approximately 10% lower than the value deduced by Lowry et al. (1965), there is relatively good agreement between experimental and theoretical results in the 500-100Å range. The vertical lines indicate the position of a resonance line series observed by Madden and Codling (1965). This series is associated with transitions from the ground state to two-electron excitation states of neutral helium. Madden and Codling (1965) conclude that this series converges to the n = 2 states of He<sup>+</sup> around 190Å. The absorption cross section at the most intense resonance line (206.21Å) reaches  $10^{-17}$  cm<sup>2</sup>, i.e. a factor of 10 higher than the normal ionization cross section shown on Figure 10. However, since this resonance line is rather narrow (~  $10^{-1}$  Å), the solar spectrum needs to be known with sufficient resolution before introducing the high absorption cross section in a computation of the ionization coefficient.

Below 190Å, photoionization of helium leads to He<sup>+</sup> with n = 2 or n = 1. Samson (1969) showed that about 8% the ions formed at 186Å are excited in the n = 2 state. These excited ions can emit the important 304 Å line, and according to Samson (1969), helium could act as a continuous absorber of radiation with  $\lambda < 189$ Å and reemit this integrated energy into the 304 Å line. Figure 11 shows the ionization cross section in the soft X-ray region. Above 50Å the agreement between the values of Lowry et al. (1965) and those of Denne (1970) is satisfactory. For  $\lambda < 50$ Å, the two experimental values obtained by Denne (1970) disagree with the semiempirical values deduced by Victoreen (1949) and by Henke et al. (1957). It is to be noted that this wavelength region provides, however, a negligible contribution to the normal ionization coefficient.

The major uncertainty in the photoionization rate results from an incomplete knowledge of the solar spectrum below 500Å. Besides the difficulties associated with the absolute



Fig. 10.- Helium-4 photoionization cross section between 500Å and 100Å. The vertical lines indicate the position of a resonance series.

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Fig. 11.- Helium-4 photoionization cross section by soft X-rays.

calibration of the UV spectrometers, the variation with solar activity is not yet quantitatively known. The most widely values used in aeronomic calculations are those recommended by Hinteregger (1970) for medium solar activity and non-flaring conditions. With these values for the solar flux and the ionization cross-sections from Figures 10 and 11, one obtains the helium ionization coefficients given in Table VI for different solar lines and wavelength intervals. The total photoionization coefficient is  $6 \times 10^{-8} \text{ s}^{-1}$  and the effect of the wavelength region below 100 Å is almost negligible. Presently available experimental data cannot lead to a detailed picture of the solar cycle variation. The He II line at 304Å analyzed by Timothy and Timothy (1970) is characterized by an average flux of  $8.8 \times 10^9$ photons cm<sup>-2</sup> sec<sup>-1</sup> with a long term variation of 20% and a short term flare increase of 25%. Solar flare observations on OSO 4 in 1967 indicate however enhancements between 1.8 and 3.8 (Wood et al. 1972). Nevertheless, the entire solar UV spectrum does not necessarily follow the behavior of a particular emission line.

In order to have an idea of the solar cycle variation, Table VII gives solar UV fluxes over larger wavelength intervals, which have been used by Banks and Kockarts (1973) for aeronomic computations. The errors quoted in Table VII are intended to reflect real solar cycle variations as well as possible systematic errors in the experimental data. The cross sections are rough average values deduced from Figure 10. From Table VII, it is seen that the total photoionization coefficient is given at the top of the atmosphere by

$$I_{m}(He) = (8 \pm 4) \times 10^{-8} \text{ s}^{-1}$$
(27)

A variation of a factor of 3 is therefore possible between quiet and disturbed solar conditions. This example stresses again the necessity for continuous measurements of the whole solar UV spectrum since such a variation for the ionization coefficient cannot be ignored in ionospheric studies.

Another source of  $\text{He}^+$  ions results from electron impact ionization. Cross sections have been measured by several authors (see Rapp and Englander- Golden, 1965; Schram et al. 1965; Schram et al. 1966). Recently cross sections for production of secondary electrons in the energy range from 0 to 1 eV have also been measured (Grissom et al., 1972). Analytical expressions for the total ionization cross sections are also available (Green and

Wavelength (Å)	Flux $(10^9 \text{ ph cm}^{-2} \text{ s}^{-1})$	$\sigma(\text{He})(10^{-18} \text{ cm}^2)$	$I_{\infty}(He)(s^{-1})$
504	0.50	7.4	3.7 x 10 <sup>-9</sup>
499.3	0.38	7.3	2.8 x 10 <sup>-9</sup>
465.2	0.16	6.5	1.0 x 10 <sup>-9</sup>
630 - 460	0.44	6.8	3.0 x 10 <sup>-9</sup>
460 - 370	0.63	5.1	3.2 x 10 <sup>-9</sup>
368.1	0.56	4.3	2.4 x 10 <sup>-9</sup>
364.8	0.17	4.2	7.1 x 10 <sup>-10</sup>
360.7	0.36	4.1	1.5 x 10 <sup>-9</sup>
335.4	0.72	3.5	2.5 x 10 <sup>-9</sup>
303.8	5.4	2.8	1.5 x 10 <sup>-8</sup>
284.1	1.1	2.4	2.6 x 10 <sup>-9</sup>
370 - 280	2.03	3.4	6.9 x 10 <sup>-9</sup>
280 - 231	3.1	2.0	6.2 x 10 <sup>-9</sup>
231 - 205	1.4	1.4	2.0 x 10 <sup>-9</sup>
205 - 176	3.7	1.2	4.4 x 10 <sup>-9</sup>
176 - 153	0.9	0.83	7.5 x 10 <sup>-10</sup>
153 - 100	0.4	0.44	1.8 x 10 <sup>-10</sup>
100 - 90	0.099	0.20	2.0 x 10 <sup>-11</sup>
90 - 80	0.12	0.16	1.9 x 10 <sup>-11</sup>

TABLE VI.- He<sup>4</sup> ionization coefficient with the solar fluxes of Hinteregger (1970)

TABLE VII H	lelium - 4 io:	nization coefficien	t
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Wavelengt	h (Å)	Flux $(10^9 \text{ ph cm}^{-2} \text{ s}^{-1})$	σ(He)(cm <sup>2</sup> )	$I_{\infty}(\text{He})(s^{-1})$
504 - 37	75	4 ± 2	6 x 10 <sup>-18</sup>	$(2.4 = 1.2) \times 10^{-8}$
375 - 27	75	14 ± 6	3 x 10 <sup>-18</sup>	$(4.1 \pm 1.9) \times 10^{-8}$
275 - 15	50	14 ± 6	1 x 10 <sup>-18</sup>	$(1.4 \pm 0.6) \times 10^{-8}$
150 - 80	0	$1.3 \pm 0.7$	3 x 10 <sup>-19</sup>	$(3.8 \pm 2.2) \times 10^{-10}$

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Sawada, 1972). The cross sections (curves and points) in fig. 6 and the curves in fig. 5 and 7 of Green and Sawada (1972) as well as the values of  $K_{\sigma}$  in their Table II should be multiplied by 0.7739 due to an error in the normalization factors. The correct values are given by the full curve on the present Figure 12. The analytic expression for the ionization cross section  $\sigma(E)$  in cm<sup>2</sup> as a function of the electron energy E in eV can be written for the range 25 eV  $\leq E \leq 3000 \text{ eV}$ 

$$g(E) = 2.015 \times 10^{-15} \ln(E/1.32) \times [\operatorname{arctg} A + \operatorname{arctg} B]/(E + 24.5)$$
(28)

with

$$A = (0.5 E^3 + 26.75 E^2 + 865.25 E + 12495)/(15.5 E^2 + 759.5E)$$
(29)

and

$$B = -(2.25E^2 + 1165.4E + 27201)/(15.5E^2 + 759.5E)$$
(30)

Figure 12 shows that a flux of the order of  $3 \times 10^8$  electrons cm<sup>-2</sup> s<sup>-1</sup> at 100 eV is required to give an ionization coefficient of the order of  $10^{-8}$  s<sup>-1</sup> indicating that electron impact ionization is normally negligible.

The chemical loss processes of He<sup>+</sup> ions in the ionosphere can be written as

$$\gamma_1$$
; He<sup>+</sup>(<sup>2</sup>S) + O<sub>2</sub>(X<sup>3</sup>\Sigma\_g)  $\rightarrow$  He(<sup>1</sup>S) + O(<sup>3</sup>) + O<sup>+</sup>(<sup>4</sup>S) + 5.85 eV (31)

$$\gamma_1^*$$
; He<sup>+</sup>(<sup>2</sup>S) + O<sub>2</sub>(X<sup>3</sup> \Sigma\_g^-) \rightarrow He(<sup>1</sup>S) + O<sub>2</sub><sup>+</sup>(X<sup>2</sup> \Pi\_g) + 12.25 eV (32)

$$\gamma_2$$
; He<sup>+</sup>(<sup>2</sup>S) + N<sub>2</sub>(X<sup>1</sup>Σ<sup>+</sup><sub>g</sub>)  $\rightarrow$  He(<sup>1</sup>S) + N(<sup>4</sup>S) + N<sup>+</sup>(<sup>3</sup>P) + 0.28 eV (33)

$$\gamma_2^*$$
;  $\text{He}^+(^2S) + N_2(X^1\Sigma_g^+) \rightarrow \text{He}(^1S) + N_2^+(C^2\Sigma_u)_{v=3,4}$  (34)

$$\gamma_3$$
; He<sup>+</sup>(<sup>2</sup>S) + NO(X<sup>2</sup>II)  $\rightarrow$  He(<sup>1</sup>S) + O(<sup>3</sup>P) + N<sup>+</sup>(3P) + 3.54 eV (35)



Fig. 12.- Electron impact ionization cross section for helium-4.

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where the reaction rate coefficients  $\gamma$  are not less than  $10^{-9}$  cm<sup>3</sup> sec<sup>-1</sup>. For the reactions with molecular oxygen, Warneck (1967) measured the rate coefficient  $\gamma_1 = 1.2 \times 10^{-9}$  cm<sup>3</sup> s<sup>-1</sup> and  $\gamma_1^* < 2 \times 10^{-10}$  cm<sup>3</sup> s<sup>-1</sup>. The reactions with molecular nitrogen have been discussed by Ferguson (1969) who gives a rate coefficient  $\gamma_2 + \gamma_2^* = (1.4 \pm 0.3) \times 10^{-9}$  cm<sup>3</sup> s<sup>-1</sup>. The rate coefficient for the reaction with NO is  $\gamma_3 = 1.5 \times 10^{-9}$  cm<sup>3</sup> s<sup>-1</sup> (Fehsenfeld et al. 1966) or  $\gamma_3 = 2.0 \times 10^{-9}$  cm<sup>3</sup> s<sup>-1</sup> (Moran and Friedman, 1966). Reaction (34) was thought to involve the N<sub>2</sub><sup>±</sup> ( $^{2}\Sigma_{g}^{+}$ ) ground state. In this case an excess kinetic energy of 9.01 eV could be shared between He and N<sub>2</sub><sup>±</sup> in a way such that He atoms could escape from the gravitational field. Patterson (1968) showed that this mechanism is probably not effective for an appropriate helium barance since the excess energy is actually placed in internal energy of N<sup>±</sup><sub>2</sub> (Ferguson, 1969; Koopman, 1969) which can than lead to radiation or to a predissociation process into N and N<sup>+</sup>.

Above the  $F_2$  peak, the reactions with  $N_2$  are the most important loss processes for He<sup>+</sup> and the time constant associated with the He<sup>+</sup> loss is roughly (see Banks and Kockarts, 1973)

$$\tau(\text{He}^+) \sim 10^9 / [n(O_2) + n(N_2)]$$
 (36)

At 300 km  $\tau$  (He<sup>+</sup>) ranges from 2 to 30 s when the thermosphere temperature varies from 2000° K to 750° K. Since the corresponding time constant for H<sup>+</sup> is somewhat smaller, transport processes are more important for He<sup>+</sup> than for H<sup>+</sup>. Above 500 to 700 km the ion distribution is controlled by electric and magnetic fields, gravity and ion partial pressure gradients. A detailed description of plasma motions and the coupling between the topside ionosphere and the magnetosphere is given by Banks and Kockarts (1973).

#### 6. HELIUM BALANCE

The problem of the helium balance was clearly stated, more than fifteen years ago, by Nicolet (1957) and by Bates and McDowell (1957). It was found that  $He^4$  atoms could not escape from the atmosphere as rapidly as they are released from the earth unless the temperature at the base of exosphere is very high.

The total column contents of He<sup>4</sup> and He<sup>3</sup> at ground level are respectively  $1.13 \times 10^{20}$  cm<sup>-2</sup> and  $1.41 \times 10^{14}$  cm<sup>-2</sup>. With a He<sup>4</sup> production flux of  $(2.5 \pm 1.5) \times 10^6$  cm<sup>-2</sup> s<sup>-1</sup>, the present atmospheric content can be supplied in approximately  $(2.3 \pm 1.3) \times 10^6$  years. This implies that atmospheric helium - 4 has been renewed approximately 1000 to 2000 times since the earth's formation. With a He<sup>3</sup> production flux of the order of  $(7.5 \pm 2.5)$  cm<sup>-2</sup> s<sup>-1</sup>, a similar calculation leads to a production time of only  $(6.7 \pm 2.2) \times 10^5$  years. Without an effective escape mechanism, a constant helium - 4 influx of  $2.5 \times 10^6$  cm<sup>-2</sup> s<sup>-1</sup> would have lead to a column content of  $3.5 \times 10^{23}$  cm<sup>-2</sup> since the earth's formation. In this hypothetical case, the present mixing ratio of He<sup>4</sup> at ground level would be  $1.6 \times 10^{-3}$ . Such a high value is completely unacceptable and an effective escape mechanism *must* therefore exist in order to avoid a continuous accumulation of helium - 4 over geological time. The different attempts to explain the atmospheric helium balance are based either on kinetic escape of the neutral atoms or on an ionic escape along the open lines of the earth's geomagnetic field.

The neutral escape process occurs over the whole earth above some critical level where collisions can be neglected. For a Maxwellian velocity distribution function, the escape flux is controlled by an effusion velocity (see Nicolet, 1957)

$$v_1 = (g/2\pi)^{1/2} r H_1^{1/2} (1 + H_1/r)e^{-r/H_1}$$
 (37)

where r is the geocentric distance of the critical level and  $H_1 = kT/m_1g$  is the scale height of the escaping constituent. The effusion velocity varies strongly with the temperature T and with the mass  $m_1$  of the light constituent. Since the height variation of the escape flux  $n_1v_1$  is small, it is possible to adopt a critical level independent of the thermopause temperature.

Kockarts and Nicolet (1962) deduced a helium - 4 thermal escape flux of  $6 \times 10^4$  cm<sup>-2</sup> s<sup>-1</sup> for the period 1951-1961. This flux is insufficient to assure a balance with the production from within the earth. The He<sup>3</sup> thermal escape flux for the same period was 3.5 cm<sup>-2</sup> s<sup>-1</sup>, i.e. a value comparable with the production flux. For the period 1947-1968, Johnson and Axford (1969) deduced a He<sup>3</sup> thermal escape flux of 5.9 cm<sup>-2</sup> s<sup>-1</sup>. The difference between these estimations results essentially from the very high solar activity maximum in 1947. In both computations the daily thermopause temperature was obtained from an empirical relation between the temperature and the solar decimetric radioelectric

flux. The correction due to geomagnetic activity was omitted as well as the possibility of greatly elevated thermospheric temperatures over the polar caps during magnetic storms. The nighttime minimum thermopause temperature  $T_{min}$  is given by (Jacchia, 1971)

$$T_{\min} = 379 + 3.24 \,\overline{S_{10.7}} + 1.3(S_{10.7} - \overline{S}_{10.7}) \tag{38}$$

where  $S_{10.7}$  is the daily solar radiolectric flux at 10.7 cm measured in  $10^{-22}$  watt m<sup>-2</sup> Hz<sup>-1</sup> and  $\overline{S_{10.7}}$  is the mean value over three solar rotations. The daytime maximum temperature is simply  $1.3xT_{min}$ . The temperature increase  $\Delta T$  resulting from geomagnetic activity is expressed by (Jacchia et al., 1967)

$$\Delta T = 28 K_{\rm p} + 0.03 \exp(K_{\rm p}) \tag{39}$$

where  $K_p$  is considered here as the daily mean value of the three hours geomagnetic planetary index. A daily computation with expressions (37) to (39) leads to the average effusion velocities given in Table VIII for the period February 8, 1958 through May 4, 1971. Table VIII indicates large variations of the effusion velocities and it is clear that the geomagnetic effect cannot be neglected especially for nighttime conditions since the relative increase  $\Delta T/T$  is greater than during the day. The rather small values of Table VIII are a direct consequence of the weak solar activity maximum in 1968-1969. When a similar computation is made from July 7, 1957 through May 4, 1971, the average effusion velocity is  $2.7 \times 10^{-3}$  cm s<sup>-1</sup> for He<sup>4</sup> and  $2.0 \times 10^{-1}$  cm s<sup>-1</sup> for He<sup>3</sup> without the effect of geomagnetic activity. It is therefore insufficient to determine an average effusion velocity or an average escape flux for only a few solar cycles when the helium balance is determined over a time scale of several million years.

TABLE VIII Average effusion velocities (cm s	for th	e period February	8, 1958	through May 4	I, 1971
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Altitude	Without geom	agnetic effect	With geomagnetic effect		
500 km	Night	Day	Night	Day	
He <sup>4</sup>	5.1 x 10 <sup>-6</sup>	1.1 x 10 <sup>-3</sup>	2.8 x 10 <sup>-5</sup>	3.0 x 10 <sup>-3</sup>	
He <sup>3</sup>	1.8 x 10 <sup>-3</sup>	1.1 x 10 <sup>-1</sup>	5.9 x 10 <sup>-3</sup>	$2.2 \times 10^{-1}$	

A few years ago, a controversy arose about the accuracy of the effusion velocity given by expression (37) since the escape flux causes a distortion of the assumed Maxwellian velocity distribution function. This problem is usually investigated with a Monte Carlo technique. Recently, Chamberlain and Smith (1971) and Brinkmann (1971) reanalyzed the question and it appears now that the effusion velocity (37) is a sufficiently good approximation for the case of helium-

A greater difficulty results from the presence of the winter helium bulge since the concentration variations at the critical level are much larger than the changes induced by temperature variations. Figures 13 and 14 indicate how He<sup>3</sup> and He<sup>4</sup> can vary at 500 km height. Even if variations of the eddy diffusion coefficient around 100 km are not the explanation of these changes, observations of the helium bulge (Reber et al. 1971) show that the helium concentration can increase by a factor of ten from summer to winter hemisphere. A detailed computation of the thermal escape requires, therefore, a complete knowledge of the He<sup>4</sup> and He<sup>3</sup> distributions over the entire globe. Table IX shows how the escape flux varies simultaneously with the temperature and with the eddy diffusion coefficient, i.e. with latitude. It can been seen that the fluxes of Table IX are still smaller than the maximum diffusion fluxes discussed in section 4. Furthermore the temperature must still be higher than 1250°K in order for there to be an approach to the balance between production and loss. The temperature variation of the He<sup>3</sup> escape flux is less important since for high temperatures the helium - 3 behavior is similar to deuterium (Kockarts, 1972b). It is clear that thermal escape cannot lead to a permanent equilibrium between production and loss. During certain periods, such as high solar activity or geomagnetic disturbances, thermal escape is however an important factor for the helium balance.

Ionic processes such as (34) have been suggested as a channel which produces neutral atoms with enough kinetic energy to escape from the earth's gravitational field. In a discussion of non-thermal processes, Patterson (1868) showed that these mechanisms are not effective.

The best candidate for an adequate helium balance is the polar wind (Axford, 1968; Banks and Holzer, 1968) which provides a loss mechanism for the He<sup>+</sup> ions produced by photoionization at a rate almost identical to the neutral helium influx from the earth's



Fig. 13.- Helium-3 concentration at 500 km as a function of eddy diffusion coefficient for thermopause temperatures of 2000, 1750, 1500 and 750°K.

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Fig. 14.- Eelium-4 concentration at 500 km as a function of eddy diffusion coefficient for thermopause temperatures of 2000, 1500, 1300 and 750°K.

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TABLE IX.- Possible escape fluxes (cm<sup>-2</sup> s<sup>-1</sup>) at 500 km

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Helium - 4			Thermopause t	emperature ( <sup>0</sup> K)		
$K(cm^2 s^{-1})$	750	1000	1250	1500	1750	2000
0	1.0 x 10 <sup>-3</sup>	1.3 x 10 <sup>1</sup>	$3.7 \times 10^3$	7.8 x 10 <sup>5</sup>	2.1 x 10 <sup>6</sup>	1.3 x 10 <sup>7</sup>
1 x 10 <sup>5</sup>	7.1 x 10 <sup>-4</sup>	9.4 x 10 <sup>0</sup>	2.6 x 10 <sup>3</sup>	5.7 x 10 <sup>5</sup>	1.5 x 10 <sup>6</sup>	9.6 x 10 <sup>6</sup>
1 x 10 <sup>6</sup>	2.3 x 10 <sup>-4</sup>	3.1 x 10 <sup>0</sup>	$8.7 \times 10^2$	1.9 x 10 <sup>5</sup>	4.9 x 10 <sup>5</sup>	$3.4 \times 10^6$
6 x 10 <sup>6</sup>	6.5 x 10 <sup>-5</sup>	8.7 x 10 <sup>-1</sup>	$2.5 \times 10^2$	5.5 x 10 <sup>4</sup>	1.4 x 10 <sup>5</sup>	9.9 x 10 <sup>5</sup>
1 x 10 <sup>7</sup>	4.5 x 10 <sup>-5</sup>	6.0 x 10 <sup>-1</sup>	1.7 x 10 <sup>2</sup>	3.8 x 10 <sup>4</sup>	9.9 x 10 <sup>4</sup>	7.0 x 10 <sup>5</sup>
Helium - 3						
0	2.1 x 10 <sup>-5</sup>	2.5 x 10 <sup>-2</sup>	1.6 x 10 <sup>0</sup>	2.2 x 10 <sup>1</sup>	8.6 x 10 <sup>1</sup>	$1.3 \times 10^2$
1 x 10 <sup>5</sup>	1.5 x 10 <sup>-5</sup>	1.8 x 10 <sup>-2</sup>	1.2 x 10 <sup>0</sup>	1.7 x 10 <sup>1</sup>	7.1 x 10 <sup>1</sup>	$1.2 \times 10^2$
1 x 10 <sup>6</sup>	5.1 x 10 <sup>-6</sup>	6.0 x 10 <sup>-3</sup>	$4.0 \times 10^{-1}$	5.9 x 10 <sup>0</sup>	3.3 x 10 <sup>1</sup>	$7.8 \times 10^{1}$
6 x 10 <sup>6</sup>	1.4 x 10 <sup>-6</sup>	1.6 x 10 <sup>-3</sup>	1.1 x 10 <sup>-1</sup>	1.7 x 10 <sup>0</sup>	1.1 x 10 <sup>1</sup>	3.5 x 10 <sup>1</sup>
1 x 10 <sup>7</sup>	9.3 x 10 <sup>-7</sup>	1.0 x 10 <sup>-3</sup>	$7.5 \times 10^{-2}$	1.2 x 10 <sup>o</sup>	7.8 x 10 <sup>0</sup>	2.6 x 10 <sup>1</sup>

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surface. According to Banks and Holzer (1969) the polar wind can lead to an escape of 2 to  $4 \times 10^6$  ions cm<sup>-2</sup> s<sup>-1</sup> resulting from the photoionization of He<sup>4</sup>. A kinetic theory of the polar wind (Lemaire and Scherer, 1970; Lemaire, 1972) can be used to compute the He<sup>+</sup> bulk velocity as a function of the ion temperature. Figure 15 gives an example of such a computation made by J. Lemaire. With a bulk velocity of the order of 1 km/s at 1000 km height, a helium ion concentration of 20 to 40 cm<sup>-3</sup> is required, for a flux comparable to the values obtained by Banks and Holzer (1969). The helium - 3 non-thermal escape flux is estimated of the order of  $1.25 \text{ cm}^{-2} \text{ s}^{-1}$  by Johnson and Axford (1969) when the He<sup>+</sup> flux is  $10^6 \text{ cm}^{-2} \text{ s}^{-1}$ . This value leads to a total He<sup>3</sup> escape flux of approximately 7.5 cm<sup>-2</sup> s<sup>-1</sup>, i.e. a value compatible with the production flux discussed in section 3. It is however difficult to analyze in detail the polar wind effect on the helium balance, since the boundary between convection and co-rotation of magnetic field lines is somewhat variable.

Another possibility has been proposed by Sheldon and Kern (1972), who suggest that the loss of He<sup>4</sup> is caused by the direct interaction of the solar wind with the upper atmosphere for only short periods of time during reversals of the geomagnetic field. During these periods the He<sup>3</sup> abundance is enhanced by cosmic-ray spallation during the low dipole field periods subsequent to the reversals. A non equilibrium helium atmosphere is obtained and the concentrations fluctuate over geological time scale. During a 300 years period of zero field (if this is possible), about 5% of the present helium atoms could be removed by an average ion loss rate of  $10^9$  cm<sup>-2</sup> s<sup>-1</sup>. Sheldon and Kern (1972) conclude that 20 geomagnetic field reversals are enough over the last  $3.5 \times 10^6$  years period to assure a balance between production and loss. Such a discontinuous removal requires however a replacement from below. Sheldon and Kern (1972) deduce that molecular diffusion can supply a flux of  $4 \times 10^9$  cm<sup>-2</sup> s<sup>-1</sup> at 110 km. Such a value is however at least a factor of 10 higher than the maximum diffusion flux obtained in section 4. Therefore one should also consider that helium could be quickly exhausted in the upper atmosphere during a geomagnetic field reversal. The supply from below is than too small for an adequate helium balance. Further investigations are therefore necessary to determine wether or not the mechanism proposed by Sheldon and Kern (1972) is really effective.

It is clear that the helium balance problem is not yet completely solved since detailed calculations including simultaneously thermal escape and polar wind escape have not yet been performed.



Fig. 15.- Velocities of He<sup>+</sup> ions at different heights as a function of the ionic temperature. The dotted-dashed curve indicates the transition from subsonic to supersonic flow. (J. Lemaire, personal communication).

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#### CONCLUSION

Production, distribution and escape are the three keys needed to understand the terrestrial helium problem. This implies that measurements are required from the deep sea up to the edge of interplanetary space. A uniform explanation of the physical situation involves therefore several different techniques including sea and air sampling, mass spectro-metric detection of ions and neutrals by rockets and satellites as well optical observations in the infrared and in the extreme ultraviolet.

The solution of the He<sup>4</sup> production problem requires more measurements in the deep sea at different geographical locations. In such a way a global map could possibly be established for the He<sup>4</sup> outgassing. In addition to the implications for atmospheric helium, it is clear that important oceanographic results would come out of such a project. Furthermore, the geochemical distribution of the radioactive isotopes is not known with enough accuracy to determine unambigously the He<sup>4</sup> production in the earth. The interpretation of the deep sea measurements is however still subject to some uncertainty with regard to the origin of the observed He<sup>4</sup> excess. With the present knowledge of the earth's composition the He<sup>4</sup> outflow is  $(2.5 \pm 1.5) \times 10^6$  cm<sup>-2</sup> s<sup>-1</sup>. The He<sup>3</sup> production is still subject to large uncertainties. A flow of  $(7.5 \pm 2.5)$  cm<sup>-2</sup> s<sup>-1</sup> is due mainly to auroral precipitation and to a flux observed in the deep sea. It would be very interesting to have He<sup>3</sup> measurements in the homosphere below 100 km and in the lower exosphere since the local deposition of this isotope could then be determined. Furthermore, a simultaneous production of He<sup>3</sup> at high and low altitudes probably affects the vertical distribution.

Mass spectrometric measurements in the thermosphere and lower exosphere now give some indications on the possible transport mechanisms for helium. Since it is very difficult to perform measurements in the 90 to 120 km region, it is useful to interpret measurements around 500 km altitudes in terms of phenomena occuring at much lower altitudes. Satellite and rocket data obtained well above the turbopause are therefore a good tool to investigate the transition region from mixing to diffusion transport. The observed concentration variation of a factor of 10 between summer and winter hemisphere around 500 km should be continously monitored in order to obtain a global picture of the helium bulge as a function of time. Such an analysis would allow a detailed computation of the thermal escape. Magnetospheric observations of the convection zone are also required for long term estimations of the polar wind effect on the helium balance. Ultraviolet observations of the 504 and 304 Å line will also provide fundamental informations on the helium distribution along particular line of sight. The 304 Å line, in particular, seems to offer a promissing technique for the mapping of magnetosphere movements.

The presence of helium from ground level up to the interplanetary medium offers a powerful possibility to use this inert constituent as a tracer representing complex physical phenomena.

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